The Procurement Division has prepared this Procurement Procedures Manual to serve as the basis for procurement policies and procedures for Orange County. This manual is authorized by the Procurement Ordinance. It is also based on the American Bar Association’s Model Procurement Code.

The procurement function involves the acquisition of materials, supplies, equipment, and services at the lowest possible cost, consistent with the quality needed to meet the required standards established and approved by the Board of County Commissioners. This act is the sole responsibility of the Procurement Division. Our goal is the promotion of the best interest of Orange County through intelligent action and fair dealings. This will result in obtaining maximum savings for the County.

Since rules and regulations are necessary for the proper operation of the procurement function and since it is essential that all who are involved in the procurement be well-informed, this manual has been developed to aid all employees directly or indirectly associated with the procurement function.

The objectives of the Procurement Division of Orange County are as follows:

A. To deal fairly and equitably with all vendors seeking to do business with Orange County
B. Provide professional procurement services for all departments and divisions within the County
C. Assure adherence to all laws, regulations, and procedures related to County procurement
D. Maximize competition for all procurements of the County
E. Obtain maximum savings through innovative buying and application of value analysis techniques
F. Administer the contracting function with internal efficiency
G. Procure goods and services from capable vendors at the lowest price, consistent with the quality, performance and delivery requirements of the County

The Board of County Commissioners of Orange County, Florida, recognizes centralized procurement as a necessary function of effective government, and declares that it shall be the responsibility of the Procurement Manager to centralize the purchase of all supplies, equipment, services and construction for the departments and divisions of the County. The basic objective of the procurement process is to obtain the best total value consistent with operational needs, while maintaining fair and open competition.

Carrie Woodell, MPA, CPPO, CPPB, CFCM
Procurement Manager
# TABLE OF CONTENTS

<table>
<thead>
<tr>
<th>Section</th>
<th>Page</th>
</tr>
</thead>
<tbody>
<tr>
<td>Procurement Division - Responsibilities and Functions</td>
<td>1</td>
</tr>
<tr>
<td>Requisition to Procure</td>
<td>2</td>
</tr>
<tr>
<td>Purchase Orders and Blanket Purchase Orders</td>
<td>3</td>
</tr>
<tr>
<td>Emergency and Sole Source Procurements</td>
<td>4</td>
</tr>
<tr>
<td>Term Contracts (Master Agreements) and Delivery Orders</td>
<td>5</td>
</tr>
<tr>
<td>Quotations, Bids and Proposals</td>
<td>6</td>
</tr>
<tr>
<td>Request for Proposals (RFP) Evaluation Procedures</td>
<td>7</td>
</tr>
<tr>
<td>Contract Modifications and Terminations</td>
<td>8</td>
</tr>
<tr>
<td>Competition Exemptions, Standardization, and Alternate Contract Sources</td>
<td>9</td>
</tr>
<tr>
<td>Procurement Card Program</td>
<td>10</td>
</tr>
<tr>
<td>Protests and Lobbying</td>
<td>11</td>
</tr>
<tr>
<td>Procurement of Design Build Services</td>
<td>12</td>
</tr>
<tr>
<td>Invoices</td>
<td>13</td>
</tr>
<tr>
<td>Non-Purchase Order Transactions</td>
<td>14</td>
</tr>
<tr>
<td>Receipt of Goods and Materials</td>
<td>15</td>
</tr>
<tr>
<td>Report of Unsatisfactory Materials or Services and Delivery/Performance Notices</td>
<td>16</td>
</tr>
<tr>
<td>Conflict of Interest and Ethics in Public Procurement</td>
<td>17</td>
</tr>
<tr>
<td>Exhibits</td>
<td>18</td>
</tr>
<tr>
<td>Version Management</td>
<td>19</td>
</tr>
</tbody>
</table>
The following forms are included in Section 18 Exhibits:

1. Emergency Procurement Justification
2. Sole Source Procurement Justification
3. Price Negotiation Memorandum
4. Term Contract Performance Evaluation
5. Construction Project Information Sheet
6. RFP Project Information Sheet - Professional Services
7. Performance Evaluation - Construction Contracts
8. Performance Evaluation - Professional Services
9. Report of Unsatisfactory Materials and/or Services
10. Sample Scope of Services/Scope of Work Formats
11. Change Order Request Form
12. Procurement Card Application
13. Travel Card Application
14. Procurement Card Termination Form
15. Travel Card Termination Form
16. Procurement Card Monthly Purchase Log
17. Travel Card Monthly Purchase Log
18. Notification of Lost or Stolen Procurement Card
19. Notification of Lost or Stolen Travel Card
20. Procurement Cardholder Agreement and Acknowledgement of Receipt
21. Travel Cardholder Agreement and Acknowledgement of Receipt
22. Procurement Card Representative Application
23. Travel Card Representative Application
24. Procurement Card Application for Name Change
25. Travel Card Application for Name Change
26. Procurement Card Exception Request
27. Protest Hearing Form
28. Format for Purchase Orders Tear Downs and Quotes
29. Procurement Thresholds
30. Purchase Order/Contract Documentation of Exemption Form
31. Alternate Contract Source Approval Form
32. Piggyback Requisition Checklist
33. Short Form Request for Quotations
<table>
<thead>
<tr>
<th>TERM</th>
<th>DEFINITION</th>
</tr>
</thead>
<tbody>
<tr>
<td>ADVANTAGE</td>
<td>Computerized Financial System with a Procurement Component</td>
</tr>
<tr>
<td>BLANKET PURCHASE ORDER</td>
<td>A Blanket Purchase Order (BPO) is a purchase order issued for the purchase of indeterminable miscellaneous items or materials, supplies, parts, etc., over a certain period of time (not to exceed one fiscal year).</td>
</tr>
<tr>
<td>BOARD APPROVAL LIMIT</td>
<td>The dollar value established in the Procurement Ordinance for Board of County Commissioner approval of procurements that exceed a specified dollar limit prior to contract award.</td>
</tr>
<tr>
<td>EMERGENCY PROCUREMENT</td>
<td>An emergency procurement is the acquisition of goods or services made with or without competition based on the determination of the Procurement Manager. Such acquisition is necessary to remedy or lessen the harmful effects of any actual or threatened occurrence which may interfere with the conduct of normal business operations, or remedy conditions which may pose an imminent or existing threat to the health, safety or welfare of persons or property within Orange County or with County governmental operations.</td>
</tr>
<tr>
<td>GSA</td>
<td>General Services Administration (Federal Government)</td>
</tr>
<tr>
<td>INVITATION FOR BIDS (IFB)</td>
<td>Invitation for Bids (IFB), also known as sealed competitive bidding, is the preferred method of procuring a good or service. Award is made to the lowest responsive and responsible bidder, based solely on the specifications set forth, and may not involve negotiation or discussion with the vendor.</td>
</tr>
<tr>
<td>INVOICE</td>
<td>Document that itemizes charges for the purchase of supplies, materials, equipment or services which have been furnished. It is the means by which the vendor informs the County of its obligations and should contain the same basis information as the purchase order.</td>
</tr>
<tr>
<td>MANDATORY BID LIMIT</td>
<td>The dollar value at which purchases must be formally solicited. This amount is adjusted annually by the CPI increase.</td>
</tr>
<tr>
<td>MASTER AGREEMENT</td>
<td>A term contract (called a Master Agreement in the Advantage financial system) is a formal contract (not a purchase order) issued for specified time intervals (from one to five years) generally as a result of a competitive solicitation process for specific items to be purchased for the duration of the contract. Each item on the term contract has a firm price or percentage discount which is known at the time of term contract execution.</td>
</tr>
<tr>
<td>NON – PO</td>
<td>An unauthorized commitment by a County employee to procure goods or services.</td>
</tr>
<tr>
<td>RESPONSIVE BIDDER</td>
<td>A Contractor, business entity or individual who has submitted a bid/proposal that fully conforms in all material respects to the IFB/RFP and all of its requirements; including all form and substance.</td>
</tr>
</tbody>
</table>
### GLOSSARY OF TERMS

<table>
<thead>
<tr>
<th>Term</th>
<th>Definition</th>
</tr>
</thead>
<tbody>
<tr>
<td>RESPONSIBLE BIDDER</td>
<td>A Contractor, business entity or individual who is fully capable to meet all of the requirements of the solicitation and subsequent contract. Must possess the full capability, including financial and technical, to perform as contractually required. Must be able to fully document the ability to provide good faith performance.</td>
</tr>
<tr>
<td>REQUEST FOR PROPOSALS</td>
<td>Used to solicit proposals from potential providers of goods and (RFP) services (offerers). Price is usually not the only evaluation factor. Provides for the negotiation of all terms, including price prior to contract award.</td>
</tr>
<tr>
<td>REQUEST FOR QUALIFICATIONS</td>
<td>Used to obtain statements of qualifications of potential development teams or consultants.</td>
</tr>
<tr>
<td>REQUEST FOR QUOTATIONS</td>
<td>A small order amount procurement method.</td>
</tr>
<tr>
<td>SOLE SOURCE PROCUREMENTS</td>
<td>Sole source procurements are defined as the acquisition of supplies, equipment and contractual services that (1) is the only good or service that will produce the desired results or is the most appropriate for the given situation; or (2) is available from only one source of supply or the use of such source is both economically and operationally in the County's best interest. Sole source services must be available only from contractors/vendors/consultants who are uniquely qualified to perform such services.</td>
</tr>
</tbody>
</table>
MISSION

The Procurement Division manages the County’s procurement program through the effective use of a wide array of purchasing and contracting procedures involving the issuance of various solicitations, negotiation and award of contracts for all types of goods and services including construction and architectural and engineering services. Our goal is to provide timely and effective customer service consistent with sound public procurement principles.

RESPONSIBILITIES AND FUNCTIONS

I. The Procurement Division is responsible for establishing and administering the County’s Procurement Program; issuance of various solicitations (IFB’s, RFP’s and RFQ’s) awarding contracts; performing contract administration functions, dealing fairly with all potential sources of supply; consolidating purchases of like or common goods and services, obtaining fair prices for materials, equipment, supplies and services, and generally defining how to effect cost savings and coordinate purchasing and contracting procedures for Orange County.

No other purchasing and contracting efforts by individuals or organization that are performed outside of these procedures and/or the Procurement Ordinance will be supported by the Procurement Division.

II. Specific responsibilities, duties and functions include:

A. Policies and Procedures:
   Develop procurement objectives, policies, programs and procedures for the procurement of all materials, supplies, equipment and services.

B. Representation:
   Act as Orange County’s representative on all matters pertaining to Procurement.

C. Specifications/Scopes of Work or Services
   Assistance in the development of specifications/scopes of work or services in cooperation with user agencies that are subsequently included in Invitations for Bids (IFBs), or Request for Proposals (RFPs) and/or Requests for Quotations/Qualifications (RFQs).

D. Consolidation and Bulk Procurement
   Consolidate requisitions and purchases of like or common items to obtain the maximum economic benefits and cost savings, and explore the possibilities of buying in bulk to realize quantity discounts. Such consolidation may include requirements of a single or multiple department/division(s).

E. Standardization
   Work with departments/divisions to establish standardization of materials, supplies and equipment within a competitive environment, where feasible.
F. **Vendor Relations**
Promote good will and public relations between Orange County and its vendors. Encourage full and open competition wherever possible. Assure fair and equitable business dealings with all vendors.

G. **Budget**
Prepare, submit and manage the annual operating budget for the Procurement Division.

H. **Procurement Analysis**
Stay informed about current developments in the field of procurement, including but not limited to, prices, market conditions and new products; and secure for the County the benefits of procurement research conducted by other governmental jurisdictions, national technical societies, trade associations and private businesses and organizations.

I. **Procurement Procedures Manual**
Prescribe and maintain a standard procurement procedures manual for all user departments/divisions.

J. **Suspension and Debarment of Vendors**
Responsible to ascertain the identity of all vendors who default on their contracts with the County, and suspend or debar said vendors as appropriate unless this prohibition is expressly waived by the Board of County Commissioners.

**PROCEDURES**
The following ethics statement shall govern the procurement of goods and services for the County in accordance with the existing Procurement Ordinance:

A. All requests for goods or services shall be made through and by the Procurement Division, except as otherwise exempted by the Procurement Ordinance and this manual. In some instances, authority to request quotes and make purchases may be delegated to others.

B. Acceptance of gifts or gratuities, other than advertising novelties of nominal value, is strictly prohibited. No employee shall become obligated to any vendor, and shall not conduct any County transaction from which he/she may personally benefit.

All personnel shall abide by the Code of Ethics for all Orange County Employees, as defined in the Orange County Policy Manual & Operational Regulations.

C. No County employee shall obligate the County whereby they may derive income or benefits other than those provided as remuneration from the County for their employment.

D. No contract, purchase or group of requisitions shall be subdivided to avoid bid, quotation or approval requirements.
E. It is unlawful for a County officer or employee to procure any materials, supplies, equipment or contractual services, other than through the Procurement Manager, without prior written authority or as specifically delegated. Any purchase order or contract that is made contrary to these provisions shall not be approved and shall not bind the County.

F. For emergency procurement, an exception to the above policy may be made with the understanding that the person authorizing the emergency order will personally assume the responsibility of immediately following up the verbal order with proper written justification and the requisition. The requestor shall, if possible, contact the Procurement Division prior to placement of the order with the vendor. See Section 4, Emergency and Sole Source Procurement, for detailed instruction.

Inter-Divisional Relations

A. Cooperation and understanding between divisions is essential in the effective operation of the Procurement Division.

The Procurement Division will establish and maintain close contact with other departments/divisions in order to meet their purchase needs and requirements. It must be emphasized that in most instances, the Procurement Division does not determine the requirements for a particular purchase, but will be responsible for determining the applicable quality to meet the particular needs.

In cases where technical equipment, specifications, plans or design are involved, the department/division will develop the specifications. The Procurement Division will ensure that the final solicitation document is coordinated with the user department/division prior to the issuance of a solicitation, purchase order or contract.

B. The Procurement Manager or designee, will periodically present a procurement seminar for County divisions. Seminars shall aid County personnel in gaining a better understanding of the operation of the Procurement Division. The seminar material may cover state and federal requirements, procurement regulations, Board policy, emergency and sole source procurements, flow of requisitions, dissemination of purchase order copies, definition of procurement terms, change orders, procurement cards and contracts.

Vendor Relations

During interviews with salespersons, no one shall commit to preference for any product or service, or give any information regarding performance or price which might in any way compromise or obligate the Board of County Commissioners or the administration of Orange County.

Any pricing or specific information received from vendors relative to any good or service under consideration for purchase must not be divulged until after a purchase order or contract has been awarded, except when State regulations or County laws make such disclosures permissible.

All vendors submitting bids or proposals in response to a solicitation shall abide by the black-out period as established in the County Ordinance. All vendors must be afforded equal opportunities to compete. No bid shopping shall be permitted; i.e., no one shall obtain pricing from a vendor and request other vendors to meet or beat the disclosed price. This restriction shall not apply to reverse auctioneering. New sources of supply will be given due consideration because multiple sources of supply are necessary to ensure competition, continuity of supply and availability of materials.
SECTION 1: PROCUREMENT DIVISION – RESPONSIBILITIES AND FUNCTIONS

PROCUREMENT DIVISION RESPONSIBILITIES

The Procurement Division is the office responsible for the acquisition of goods, services, and construction in support of the County’s operational needs. The Procurement Division is the County’s agent authorized to issue competitive solicitations and award contracts.

The Procurement Division issues purchase orders, develops term contracts, and acquires goods and services. The County’s overall objective is to ensure that goods and services are obtained expeditiously and economically, that operational requirements are satisfied, and that applicable local, state and federal procurement requirements are met.

The County may buy from any vendor who exhibits adequate financial strength, high ethical standards, a record of adhering to specifications, compliance with delivery or performance requirements and gives a full measure of service. The County strives to maintain strong and enduring relationships with vendors of proven ability and a desire to meet its needs. To accomplish this, procurement activities will be conducted so all vendors will value the County’s business and make every effort to furnish its requirements on the basis of the most economical quantity, suitable quality, timely delivery, adequate service and lowest possible price.

PROCUREMENT DIVISION FUNCTIONS

A. Provide professional, qualified procurement expertise, advice and services.

B. Develop, promote and implement appropriate procurement strategies.

C. To procure required services, materials, equipment, and construction while ensuring that quality, safety, and cost-effectiveness are achieved.

D. To ensure that procurement transactions are conducted in a manner providing full and open competition whenever practical.

E. To comply with procurement rules and applicable local, federal and state laws and regulations as required.

F. To solicit the participation of qualified and responsible contractors and suppliers in the procurement process.

G. To assure equity for all parties involved in the procurement process.

H. To obtain the best value for the funding allocated.

I. To eliminate the possibility of corruption or unethical practices in the procurement process.

J. To ensure that procurement decisions take account of wider policy requirements.

K. To ensure that procurement decisions are aligned against organizational objectives.
SECTION 2: REQUISITION TO PROCURE

PURPOSE

The Purchase Requisition serves to inform the Procurement Division of the needs of the user department/division and to correctly define the good/service requested. The authorized requisition signifies authority to charge a specific account number and verifies there are sufficient funds available in the account specified. Requisitions will be accompanied by back-up documentation as follows:

- Detailed Scope of Services (Services) or Detailed Specification (Goods) or a combination thereof. These documents should define the level of performance required rather than specific design or brand name. Specifications shall be clear and concise and provide the salient characteristics required by the user department/division.

  **NOTE:** Unless a procurement is a sole source, a Single quotation shall not constitute back-up documentation as the Procurement Division is unable to interpret the division's minimum requirements from a vendor's offer.

- Requisitions lacking back-up documentation are not actionable by the Procurement Division and subject to cancellation.

- The user department/division may obtain quotes for commodities and services, excluding consulting services, up to the mandatory bid limit, from at least three (3) vendors (including at least one M/WBE vendor) independent of the Procurement Division provided the names of the vendors, vendor number, date of quote, quotes per item, quote number, individuals submitting such quotes, and total pricing from each vendor are submitted to the Procurement Division, and quotes are verifiable. Departments and Divisions pursuing quotations are encouraged to utilize the Short Form Request for Quotations included herein as Exhibit 33. The Procurement Division reserves the right to verify such quotes or to obtain additional quotes at its discretion. In the event additional quotes are required, a detailed Scope of Services or Specification shall be immediately submitted to avoid cancellation of the requisition.

PROCUREMENT DIVISION RESPONSIBILITIES

A. Become acquainted with the needs of all departments and divisions

B. Support and cooperate with all departments/divisions in meeting their needs for operating supplies and equipment at the lowest cost to Orange County

C. Assist in the preparation or review of specifications and scopes of work/services for all requirements

D. Locate the sources and availability of needed goods and services

E. Notify the user department/division if a change is made to the suggested vendor or any other changes such as price or delivery

F. Process all requisitions and purchases with the least possible delay, in accordance with County procedures

G. Work with the vendor in correlating all the steps involved in completing a purchase, including purchase order follow-up and tracing as requested by departments/divisions

H. Provide contract administration support to all departments/divisions as required

2-1
SECTION 2: REQUISITION TO PRODUCE

USER DIVISION RESPONSIBILITIES

A. Ensure the purchase is required to support official County business.

B. Allow up to ten (10) working days for the Procurement Division to execute a Purchase Order. Advise the Procurement Division if the requisition is an emergency or sole source procurement by annotating the reference box on the purchase requisition. These procurements shall be supported by the appropriate justifications as described in Section 4.

C. Provide clear and accurate description of materials, services and equipment to be procured. Specifications should be typed and forwarded via Outlook to the Procurement Division.

D. Prepare generic specifications for goods or services that require a technical or engineering background.

E. List anticipated requirements in advance, when possible. Involve the Procurement Division at the time the need is determined, or as early as possible.

F. Keep the Procurement Division advised of any abnormal demands.

G. Under no circumstances is the Procurement Division to be obligated by departments/divisions in any manner whatsoever.

H. Advise the Procurement Division of any known qualified vendor(s).

I. List any quotes obtained by vendor code, name, individual contacted and price obtained (by line item).

J. Specify correct commodity code of items to be purchased.

K. Ensure that funds have been allocated and are available in the proper object codes to support purchases. Verify that all sources of funds identified on the requisition are properly coded to a department/division and object codes. If the object code is incorrect, the requisition may be canceled by the Procurement Division. If there are insufficient funds, the Advantage financial system will not accept the requisition. The user department/division is solely responsible for the accuracy of object codes.

WHEN PREPARED

Purchase requisitions must be prepared far enough in advance to permit the Procurement Division to obtain competitive prices and to allow sufficient time for deliveries to be made. Creation of purchase requisitions at fiscal year start must occur within 10 working days after October 1st.

The following minimum time requirements are necessary to secure competitive prices after receipt of requisition(s) and correct specifications by the Procurement Division.

A. Ten (10) working days for goods or services that will be quoted (greater than $1,500 to the mandatory bid limit). The user department/division is not required to obtain quotes but they may do so for expediting purposes if desired (excluding consulting services). The department/division should focus on the development of specifications and product descriptions. The Procurement Division will have final responsibility for obtaining all quotations.

B. Nine (9) weeks for goods or services that must be procured via a formal solicitation.
SECTION 2: REQUISITION TO PROCURE

C. Twelve (12) weeks for goods and services that must be procured via a formal solicitation requiring Board approval.

NOTE: (1) Board approval is the amount established for this purpose in the Procurement Ordinance. (2) Every attempt possible should be made to also obtain quotes from M/WBE vendors for such purchases.

PREPARATION

All requisitions shall be authorized by the Department Director/Division Manager or designee. Requisitions should originate in the user department/division at the level where the purchase is to be used and proceed to the designated approval authority prior to being sent to the Procurement Division. Requisitions not properly authorized will not be accepted by the Advantage financial system.

A. Header: A properly processed purchase requisition must contain the following information.
   1. **General Information Tab: (Budget FY, Fiscal Year, Period):** Leave blank for Advantage to assign the date and budget year.
   2. **Reference Tab:** This required field must note the contract number, emergency status, sole source status, or other classification. The requisition will not validate without the reference.
   3. **Contact Tab:** User ID of the person issuing the requisition is defaulted by the user sign-on to Advantage. Enter requester user ID or name and phone number of the person requesting the goods/services.
      
      This contact information is important as it allows the Procurement Division to make direct contact in the event of errors, omissions or questions regarding the purchase.
   4. **Default Shipping/Billing Tab:** Enter the ship to and bill to code, the FOB and delivery date.

   **SHIPPING LOCATION/BILLING ADDRESS:** Use the pick list or enter the correct ship to and bill to codes. If the user division has any special delivery instructions (hours, days, inside delivery, second floor - no elevator, etc.) or is using an address other than the ordering address, this must be specifically shown in the text of the requisition.

   **NOTE:** If a new or revised ship to or bill to code is required, notify the Procurement Division and one will be issued.

   **Shipping Method:** Use the pick list to select the appropriate delivery method.

   **FOB:** Use the pick list or enter the FOB. There are two options for FOB. Option 1 (preferred) – FOB Destination, Freight Prepaid means that the shipping charges are included in the price of the goods.

   Option 2 – FOB Destination, Freight Allowed means that shipping charges will be added as an additional charge.

   **Delivery Date:** It is mandatory to provide a delivery date on each requisition to indicate...
the need or desire for delivery within a specified period of time. Terms such as ASAP or RUSH will not be accepted by the Advantage system. Any dates noted are for the guidance of the Procurement Division to meet the user department/division's schedule of needs. Requisitions shall be prepared far enough in advance of deliveries so as not to create emergencies.

If the goods or services have been received or completed, indicate complete and date received. Such requisitions must include justification as to the reason a purchase order was not obtained prior to receipt of goods/services. Note: Such purchases may require department director approval and should be for emergencies only. Requisitions that do not qualify as emergencies will be cancelled by Procurement and the invoice will be paid as a non-purchase order. Non-purchase order payments require a detailed written justification signed by the department director and approval by the Procurement Manager prior to payment.

B. Vendor: Use the pick list or enter the vendor code for the vendor who submitted the quote, and confirm vendor’s remittance address. If the vendor is not found the department must work with Finance for new vendor input. Vendor code 99999 shall not be used for requisitions pending a new vendor input. The Procurement Division reserves the right to verify quotations obtained by the user department/division or obtain additional quotes. The Procurement Division's goal is to obtain competition when possible. Therefore, the purchase order may not be issued to the suggested vendor or for the brand specified.

If the department requires a formal solicitation, the department shall enter 99999 with contact name and phone number.

C. Accounting Distribution: Insert the applicable budget line funding the procurement. Enter the percentage of the order to be charged to the accounting line.

D. Special Instructions: Use the pick list to insert the code for any special instructions to be included on the order. You may select up to three special instructions, such as (1) check to accompany order, (2) special delivery requirements, and (3) insurance required.

E. Commodity:

1. Commodity Code: Use the pick list or enter the commodity code for the items to be purchased. If the user department/division does not enter a commodity code, the Advantage financial system will reject the requisition.

2. Extended Description: Provide a clear description of the goods or services required, including size, color, type, grade, etc., and reference the quote number. If the purchase is of a technical nature, generic specifications shall be provided to the Procurement Division concurrently with the requisition, indicating the requisition number. When detailed or technical specifications are required, the Procurement Division will work with the customer in the development of the appropriate specifications. Generic specifications are strongly desired for procurements that exceed the mandatory bid limit.

If the item cannot be described, except with a great amount of detail, a brief description should be given followed by the trade name and model of an acceptable item or approved equal. Requisitions shall not provide specifications that will favor one vendor
to the exclusion of all others. The Procurement Division reserves the right to challenge any specification supplied by the user department/division. Back-up or additional information must be submitted as soon as possible to avoid significant delay in the processing of requisition(s).

If the good or service is a sole source, available from only one vendor or is justified as an emergency, the applicable Sole Source or Emergency Procurement Data Forms must be complete and placed in the shared folder concurrently with transmission of the requisition (see Section 4 for sole source and emergency procurement information). If there are any special instructions to the vendor that are not available through the Special Instructions area listed above, include them in the text at the end of the extended description.

3. Line Type: Select goods (items) or services from drop down list.

4. Quantity: Insert the quantity required and select appropriate unit of measure.

5. Unit Price: Customers are required to estimate the cost in the unit price. The Procurement Division will verify the cost and make necessary corrections. When the customer is aware that a procurement will exceed the mandatory bid limit, price quotes shall not be obtained.

ROUTING

A requisition must be entered into the Advantage financial system to document requirements for goods or services.

Upon receipt of the requisition in the Procurement Division, quotations, bids, proposals, and Board approval (when necessary) for commodities or services will be obtained and a purchase order or contract will be issued.

GENERAL INFORMATION

A. If the requisition is incomplete or not properly prepared, the Procurement Division may cancel the requisition and notify the originating division.

B. If there are insufficient funds for the purchase, the requisition will not be accepted in the Advantage system and, therefore, cannot be processed.

REVIEW OF SPECIFICATIONS - OTHER DIVISION APPROVALS REQUIRED

Prior to issuance of a solicitation or the processing of a requisition for certain procurements, review of the goods or services to be procured and the specifications must be coordinated with applicable divisions listed below, to assure open competitive bidding, compatibility, standardization and up-to-date specifications. Documentation confirming that this review has been completed must be submitted with the requisition. The following purchases require coordination with the division indicated. This listing is subject to change.

<table>
<thead>
<tr>
<th>TYPE OF EQUIPMENT/SERVICE REQUESTED</th>
<th>DIVISION REVIEW</th>
</tr>
</thead>
<tbody>
<tr>
<td>Computer Equipment and Software not on County Standards List</td>
<td>Information Systems and Services Division</td>
</tr>
<tr>
<td>Vehicles</td>
<td>Vehicle Replacement Utilization Committee</td>
</tr>
</tbody>
</table>
### SECTION 2: REQUISITION TO PROCURE

<table>
<thead>
<tr>
<th>Item</th>
<th>Department</th>
</tr>
</thead>
<tbody>
<tr>
<td>Asbestos Removal/Demolition</td>
<td>Risk Management Division</td>
</tr>
<tr>
<td>Fire Extinguishers</td>
<td>Risk Management Division</td>
</tr>
<tr>
<td>Mobile Communication Devices</td>
<td>Information Systems and Services Division</td>
</tr>
<tr>
<td>Geographic Information Systems</td>
<td>Geographic Information Systems Division</td>
</tr>
<tr>
<td>Automated External Defibrillator (AED)</td>
<td>Health Services Department</td>
</tr>
</tbody>
</table>

**NOTE:** Ensure the above approvals are obtained and attached to the submittal of the requisition to the Procurement Division.

**MULTI-FUNCTION EQUIPMENT, PRINTERS, FACSIMILE EQUIPMENT, SCANNERS, RELATED SOFTWARE, SUPPLIES AND SERVICES**

The lease and/or purchase of all copiers, printers, scanners, mail processing equipment and other equipment with related supplies and services shall be exclusively acquired through the applicable State of Florida contract. They shall not be procured via any other means without the written approval of the Procurement Manager, including procurements $1,500 or less being charged to a procurement card. The maximum allowable lease term shall be thirty-six (36) months, unless otherwise authorized by the Manager of the Procurement Division.

To ensure accurate ordering, all requests must follow standardization requirements, and the contracts must be thoroughly reviewed before an order is placed with one of the various vendors under contract. All IT related products, such as printers, copiers, computers, laptops, etc. shall be reviewed by ISS support staff to ensure compliance with Orange County’s computing and support standards.
I. PURCHASE ORDERS

PURPOSE

A purchase order authorizes a vendor to ship and invoice the goods or services as specified. Purchase orders shall be clear, concise and complete. This will prevent any misunderstanding during correspondence with vendors.

ISSUANCE

Pre-numbered, computer generated purchase orders shall be issued upon receipt of a properly authorized requisition, or after receipt of competitive bids, proposals or quotations, determination of whether funds are available, and Board approval as necessary.

With the exception of delivery orders, only the Procurement Division shall issue purchase orders. The user department/division will not enter into negotiations with any vendor for the purchase of supplies, services, materials or equipment. All purchase orders shall be transmitted to the vendor by the Procurement Division, unless the user department/division specifically requests permission to supply the original copy to the vendor.

ROUTING OF ORDER

A standard purchase order form is used to make all purchases and is routed as follows:

A. Vendor copy: mailed to vendor (with any attachments) by the Procurement Division.
B. Department copy: mailed to department/division originating the requisition (for information and filing).
C. Procurement copy: retained by the Procurement Division (for official alpha-numeric file by vendor name).

FOLLOW-UP AND EXPEDITING

Upon request from the user department/division, the Procurement Division shall contact the vendor for the status of a particular order, or to attempt to expedite delivery when required.

DIFFICULTIES AFTER RECEIPT OF GOOD OR SERVICE

Upon request from the user department/division, the Procurement Division shall work with the vendor to resolve any problems or difficulties with orders or contracts.

ADVANCE PAYMENT PROCEDURES

The department director/deputy director shall provide written approval for all advance payment procurements in the form of a memorandum to the Manager of the Procurement Division. After entering the requisition, customers must send separately the original documents showing advance payment required to the Procurement Division.
Purchase Orders (POOC) will be issued for goods and services requiring advance payment and the original POOC with advance payment designated will be forwarded to Finance. The department/division will issue a receiver for the item(s) and forward it to Finance.

II. **BLANKET PURCHASE ORDERS**

**PURPOSE**

The BPO generally establishes a maximum dollar limit, the period covered, the authorized purchasers and terms and conditions. However, since the specific items to be purchased are usually unknown at the time of issuance of the BPO, no line item pricing is generally shown. Shipments are made, as requested by the user division, against the Blanket Purchase Order number for the term of the BPO.

These documents are issued on a **very limited basis** and require prior approval by the Procurement Manager.

**PREPARATION**

Requests for a Blanket Purchase Order shall be made on a Requisition that indicates the general type of items to be purchased and total amount to be encumbered for the term of the BPO. The Procurement Division will determine if bids are feasible and take action to establish the vendor in accordance with the County Procurement Ordinance.

Blanket Purchase Orders shall be prepared on purchase requisition forms and contain the following information:

A. Description and type of items to be purchased (i.e., miscellaneous hardware items).

B. The period of time the order will remain valid. **NOTE:** The BPO term may not extend beyond the end of the fiscal year in which it is created.

C. Unit price(s) shall be included if applicable. The maximum total dollar amount which cannot be exceeded for the term of the Blanket Purchase Order. If it is apparent the amount will be exceeded, an additional amount must be requested by the user department/division via a change order request.

D. Persons authorized to place orders.

E. Provision for cancellation of the order at any time.

F. Individual purchase (per invoice) limits may be set on Blanket Purchase Orders by the Procurement Division to avoid rapid depletion of funds, violation of bid/quote thresholds and procedures, and unauthorized expenditure of funds for capital equipment. The daily limit may be changed only upon written request from the user department/division and approval by the Procurement Manager or designee.

**ROUTING OF ORDER**

A Blanket Purchase Order form is used to make all purchases and is routed in the same manner as a regular purchase order.
SECTION 3: PURCHASE ORDERS AND BLANKET PURCHASE ORDERS

PROCEDURE

Upon issuance of the Blanket Purchase Order, the user department/division is authorized to place orders, via telephone or in person, directly with the vendor as needed. Example: A user division may wish to request a Blanket Purchase Order to purchase miscellaneous hardware items from a hardware store for a one-year period with total expenditures not to exceed a specified (encumbered) dollar amount for the one-year period.

The person(s) listed as authorized by the Blanket Purchase Order may place orders directly with the vendor as needed. Items may be picked up by the department/division or delivered by the vendor. The user division shall be responsible for submitting partial receiving reports with the original invoice to the Finance Department for the proper items, in the proper quantity and at a reasonable price.

PAYMENT

The Finance Department will process payment of invoices for received materials or services so any discounts may be obtained. Divisions must promptly forward the receiver document, which evidences the receipt of goods, with the original invoice(s) to the Finance Department as authority to pay invoices.

Invoices for all Blanket Purchase Orders may be reviewed periodically by the Procurement Division to ensure compliance with the terms of the purchase order.

MONITORING

The Procurement Division may actively monitor all or selected Blanket Purchase Orders to ensure adherence to County procedures. Blanket Purchase Orders may be canceled immediately by the Procurement Division should the user department/division not strictly adhere to the provisions of the Blanket Purchase Order or County procurement procedures.

CONTRACT REPAIR SERVICES

When equipment and vehicles must be disassembled to determine the extent of the repairs and develop a realistic cost estimate, competitive quotes will generally not be required and the Procurement Division will accept the customer’s selection of a specific vendor for the service, subject to the following:

The user department/division shall utilize M/WBE vendors to the extent practical.

A. No County equipment shall be removed from County premises unless the vendor signs a receipt detailing the equipment and purpose for removal.

B. The customer instructs the vendor to inspect and/or disassemble vehicle/equipment to determine cost of repairs. The vendor shall also be requested to provide the costs, if any, for the repair quotation and re-assembly in the event the department determines that the costs are unreasonable. Quotes shall include the costs for any associated services such as specialized equipment, lifts or crane rentals. Concurrently, the customer will ensure that a purchase requisition is processed for the vendor to provide the tear-down quote and quote for the costs of repair.

C. If the customer agrees to the repairs, the vendor will be instructed to proceed. If the user department/division does not agree to the repairs, any costs required for the quotation, including
SECTION 3: PURCHASE ORDERS AND BLANKET PURCHASE ORDERS

re-assembly, may be paid to the vendor via purchase order. The vendor shall not proceed with repairs until a purchase order has been issued.

D. Failure to submit a requisition in a timely manner (concurrent with authorization to vendor to proceed) for these services will result in a non-po.

E. Maximum costs for any repairs under this procedure shall not exceed the mandatory bid limit.

F. Repairs exceeding the mandatory bid limit must be processed under a separately negotiated contract.

G. When urgent mission requirements dictate that equipment be returned to an operational status as quickly as possible, the following procedures will apply:

1. The Manager or designee will document the critical nature of the repair. The documentation may be limited to a statement validating that the equipment is mission critical. A copy of the documentation will be retained at the division office for future reference.

2. The user division will obtain a quotation from a vendor. The vendor will be requested to provide a repair cost by performing a complete tear down.

3. The user division will determine if the quotation is reasonable based on their technical expertise. If the user accepts the quotation, the vendor will be authorized to proceed with the necessary steps to affect the repair. The vendor’s repair quotation will be established as a fixed amount.

4. A requisition for the fixed cost of repair amount will be processed to the Procurement Division concurrent with authorization to the vendor to proceed with the repairs. Failure to submit a requisition in a timely manner will result in a non-po.

5. If additional repairs are necessary that will exceed the purchase order and do not exceed the purchase order amount by more than fifteen (15%), the applicable division manager must approve the increase in writing with a short justification. If additional repairs exceed fifteen (15%) of the purchase order, written approval must be obtained by the Procurement Manager or designee.

H. The format defined in Exhibit 28 will be applicable to all purchase orders issued under the tear down and quote process.

The customer must maintain adequate records concerning maintenance history to justify the source selection and compile data regarding specific repair problems. A rotation system in vendor selection is strongly encouraged for price analysis purposes.

These procedures are not applicable to equipment under a repair contract. Maintenance and/or repairs for all equipment/vehicles with an asset number assigned by the Fleet Management Division shall be performed by Fleet Management Division staff.
I. **EMERGENCY PROCUREMENT**

**GENERAL**

Emergency purchases are costly and should be kept to a minimum. When emergency procurements are made, the department/division will make the purchase at the best possible price. To the extent practical, competition will be obtained for emergency procurements. However, the Procurement Manager shall have authority to waive competition requirements when the nature of the emergency dictates such action.

An emergency will often occur as a result of parts and labor needed for repairs to facilities, public safety vehicles or equipment that must be kept in operating order. Emergencies are also created through negligence and these are to be avoided. Lack of planning or funding surpluses do not constitute an emergency.

Emergency procurements that exceed the mandatory bid limit may only be authorized by the Procurement Manager or designee. Emergency procurements in excess of the Board approval limit must be ratified by the Board of County Commissioners.

**STANDARD APPLICABLE EMERGENCY PROCEDURE (PRE-APPROVAL)**

A requisition with the emergency justification and other required documents must be submitted to the Procurement Division as expeditiously as possible. A purchase order will be expedited upon generation of the requisition by the Advantage system. The customer may obtain quotes or explain why quotes could not be obtained. The Emergency Procurement Data Sheet (Exhibit 1) shall be signed by the department/division manager or by an individual serving as the acting manager or assistant manager. Approval of emergency services may require compliance with Risk Management recommendations.

When an emergency exists and the purchase **exceeds** the mandatory bid limit;
The applicable Division Manager or representative shall contact the Procurement Manager or designee to explain the nature of the emergency by emailing ProcurementEmergency@ocfl.net, this email address is accessible by the Manager of Procurement and all Procurement Division Supervisors.

**EMERGENCIES REQUIRING IMMEDIATE ACTION; AUTHORIZED BY DIVISION MANAGERS AT RISK OF NON-PURCHASE ORDER (POST APPROVAL)**

Reserved for bonafide health, public safety, operational or property damage emergencies (where anything less than immediate action would be negligent) purchases may be authorized by the applicable division manager or designee. These must be documented by immediately emailing ProcurementEmergency@ocfl.net, the email shall summarize the emergency requirement, justification and actions authorized. These procurements shall be considered at-risk of a Non-Purchase Order if they are found to have been (1) non-emergencies or (2) unnecessary deviations from the standard applicable emergency procedure.

Evidence to support the procurement such as sales tickets, invoices, delivery slips, counter receipt, etc., shall be submitted concurrently with the requisition by the user department/division to the Procurement Division within three (3) business days following the date of service. The Emergency Procurement Data Sheet (Exhibit 1) shall be signed by the department/division manager or by an individual serving as the acting manager or assistant manager. Such back-up documents will be submitted to the Finance Department with the receiver.

Failure to adhere to notification requirements and stated deadlines within this section shall result in a non-purchase order.
Il. **SOLE SOURCE PURCHASE AUTHORIZATION**

These purchases are exempt from bid requirements upon certification by the Procurement Manager stating the conditions and circumstances necessitating the purchase via the Sole Source Procurement Data Sheet (Exhibit 2). This certification shall set forth the purpose and need, in addition to why the item is the only one that will produce the desired results. Purchase of these items or services in excess of the Procurement Manager’s approval limit shall be submitted to the Board of County Commissioners.

When Board approval is required, a Price Negotiation Memorandum (Exhibit 3) may be required to accompany the Sole Source Procurement Data Sheet.

Where feasible, sole source requirements shall be posted on the County’s internet site for a period of at least ten (10) calendar days to allow the vendor community to review the requested goods/services to determine if a competitive environment exists for the procurement.

**NOTE:** Lack of planning or funding surpluses shall not constitute an emergency or sole source purchase.

Il. **PRICE REASONABLENESS**

Sole Source and Emergency procurements are high risk transactions susceptible to price gauging and inflated pricing. These transactions require the highest degree of review and diligence in ensuring that the County’s interests are protected under disadvantageous contracting circumstances. To mitigate these risks, adequate price analysis or cost analysis is required to demonstrate prudence and adequate consideration before approval. Justification of price reasonableness may include analysis of the following:

A. Comparable purchases by other Governmental Agencies

B. Past Purchase History including specific references to contracts/purchase orders.

C. Comparison to an internally developed project estimate.

D. Comparison to an authorized consultant’s estimate.

E. A comparison of outsourced labor and internal staff/resource costs.

F. An analysis of unit rates for labor categories in comparison to the County’s labor rates.

G. Maintenance costs expressed as a percentage of original purchase costs in comparison to industry trends.

H. Pricing trends supported by the Consumer Price Index, Producer’s Price Index or other governmental index.

I. Documentation demonstrating the profit margins are within reasonable ratios.

**Note:** Sole Sources and Emergencies resulting from inadequate competition after formal solicitation may require justification of scope or specification requirements which may be restrictive to competition before recommendation of award or contract execution. Additionally, the Procurement Division may conduct inquiries with potential sources of supply who failed to respond or the supplier community at large to ensure these procurements are not unreasonably restrictive on competition.
SECTION 5: TERM CONTRACTS (MASTER AGREEMENTS) AND DELIVERY ORDERS

PREPARATION

A term contract is prepared by the Procurement Division after award of a competitive solicitation. The items for which the bid is issued may be items commonly used by several departments/divisions or may be specific to one department/division based on estimated annual usage. Term Contract (Master Agreements) do not create an authorized encumbrance, encumbrances for Term contracts are created through timely Delivery Orders. Under special circumstances authorized by procurement a Purchase Order may be used to reference a Term Contract.

HOW USED

County departments/divisions may obtain copies of term contracts via download from the internet to ensure they order only the specified goods or services.

User departments/divisions may order directly from the vendor on the term contract by use of Delivery Orders unless specifically prohibited in the contracts (i.e., electrical repairs, HVAC repair/replacement or Appraisal Services).

PROCEDURE

The Procurement Division will survey user departments/divisions to determine estimated usage of items to be placed on term contracts. User departments/divisions may also request that the Procurement Division establish a term contract for frequently required goods or services. The Procurement Division shall issue a formal solicitation and award the contract on an item-by-item, lot-by-lot, or all-or-none total offer basis. A contract is executed with the vendor(s).

Once a contract is established, departments/divisions are notified of the awarded vendor(s) and line-item pricing or percentage discount off of a specified price list. The departments/divisions may then order from the term contract via issuance of a Delivery Order (DOOC) directly to the awarded vendor for the item required unless specifically prohibited by the contract. Divisions are cautioned not to order any items via Delivery Order other than those awarded for the specific term contract. Delivery Orders are controlled documents issued through the Advantage system identified as DOOC documents.

The following are allowable timeframes for issuing Delivery Orders. Occurrences outside of these timeframes will result in a Non-PO.

- Creation of a new Delivery Order at fiscal year start: within ten (10) working days after October 1st.
- Creation of Delivery Orders for a new Master Agreement: within three (3) working days of Advantage update.
- Creation of Delivery Orders for a Master Agreement renewal: within three (3) working days of Advantage update.

The division should identify each item on the Delivery Order by the contract-assigned line item number, when applicable.

ROUTING OF ORDER

A. Vendor copy: mailed to vendor (with any attachments) by the department/division originating the order.
B. Department copy: retained by department/division originating the requisition (for information and filing).

C. Procurement copy: mailed to Procurement immediately after creation (for official alpha-numeric file by vendor name).

**PAYMENT**

The Finance Department will process payment of invoices for received materials or services.

Departments/divisions should forward the receiving report, which evidences the receipt of goods or services, with the original invoice(s) to the Finance Department in a timely manner to ensure prompt payment discounts may be obtained. Delivery Orders for all materials and services may be reviewed periodically by the Procurement Manager or designee to verify compliance with County rules and procedures.

**MONITORING**

The Procurement Division actively monitors all Delivery Orders to ensure adherence to County procedures. Delivery Orders may be canceled immediately by the Procurement Division should the user division not strictly adhere to the provisions of County procurement procedures. Continued violations may result in the termination of the user department/division’s right to issue orders directly to the vendor. If this occurs, all such orders will be issued by the Procurement Division.

**DEPARTMENT /DIVISION CONTRACT ADMINISTRATION REQUIREMENTS**

This section discusses a few key elements essential to effective contract administration. While these principles may be applied to all contracts, recognize that some contracts require more oversight than others, depending on the complexity of the work being performed, risk involved and dollar amount of the contract.

A. After receipt of notification of award of contract, the County’s representative(s) shall communicate with the Contractor or Supplier to discuss job procedures and scheduling.

B. Project timelines as stated in the contract shall be strictly adhered to and modified only by mutual agreement formalized in a contract amendment. Such requests shall be submitted to the Procurement Division in a memorandum.

C. Service changes or commodity substitutions as itemized in the contract shall be strictly adhered to and modified only by mutual agreement formalized in a contract amendment. Such requests shall be submitted to the Procurement Division in a memorandum. Acceptance of non-contractual goods or services will result in non-purchase order.

D. Unsatisfactory performance, in accordance with Section 16 of this procedures manual, shall be promptly reported to the Procurement Division for proper notice and action. A Report of Unsatisfactory Materials or Service Form (Exhibit 9) should be submitted whenever deficiencies occur.

E. The Department/Division shall keep the Procurement Division informed of any liquidated damages assessed against a contract.

F. Delivery Order balances shall be monitored to ensure that all expenses are incurred on encumbered funds. Expenses exceeding the Delivery Order value will result in non-purchase order.
SECTION 5: TERM CONTRACTS (MASTER AGREEMENTS) AND DELIVERY ORDERS

G. Change Orders shall be requested and approved by the Procurement Division before any work modifications are authorized.

H. Contract (Master Agreement) balances shall be monitored closely to avoid exceeding contract threshold. Exceeding the contract threshold will result in non-purchase order.

I. Contract (Master Agreement) expirations shall be monitored closely to avoid lapses. The Procurement Division will initiate contact concerning imminent expirations however, it is equally the User's responsibility to communicate with the Procurement Division concerning renewals, re-solicitations and imminent lapses, especially if these are impactful to day-to-day or critical operations.

J. Each time a term contract is being reviewed for renewal or rebid, the user department/division will receive a Performance Evaluation Form (Exhibit 4) from the Procurement Division requesting an evaluation of the vendor’s performance during the previous contract period. The County's representative shall promptly respond to ensure a timely renewal or re-solicitation of the requirement. An overall unsatisfactory rating report by the department/division will be forwarded to the vendor for response. Departments/divisions should not wait for this form in order to report receipt of unsatisfactory goods/services from a vendor. A Report of Unsatisfactory Materials or Service Form (Exhibit 9) should be submitted whenever deficiencies occur.

K. Each time a term contract is being reviewed for renewal or rebid, the user department/division will be requested to submit a written price analysis supporting the renewal of the contract. This analysis is intended to identify any significant changes or disturbances in the market which would make the renewal a disadvantage.

L. The Department/Division shall keep the Procurement Division informed of any changes in contract administration point of contacts.
Upon receipt of a Purchase Requisition, the Procurement Division will seek a source of supply and enter into transactions for the purchase of the commodity or service in accordance with the provisions of the County Procurement Ordinance.

PROCEDURE FOR SECURING QUOTES AND BIDS

Prices for purchases between $1,500 and $5,000 may be either written or oral (with proper documentation), at the discretion of the Procurement Manager or designee. Purchases estimated to exceed $5,000 but not more than the mandatory bid limit will be made by written Request for Quotations (RFQ) where feasible. When oral rather than written quotations are received, documentation including the firm(s) contacted, contact person’s name, phone number, delivery terms, date and price(s) quoted shall be noted. Every effort will be made to obtain a minimum of three (3) quotations (one of which shall be a certified M/WBE firm if available) for each item or group of items required.

Written Requests for Quotations (RFQ) may be mailed or faxed to prospective bidders, and shall indicate the deadline for receipt of the quote. Emergency purchases are exempt from competition with prior approval of the Procurement Manager. RFQ’s shall be maintained with the purchase order. User departments/divisions are responsible for ensuring that adequate descriptions and specifications are provided to the Procurement Division, including manufacturer brand and part numbers.

The user department/division may obtain quotes for commodities and services* up to the mandatory bid limit, from at least three (3) vendors (including at least one M/WBE vendor) independent of the Procurement Division provided the names of the vendors, vendor number, date of quote, quotes per item, quote number, individuals submitting such quotes, and total pricing from each vendor are submitted to the Procurement Division, and quotes are verifiable. The Procurement Division reserves the right to verify such quotes or to obtain additional quotes at its discretion. The user department/division will be contacted if the vendor or product is changed.

RFQ’s are not governed by the same rules and procedures applicable to formal solicitations. Therefore, the strict time and date requirements for the receipt of bids or proposals are not applicable to quotations. Quotation(s) may be negotiated with concurrence of the Procurement Manager or Administrator.

*NOTE: All quotations for consulting services that exceed $10,000 shall be obtained by the Procurement Division. For these requirements, the user department/division shall work jointly with the Procurement Division to establish the award criterion which shall be a combination of qualifications and cost.

The Procurement Division is responsible for all procurements over the mandatory bid limit. This dollar amount may be adjusted annually in accordance with the percentage increase/decrease of the Consumer Price Index. Although the user divisions are not to obtain quotes for these procurements, they should focus on the development of adequate purchase descriptions and specifications. When a purchase or contract (including leases) may extend over multiple periods or years, the maximum amount to be paid for all years shall be the amount that determines if a formal solicitation is required.

The Procurement Division shall solicit bids/proposals from responsible prospective vendors obtained from commodity code cross-reference, market research, suggestions from the user department/division and previous vendors.

The Procurement Division shall attempt to secure at least three (3) bids. Advertisement of formal solicitations shall be in accordance with the Procurement Ordinance and all solicitations shall be made available on the County’s internet site.
SECTION 6: QUOTATIONS, BIDS AND PROPOSALS

Bids/Proposals must be received no later than the established time, date and at the location specified in the solicitation. No bids/proposals shall be accepted after such time and date or at any other location than specified, and shall be returned unopened to bidder/proposer. It shall be the bidder/proposers’ sole responsibility to ensure that their bid/proposal reach the specified time and place for receipt of bid/proposals. Bidder/Proposers shall be allowed to withdraw their bid/proposal at any time prior to opening.

Errors in the extension of unit prices stated in a bid/proposal, or in multiplication, division, addition or subtraction may be corrected by the Procurement Manager, prior to award. In such cases, the unit prices shall not be changed. When bidder/proposers quote in words and figures on items on the bid form, and the words and figures do not agree, the words shall govern and the figures shall be disregarded.

These same bidding requirements apply to all purchases, including leased equipment, non-professional services, general consulting, etc.

All procedures related to competitive procurement and solicitation, including the application of Sunshine Law, Public Records and bid/proposal review and award announcements, shall be compliant with Florida law as it may be amended from time to time.

The Procurement Manager shall have the right to cancel a solicitation and/or reject all bid/ proposals and authorize the entire transaction to be re-solicited.

RESPONSIBILITY FOR SPECIFICATIONS/SCOPE OF SERVICES/SCOPE OF WORK

The preparation of specifications/scope of services/scope of work is the responsibility of the user department/division with assistance and review by the Procurement Division. Specifications/scope of services/scope of work shall permit competition except on proprietary materials or services. In general, specifications should define the level of performance required rather than specific design or brand name. Specifications shall be clear and concise and provide the salient characteristics required by the user department/division. The Procurement Division shall ensure specifications allow for open competition.

Guidelines for developing scope of services/scope of work are provided in Exhibit 10.

INVITATION FOR BIDS/REQUEST FOR PROPOSALS

Upon request, the formal solicitation is provided to prospective bidder/proposers. Public notice of solicitations shall be posted in the Procurement Division office, on the internet and Orange TV.

BID OR PROPOSAL SECURITY

As required by the Procurement Ordinance, a security bond shall be submitted with the bid or proposal. A successful bidder/proposer shall forfeit any surety upon failure on their part to enter into a contract and provide performance/payment bonds and certificates of insurance (when required in the solicitation documents) within the time specified. Bid or proposal security shall be in the form of bonds, a certified check or a cashier’s check. Security and performance/payment bonds are required for purchases in excess of $200,000.

DISPOSITION OF BIDS/PROPOSALS

Bids and proposals shall be publicly opened at the time and place stated in the public notice. No bids or proposals shall be accepted after the time and date designated for opening. Bids or proposals received after the specified time and date will be returned to the vendor unopened.

Offers by email, or telephone shall not be accepted. Faxed bids or proposals shall be rejected as non-responsive regardless of where the fax is received.
Bidders or proposers are responsible for delivery of bid documents to the Procurement Division. If the bid or proposal is delivered by an express mail carrier or by any other means, it is the bidder's responsibility to ensure delivery to the required address.

**RECIPROCAL PREFERENCE**

Unless otherwise prohibited by funding source, in the event the lowest responsive and responsible bid submitted in response to any Invitation for Bids is by a bidder whose principal place of business is in a county other than Orange County, and such county grants a bid preference for purchases to a bidder whose principal place of business is in such a county, then Orange County may award a preference to the next lowest responsive and responsible bidder having a principal place of business within Orange County Florida. Such preference shall be equal to the preference granted by the county in which the lowest responsive and responsible bidder has its principal place of business.

**AWARD OF BIDS**

The Procurement Division will obtain a recommendation of award from the user department/division prior to award, unless the low bidder and item is the one suggested on the department/division's requisition and the price is within budget.

The County shall consider other factors, in addition to price, when determining the lowest responsive and responsible bidder. These factors include but are not limited to:

A. the ability, capacity, equipment and skill of the bidder to perform the contract.

B. whether the bidder can perform the contract within the time specified, without delay or interference.

C. the character, integrity, reputation, judgment, experience and efficiency of the bidder.

D. the quality of performance on previous contracts.

E. the previous and existing compliance by the bidder with laws and ordinances relating to the contract.

F. the sufficiency of the bidder's financial resources to perform the contract or to provide the service.

G. the quality, availability and adaptability of the supplies or contractual services to the particular use required.

H. the ability of the bidder to provide future maintenance and service.

I. the ability of the bidder to comply with County’s M/WBE requirements.

J. the number and scope of conditions attached to the bid.

The Procurement Division reserves the right to challenge any award recommendations of the user department/division.

Contract award recommendations for which the award to each vendor exceeds the Board approval limit for the
SECTION 6: QUOTATIONS, BIDS AND PROPOSALS

initial contract period shall be submitted by the Procurement Division for approval by the Board of County Commissioners. Award shall be accomplished via issuance of a purchase order or contract by the Procurement Division.

WAIVER OF IRREGULARITIES

The Procurement Division shall have the authority to waive all irregularities in responses to formal solicitations.

TIE BIDS

Award of tie bids under the Board approval limit shall be made by the Procurement Division. Tie bids may be awarded to one of the bidders based on the following in the order listed:

A. When one of the bidders is a business whose principal place of business is located in Orange County, and the other bidder’s principal place of business is not, the recommended award shall be to the Orange County bidder

B. M/WBE Status

C. Previous vendor record by the vendors on similar projects or requirements

D. Closeness to the delivery point or work site

If all conditions are equal, the Procurement Division will draw lots or flip a coin. This process shall be witnessed by no less than three individuals, and the contract file shall contain the names and addresses of the witnesses and the person supervising the drawing.

CONTRACTS

When required, the successful bidder or proposer shall promptly execute a formal contract signed by the proper authority. When required, such bidder or proposer shall also execute and deliver to the Procurement Division a good and sufficient performance and payment bond in the amount specified in the solicitation. Any bidder or proposer approved for contract award who fails to execute promptly and properly the required contract and bond, shall forfeit their bid security. Upon the execution of the contract by the successful bidder or proposer, the bid security will be returned. Unsuccessful bidders that submitted certified or cashier’s checks as bid security shall have their bid security refunded by a County check.

Awards in this category may be made by a purchase order and as a part of the award, the solicitation and other supporting documents, if applicable, shall be an integral part.

CANCELLATION OF SOLICITATIONS

Preservation of the integrity of the competitive solicitation system dictates that after bids have been opened, award must be made to that responsible bidder who submitted the lowest responsive bid, unless there is a compelling reason to reject all bids and cancel the solicitation. Likewise, when proposals have been received, it is expected that an award will be made after proposals have been evaluated unless there is a compelling reason to reject all proposals and cancel the solicitation. The following are some of the factors that may be used by the Manager of Procurement to support the cancellation of a solicitation.

(1) When it is determined that the goods or services are no longer required;

(2) Inadequate or ambiguous specifications were cited in the solicitation;

(3) All costs for the goods or services were not considered in the development of the
(4) The specified goods or services are available from other more economically advantageous sources;

(5) All bids or proposals received are at unreasonable prices;

(6) There is evidence of collusion or price fixing;

(7) Cancellation is otherwise in the County’s best interest.
I. The following are general guidelines for the RFP process:

A. Members of an evaluation/procurement committee are to be selected and shall include representatives from the user department/division, and other department/division(s) involved, and any other individual(s) with specialized expertise. Any proposed committee member lacking previous experience on the Procurement committee shall be trained prior to service on the committee. Procurement committees for proposals in excess of the Board approval limit shall also include a County Commissioner. The Manager, Administrator or a specific representative of the Procurement Division shall chair the committee as a non-voting member.

Procedures for professional services are detailed in paragraphs B (Procedures for Competitive Sealed Proposal Awards for Professional Services Requiring Board Approval) and H (Procedures for Competitive Sealed Proposal Awards for Professional Services Not Requiring Board Approval) of this section.

The members of the evaluation/procurement team should be identified prior to the solicitation issuance and a list sent to the Procurement Division. This list will be sent to the Director of the Administrative Services Department for approval.

B. The user department/division shall submit the proposed evaluation criteria which shall include price. The Procurement Division will finalize the criteria which may include modifications to the criteria and/or weight(s). Such criteria shall be stated in the RFP.

C. The user department/division shall assign a weight to each of the evaluation criteria by its relative importance, with the total weights equaling 100. The exception shall be the criteria of location and M/WBE status/participation. The Procurement Manager shall determine the use of these criteria and their respective weights as applicable to each project.

D. The Procurement Division shall issue the RFP and receive proposals. Prior to the issuance of the RFP, a copy of the document shall be provided to each member of the procurement committee for review when possible. If this is not possible, each member shall receive a copy of the document upon issuance.

E. All committee members shall evaluate the responsive proposals and individually score each proposal per criteria, multiplying their score by the weight to obtain the total. Committee members shall not discuss the proposals with any other committee member. All scores are to be totaled. Scores should be on the basis of 1 to 5 and represent the evaluator’s best objective judgment. See item 10 below for further explanation of scoring. The user department/division shall ensure that all references are confirmed and the results reported during the meeting of the procurement committee. Also, the discussion may include any performance issues involving the proposer’s performance on Orange County contracts.

F. On certain highly technical procurements, a technical evaluation team consisting of individuals with specific expertise in the procurement will be formed. The team will evaluate the proposals and provide evaluation results with recommendations to the Procurement committee. The Procurement committee may accept, modify or reject the technical committee recommendations for justified reasons. If the technical evaluation committee’s recommendations are modified or rejected, the Procurement Manager will determine the additional steps necessary to adequately address the Procurement committee’s concerns.
G. A summary of total scores for all proposers shall be prepared after members of the evaluation team have reviewed and evaluated the written proposals. Scoring and selection shall be by consensus if possible. **Proposers scoring less than 300 points or the minimum score established by the Procurement Committee will not be submitted for Board consideration or award action.**

H. A copy of evaluation forms completed by each evaluator (or the committee's consensus score) shall be maintained by the Procurement Division as part of the official file.

I. The Procurement Division will prepare an agenda item for Board approval when proposal fees exceed the Board approval limit.

J. **SCORING DEFINITIONS:**

1 = **Poor** - Proposal is lacking or inadequate in most basic requirements, specifications, or provisions for the specific criteria.

2 = **Below Average** - Proposal meets many of the basic requirements, specifications or provisions of the specific item, but is lacking in some essential aspects for the specific criteria.

3 = **Average** - Proposal adequately meets the minimum requirements, specifications, or provisions of the specific item, and is generally capable of meeting the County's needs for the specific criteria.

4 = **Above Average** - Proposal more than adequately meets the minimum requirements, specifications, or provisions of the specific criteria, and exceeds those requirements in some aspects for the specific criteria.

5 = **Excellent** - Proposal exceeds minimum requirements, specifications, provisions in most aspects for the specific criteria.

**SUGGESTED PRICE EVALUATION:**

If price is included as one of the evaluation criteria, the lowest priced proposal should receive the maximum weighted score for the price criteria. The other proposals should receive a percentage of the weighted score based on the percentage differential between the lowest proposal and the other proposals in accordance with the following example:

<table>
<thead>
<tr>
<th>Vendor</th>
<th>Proposed Price</th>
<th>Highest Score</th>
<th>By</th>
<th>Weight</th>
<th>Equals Total Points</th>
<th>By</th>
<th>Percentage</th>
<th>Equals</th>
<th>Weighted Score</th>
</tr>
</thead>
<tbody>
<tr>
<td>A</td>
<td>$20,000</td>
<td>5 X 25</td>
<td>= 125 X 100 = 125</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>B</td>
<td>$25,000</td>
<td>5 X 25</td>
<td>= 125 X 80 = 100</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>C</td>
<td>$28,000</td>
<td>5 X 25</td>
<td>= 125 X 71 = 88.75</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
* Vendor B’s $20,000 ÷ $25,000 = 80% X total possible points of 125 = 100

** Vendor C’s $20,000 ÷ $28,000 = 71% X total possible points of 125 = 88.75

The price evaluation and calculation may be revised to conform to the needs for each individual RFP selection.

This procedure is designed to comply with Section IV of the Procurement Ordinance entitled Competitive Sealed Proposals.

Exception: If an inordinately low price is indicative of a misunderstanding of the scope of services by the proposer, a score of only 1 or 2 points may be given at the discretion of the evaluator(s).

**TIE BREAKING**

Occasionally, Procurement Committee evaluations end in a tie. When this occurs, the Procurement Committee will take actions to break the tie based upon reconsideration of the following criteria in the order listed:

A. Technical Approach/Scope Response/Understanding
B. Project Team/Qualifications of Staff
C. Project Manager/Key Staff Person/Lead Project Person/Account Representative

**PROCUREMENT COMMITTEE PROCESS**

Prior to the Procurement Committee Meeting:

A. All Committee members are required to have proper training in the Procurement Committee process.
B. All Committee members must score each criterion for each proposer individually.
C. Committee members shall not discuss the proposals with any other Committee member(s).

At the Procurement Committee Meeting:

A. The Procurement Committee Chair stresses that the Procurement Committee meeting is a public meeting which will be held in accordance with Florida Statutes and the County’s Administrative Regulations. Each Committee member shall conduct themselves in a professional manner.
B. The Chair shall provide the following instructions to the Committee members and conduct the meeting as follows:
   1. Each proposer will be evaluated separately.
   2. One-by-one, the Chair will verbally obtain and make note of each Committee member’s score for the first criterion.
   3. Scores shall be obtained in the following order:
      i. the user division/department representative,
      ii. other County staff members and representatives,
      iii. any non-staff members,
      iv. Business Development representative,
4. If all scores for the specific criterion are the same, no further discussion is required. If, after all scores have been obtained for the first criterion, there is a disparity in the scores, consensus will be attempted by discussing the high and low scores in more detail.

5. In an effort to further obtain consensus, the other members’ scores for the specific criterion may be discussed in more detail.

6. Reference checks (if any) shall be provided by the user department during discussion of the appropriate criterion (ex. experience of the firm).

7. If unable to reach consensus, the members’ scores shall be totaled to obtain an average score for the specific criterion. **No rounding of scores will be done.** The average score shall be multiplied by the weight to determine the final score for each criterion.

8. If the final score determined by the Committee is different than the Committee member’s original score for any criteria; the member will strike-through their original score, write in the Committee score, and initial it. No erasures will be permitted.

9. Each criterion for each proposer is to be scored in accordance with the process delineated above.
RFP No. ________________________________

EVALUATION SHEET

PROPOSER: ________________________________

<table>
<thead>
<tr>
<th>EVALUATION CRITERIA</th>
<th>WEIGHT SCORE TOTAL</th>
</tr>
</thead>
<tbody>
<tr>
<td>Qualifications of Firm</td>
<td>15</td>
</tr>
<tr>
<td>Qualifications of Staff</td>
<td>15</td>
</tr>
<tr>
<td>Technical Approach</td>
<td>25</td>
</tr>
<tr>
<td>MWBE Utilization</td>
<td>10</td>
</tr>
<tr>
<td>Location</td>
<td>10</td>
</tr>
<tr>
<td>Fee Proposal</td>
<td>25</td>
</tr>
<tr>
<td><strong>TOTAL</strong></td>
<td></td>
</tr>
<tr>
<td>Displaced Workers</td>
<td>5</td>
</tr>
<tr>
<td>Registered Service Disabled Veteran Hires</td>
<td>15</td>
</tr>
<tr>
<td><strong>TOTAL SCORE:</strong></td>
<td></td>
</tr>
</tbody>
</table>

POINT STRUCTURE

1= Poor  
2= Below Average  
3= Average  
4= Above Average  
5= Excellent  

Evaluator's Signature  
Date
Procedures for Competitive Sealed Proposal Awards for Professional Services Requiring Board Approval:

A. Policy:

Orange County's selection process for professional services (architecture, engineering, landscape architecture, surveying or cartography/mapping) must be in accordance with Florida State Statute Section 287.055, The Consultants' Competitive Negotiation Act (CCNA).

B. Procurement Committee Composition:

The Procurement Committee shall consist of the following voting and non-voting members:

1. Board Delegate

   One member of the Board of County Commissioners shall be the monthly delegate to the Procurement Committee. Board members shall serve on a monthly rotational basis as delegates in numerical order of Commission District.

   The Board member shall sit on the Procurement Committee for one full calendar month. Participation on the committee is discretionary for the Board member designated for any specific month. If the month in which a Commissioner served has expired, the Commissioner will continue to remain as the Board Delegate for any specific project for which the review/evaluation process is not completed prior to the month’s end. If a Commissioner chooses not to participate, the committee shall proceed with its business and the Board member will be offered a briefing on the actions of the committee.

2. User Department or User Agency Delegate

   The Director of the department or agency of the County which will be the primary user of the procured service shall serve, or appoint a member of the using department or agency to serve on the Procurement Committee.

3. County Administration Delegate

   The County Administrator shall serve or appoint a representative to serve on the Procurement Committee.

4. At Large Technical Delegate

   A person may be designated to serve on the Procurement Committee who shall have special expertise germane to the procurement, and who is sufficiently qualified to evaluate the technical qualifications of the proposals, or shall otherwise be a person that provides useful assistance to the particular procurement. The delegate may be County staff, or in certain instances, members of the community. The inclusion of a non-county employee on a committee not involving multiple public entities requires the prior concurrence of the Procurement Manager.

5. User Division Delegate

   The Manager of the division which will be the primary user of the procured service shall serve, or appoint a member of the using division to serve on the Procurement Committee.
SECTION 7: REQUEST FOR PROPOSALS (RFP)  
EVALUATION PROCEDURES

6. Business Development Division Delegate

The Manager of the Business Development Division or the Administrator shall serve or designate a person to serve on the Procurement Committee.

7. Selection Committee Chairperson

The Procurement Committee shall be chaired by the Procurement Manager or designee, who shall be a non-voting member. The Chairperson shall assume those duties and responsibilities conducive to adherence to proper procedures and fair and consistent evaluation of all proposers and shall be in charge of all administrative processes and procedures concerning the Procurement Committee and its deliberations.

I. PROCEDURES:

The purpose of these procedures is to establish a fair, equitable and impartial process by which the Board of County Commissioners makes awards in competitive sealed proposals procurement for services of all types and when the Board otherwise selects architects, engineers, landscape architects, surveyors and cartographers/mappers, in accordance with the requirements of Florida State Statute 287.055.

The Procurement Manager and the staff of the Procurement Division retain overall control of the administration of the competitive sealed proposal process, including scheduling, record keeping, distribution of proposals and other materials, and preparation of all documents and recommendations required by the Board of County Commissioners and County Administrator.

The following delineates specific responsibilities of appropriate parties during this process. These procedures also incorporate the methodology whereby the Board of County Commissioners makes awards in competitive sealed proposal procurement.

A. Procurement Division

1. Obtains a budget amount and in most instances, a purchase requisition from the user department/division allocated for the project.

2. Confirms selection criteria with user department/division.

3. In accordance with the County Procurement Ordinance, advertises (if required) and issues Request for Proposals (RFP) including identification of the evaluation criteria and weights.

4. Reviews received proposals in order to determine prima facie responsiveness.

5. Distributes proposals to members of the Procurement Committee and distributes a list of non-responsive proposals, if any, including the reasons for such determination.

B. Business Development Division

Reviews and evaluates proposals according to MWBE criteria, bonus points for the hiring of Displaced workers and registered Service – Disabled Veterans and forwards recommendations to the Procurement Committee members via the Procurement Division. The extent of the Business Development Division’s involvement in the evaluation of other aspects of the proposal will be as prescribed by Administrative Regulation 9.01.13.
SECTION 7: REQUEST FOR PROPOSALS (RFP)
EVALUATION PROCEDURES

C. User Department/Division
   1. May request that the Procurement Committee consider additional criteria or changing of
      criteria weights prior to RFP issuance in accordance with item A.2. above. Determines
      relative weights of Criteria I (similar projects completed as the Project Manager) and II
      (similar projects completed by the Project Engineer/Architect). Criteria and weights
      (except as provided above) may be revised by majority vote of the Procurement
      Committee prior to issuance of the RFP.

   2. Presents the evaluations of the user department/division to other Procurement
      Committee members.

D. Procurement Committee
   1. Procurement Committee members shall individually review and score all submittals by
      proposers, and such individual scoring shall be done on ranking sheets provided for in
      the County’s administrative regulations or otherwise provided by the Procurement
      Manager. Scoring shall be based on the criteria provided in the RFP or in the
      administrative regulation. During deliberations and discussion by the Committee,
      individuals may change initial scoring, based on the merits of the discussion, provided
      such changes are initialed by the individual.

   2. The Chairman of the Procurement Committee shall ensure that minutes of Procurement
      Committee meetings are maintained.

   3. An announcement of each meeting of the Procurement Committee shall be posted at the
      Procurement Division at least one (1) working day prior to the meeting.

   4. Oral presentations are generally not allowed. However, Commissioners may request
      oral presentations by short-listed firms on any project. The short listed proposer’s
      project manager, who is named as the day-to-day project manager, may be required to
      be present at such oral presentations.

   5. When an oral presentation is to be part of the selection process, that fact will be
      advertised, when possible, in the Request for Proposals.

   6. Three voting members of the Procurement Committee shall constitute a quorum.

   7. Selection and scoring shall be by consensus if possible. If the Chairman deems a
      consensus impossible, he may so declare, and selection and scoring shall then take
      place by averaging the scores of the committee members. All score sheets must be
      submitted to the Procurement Manager for filing, verification and final validation for
      correctness. Decisions made at the meeting cannot be revised without concurrence by
      the Procurement Committee members.

   8. The Procurement Division shall:
      a. Post the recommended short-list and handle formal protests in accordance with
         the Orange County Procurement Ordinance.
b. Submit the alphabetized list of the top two or more proposers to Agenda Development for submission to the Board of County Commissioners. The Procurement Manager shall submit the consensus scores of the Procurement Committee members for the short-listed firms.

9. The Board of County Commissioners may:

Select a proposer (and generally two ranked alternates for professional services) and authorize contract negotiations and execution of the contract by the Procurement Division, provided the negotiated contract does not exceed the stated budget for the project services.

10. The User Department/Division or Agency and the Procurement Division:

a. Enters into contract negotiations the top ranked proposer selected by the Board. Such negotiations shall be conducted by the user agency and the Procurement Division with assistance from others as necessary. Negotiations conducted pursuant to a competitive solicitation shall comply with Florida Statute 286.0113(2)(b)(1) and 286.0113(2)(c)(1).

b. Submits a recommended negotiated contract to the Procurement Division for review and approval.

11. The Procurement Division obtains the evaluation from the Business Development Division, reviews the contract for price acceptability and cost analysis, and executes the contract after signature by the contractor if acceptable.

II. EVALUATION CRITERIA AND DEFINITIONS

A. Similar Projects Completed by Proposed Project Manager

Projects may each be awarded:

1. a full point for projects satisfactorily completed, similar in nature to the proposed project, and containing required disciplines listed in the description of similar projects.

2. a half point for projects satisfactorily completed, similar in nature to the proposed projects, and containing most but not all disciplines listed in the description of similar projects, or

3. zero points for projects not satisfactorily completed, not similar to the proposed project, or containing few of the disciplines contained in the description of similar projects, as determined on a case by case basis by the Procurement Committee.

Alternately, the RFP may specify certain project elements required to be completed for a full point and for a half point, and may also specify some elements as mandatory. In this case, the guidelines contained in the RFP for award of a full, half or zero points shall be used.
B. Similar Projects Completed by Proposed Project Engineer or Architect

Projects may each be awarded:

1. a full point for projects satisfactorily completed, similar in nature to the proposed project, and containing required disciplines listed in the description of similar projects,

2. a half point for projects satisfactorily completed, similar in nature to the proposed projects, and containing most but not all disciplines listed in the description of similar projects, or

3. zero points for projects not satisfactorily completed, not similar to the proposed project, or containing few of the disciplines contained in the description of similar projects, as determined on a case by case basis by the Procurement Committee.

Alternately, the RFP may specify certain project elements required to be completed for a full point and for a half point, and may also specify some elements as mandatory. In this case, the guidelines contained in the RFP for award of a full, half or zero points shall be used.

C. Skills and Experience of the Project Team

Based upon the information provided, the evaluation shall address the prime consultant's experience, personnel other than the project manager and project engineer or architect, the proposed sub-consultants’ experience, other resources available for the project, the management plan and organizational chart.

Points will be awarded as follows:

5 Points

Excellent - Members of the project team exhibit exemplary experience on projects of a similar nature. The management plan displays a superior knowledge and understanding of the scope of services.

4 Points

Above Average - Members of the project team exhibit experience that is more than adequate on projects of a similar nature. The management plan displays knowledge and understanding of the scope of services that is more than adequate.

3 Points

Average - Members of the project team display adequate experience on projects of a similar nature. The management plan addresses most but not all of the scope understanding.

2 Points

Below Average - Members of the project team exhibit experience in many of the basic elements...
of the project but are lacking in some essential elements of some aspects on projects of a similar nature.

The management plan addresses some but not all aspects of the scope understanding.

1 Point

Poor - Members of the project team fail to exhibit adequate experience on projects of a similar nature. The management plan fails to address all aspects of the scope understanding.

NOTE: One bonus point, to a maximum of five (5) points for each category, may be awarded in any one of these first three criteria for excellent work (as documented) performed previously for the County. Conversely, two (2) points may be deducted in any one of the first three categories for poor work (as documented) previously for the County. This provides the County an opportunity to evaluate actual performance which then may be a factor on future selections. Documentation supporting the award or deduction of one point may be distributed with the Procurement Committee Agenda upon the request of the using division/department.

D. M/WBE Participation

(Scores provided by the Business Development Division)

Scoring for M/WBE participation for Services projects shall be as follows:

5 Points

- Certified Orange County M/WBE firm proposing 24% M/WBE sub consultant utilization AND 24% minority/women workforce
- M/WBE Joint Venture with 24% workforce

4 Points

- Certified Orange County M/WBE firm proposing 24% M/WBE sub consultant utilization OR 24% minority/women workforce
- Non-M/WBE firm proposing 24% M/WBE sub consultants utilization AND 24% minority/women workforce
- M/WBE Joint Venture with less than 24% workforce

3 points

- Certified Orange County M/WBE firm, BUT has not stated that 24% of the contract will be utilized by M/WBE sub consultants AND 24% of their workforce does not consist of minority/women
- Non-M/WBE firm but will subcontract 24% of their ultimate fee to M/WBE sub consultant OR 24% workforce of minority/women

2 Points

- The proposer has a written statement that they will comply with Orange County M/WBE Ordinance as it relates to the M/WBE subcontract utilization
SECTION 7: REQUEST FOR PROPOSALS (RFP) EVALUATION PROCEDURES

1 Point

- Will not comply with the Orange County M/WBE Ordinance as it relates to the M/WBE subcontract utilization and the Minority/Women workforce goals

0 Points

- There is no M/WBE sub-consultant utilization and no percentage of minority/women workforce

Note: The evaluation of the Minority/Women workforce is based only on the employees in the following categories: Official/Manager/Supervisor; Professional; Technician; Apprentice and Intern/Co-Ops.

Scoring for M/WBE participation for Professional Services projects shall be as follows:

5 Points

- Certified Orange County M/WBE firm proposing 27% M/WBE sub consultant utilization AND 24% minority/women workforce
- M/WBE Joint Venture with 24% workforce

4 Points

- Certified Orange County M/WBE firm proposing 27% M/WBE sub consultant utilization OR 24% minority/women workforce
- Non-M/WBE firm proposing 27% M/WBE sub consultants utilization AND 24% minority/women workforce
- M/WBE Joint Venture with less than 24% workforce

3 points

- Certified Orange County M/WBE firm, BUT has not stated that 27% of the contract will be utilized by M/WBE sub consultants AND 24% of their workforce does not consist of minority/women

- Non-M/WBE firm but will subcontract 27% of their ultimate fee to M/WBE sub consultant OR 24% workforce of minority/women

2 Points

- The proposer has a written statement that they will comply with Orange County M/WBE Ordinance as it relates to the M/WBE subcontract utilization

1 Point

- Will not comply with the Orange County M/WBE Ordinance as it relates to the M/WBE subcontract utilization and the Minority/Women workforce goals
0 Points

- There is no M/WBE sub-consultant utilization and no percentage of minority/women workforce

Note: The evaluation of the Minority/Women workforce is based only on the employees in the following categories: Official/ Manager/ Supervisor; Professional; Technician; Apprentice and Intern/Co-Ops.

Bonus Points for Hiring Displaced Workers

Proposers can be awarded a maximum of five (5) bonus points for a commitment to hire displaced workers residing in Orange County, Florida as full-time employees for the duration of the contract. One point will be awarded for each new full-time hire up to and including a minimum of five (5) points.

Bonus Points for Hiring Registered Service Disabled Veterans

Proposers can be awarded bonus points for the following:

a. Registered service-disabled veteran business enterprise proposer competing as a prime Consultant shall receive (5) points

b. Registered service-disabled veteran business enterprise proposer with registered service-disabled veteran business enterprise sub-consultants on their team shall receive two points for each sub-consultant up to a maximum of ten (10) points.

c. Proposers with registered service-disabled veteran business enterprise sub-consultants on their team shall receive two points for each sub-consultant to a maximum of ten (10) points.

5 points:

The proposer is certified by Orange County Business Development Division as a minority business enterprise pursuant to the M/WBE Ordinance, AND 24% of their ultimate fee will be subcontracted to M/WBE professional/technical consultants AND 24% of the proposer’s professional/technical workforce consists of minorities and/or women.

4 points:

The applicant is certified by Orange County Business Development Division as an MBE or WBE, AND 24% of their ultimate fee will be subcontracted to M/WBE professional/technical consultants, OR 24% of their professional/technical workforce consists of minorities and/or women; OR is a non-M/WBE AND 24% of their ultimate fee will be subcontracted to M/WBE professional/technical consultants AND 24% of their professional/technical workforce consists of minorities and/or women.
SECTION 7: REQUEST FOR PROPOSALS (RFP)
EVALUATION PROCEDURES

3 points:
The proposer is certified by Orange County Business Development Division as an MBE or WBE BUT HAS NOT stated that 24% of their ultimate fee will be subcontracted to M/WBE professional/technical consultants, AND 24% of their professional/technical workforce does not consist of minorities and/or women; OR is a non-M/WBE BUT has certified that they will subcontract 24% of their ultimate fee to M/WBE professional/technical consultants, OR that 24% of their professional/technical workforce consists of minorities and/or women.

2 points:
The proposer has certified by written statement that they will comply with the Orange County M/WBE Ordinance, OR their written statement is less responsive than the previous criteria.

1 point:
Anything less than the above will be considered noncompliant to the M/WBE Ordinance.

0 points:
The proposer’s submittal will be considered non-responsive if the Letters of Intent for all M/WBE firms listed in their proposal are not included with the proposal.

NOTE: 51/49 minority/majority joint ventures, meeting the MBE/WBE criteria with at least 24% minority/women combined workforce in the official/manager/supervisor, professional technician, apprentice and intern/co-op categories, will receive 5 points regardless of the proposed utilization of M/WBE sub-consultants. Minority/majority joint ventures with less than 24% of its workforce consisting of minority and/or women in the categories mentioned above will receive 4 points although it will be considered an MBE or WBE.

E. Location (Proximity to the Project)
(Scores provided by the Procurement Division)

Points will be awarded as follows:

5 points:
90-100% of the total dollar amount of all work will be performed by prime consultant and sub-consultants with staff permanently assigned to their firm’s offices located in Orange and/or Seminole Counties.

4 points:
90-100% of the total dollar amount of all work will be performed by prime consultant and sub-consultants with staff permanently assigned to their firm’s offices located in the following counties, either singularly or in combination: Orange, Seminole, Lake, Volusia, Brevard, Osceola and Polk.

NOTE: This is exclusive of the percentages that warrant 5 points for work in Orange and/or Seminole as described above.
SECTION 7: REQUEST FOR PROPOSALS (RFP)
EVALUATION PROCEDURES

3 points:
80-89% of the total dollar amount of all work will be performed by prime consultant and subconsultants with staff permanently assigned to their firm’s offices located in Orange and/or the following counties: Seminole, Lake, Volusia, Brevard, Osceola and Polk

2 points:
50-79% of the total dollar amount of all work will be performed by prime consultant and subconsultants with staff permanently assigned to their firm’s offices located in Orange and/or the following counties: Seminole, Lake, Volusia, Brevard, Osceola and Polk

1 point:
The total dollar amount of all work to be performed by prime consultant and subconsultants does not fall within the categories stated above, but 50% of the work will be performed by prime consultant and subconsultants with staff permanently assigned to their firm’s offices located in the State of Florida

0 points:
Work to be performed does not fall within any of the categories listed above.

F. Volume of Work Previously Awarded by the County
(Scores provided by Procurement Division)

The total fees awarded to prime consultants as either a prime consultant or a sub-consultant for Orange County, Florida shall be considered at the following adjusted rates as of October 1 for the period noted. The date of the agreement or task authorization shall determine the applicable period.

1. From October 1 of previous fiscal year to current date
2. From October 1 – September 30 of fiscal year preceding #1 above 75% of the fee
3. From October 1 – September 30 of fiscal year preceding #2 above 50% of the fee
4. From October 1 – September 30 of fiscal year preceding #3 above 25% of the fee
5. Total fees under negotiation 90% of the budget

Points will be awarded as follows:

Firms with no previous work with the County as a prime consultant or sub-consultant during the current fiscal year and previous fiscal years 5 Points

Firms with adjusted fees of $1 through $2,000,000 4 Points
SECTION 7: REQUEST FOR PROPOSALS (RFP)  
EVALUATION PROCEDURES

Firms with adjusted fees of $2,000,001 through $3,000,000  
3 Points

Firms with adjusted fees of $3,000,001 through $4,000,000  
2 Points

Firms with adjusted fees of $4,000,001 through $5,000,000  
1 Point

Firms with adjusted fees exceeding $5,000,000  
0 Points

When a Joint Venture submits a proposal, the volume of work awarded by the County to each 
Joint Venture firm will be multiplied by the percentage of participation in the Joint Venture by 
that firm and those adjusted figures totaled to determine the total dollar amount to be used in 
the category.

G. Approach to the Project/Scope Response and Understanding

Proposed design philosophy; anticipated problems and concern for design and/or construction; 
alternatives or proposals to solve anticipated problems and concerns; budgetary and cost 
containment considerations, possible alternatives for cost savings and/or energy conservation to 
the County; permitting considerations, if any, and approach to obtaining such permits.

Points will be awarded as follows:

5 points:

Excellent - Proposal exceeds minimum requirements, specifications, or provisions in most or all 
aspects for this specific criteria.

4 points:

Above Average - Proposal more than adequately meets the minimum requirements, 
specifications, or provisions of the specific criteria and exceeds those requirements in some 
aspects for this specific criteria.

3 points:

Average - Proposal adequately meets the minimum requirements, specifications, or provisions 
of the specific item and is generally capable of meeting the County's needs for this specific 
criteria.

2 points:

Below Average - Proposal meets many of the basic requirements, specifications, or provisions 
of the specific item but is lacking in some essential aspects for this specific criteria.

1 point:

Poor - Proposal is lacking or inadequate in most basic requirements, specifications, or 
provisions for this specific criteria.
H. Procedures for Competitive Sealed Proposal Award for Professional Services Not Requiring Board approval:

Requirements falling within this classification will be processed in the same manner as those exceeding the Board approval limit except that Board representation on the Procurement Committee and Board approval of the selections are not required. Professional services less than the thresholds in Florida State Statute 287.055 (4) (c) shall be awarded using a procurement method authorized by the Procurement Manager.

1. Administration of Continuing Contracts for Professional Architecture, Engineering, Landscape Architecture, Surveying and Cartography/Mapping Services:

a. **Purpose**

Continuing contracts for architecture, engineering, landscape architecture, surveying, cartography/mapping services provide a timely and efficient means to acquire professional services to support County operations. These procedures are consistent with the requirements of Florida State Statute 287.055.

b. **Procedures**

i. **Definitions:**

Continuing Contract shall mean a contract for architecture/engineering, landscape architecture, surveying, cartography/mapping services established on an annual or ongoing basis whereby the firm provides professional services to the County for projects for which construction costs do not exceed the thresholds set in Florida State Statute 287.055 (2)(g), for study activity when the fee for such professional services does not exceed the thresholds set in Florida State Statute 287.055 (2)(g) or for work of a specified nature as outlined in the contract required by the County. Continuing contracts containing work of a specific nature must receive approval by the Procurement Manager, prior to issuance of the solicitation.

The continuing contract specifies the types of services or projects to be performed, hourly rates, overall multiplier (including direct and indirect labor, fringes, general administrative and overhead and profit), and duration of the contract. Services for study activity or designs for construction, renovation, or rehabilitation activities are rendered on an as needed basis by means of a negotiated task authorization encumbered by purchase order, as defined below. Pricing shall be documented in project-specific price proposals for task authorizations issued against the continuing contract. Continuing contracts are established utilizing competitive sealed proposals.

Task authorization shall mean a project-specific work order issued against a continuing contract. A Task authorization specifies the specific project, project schedule and completion time, detailed scope of services and total compensation.
Study Activity shall mean professional services involving research, investigation and/or evaluation which result in the presentation of findings, recommendations and/or a general plan for resolving a problem or need, but which do not involve the preparation of specific project designs, plans, specifications or other documents that would be used in obtaining priced proposals or awarding construction contracts.

ii. Architecture, engineering, landscape architecture, surveying, and cartography/mapping services contracts may be established on a continuing basis for a period of one (1) year, renewable at one (1) year intervals for a maximum period of three (3) years, unless a longer period is authorized by the Procurement Manager. Contracts shall include a clause that allows the County to terminate at any time without cause with payment limited to services satisfactorily performed through the effective date of termination.

iii. Task authorizations should be negotiated on a lump sum basis to the extent possible. Such task authorizations shall be supported by a fee proposal showing the labor classifications, number of hours, contract hourly rate, break-even multiplier (direct and indirect labor, fringes, general administrative and overhead costs), profit margin, reimbursable, out-of-pocket expenses, and sub-consultant fees to determine overall lump sum cost.

iv. Prior to a task authorization or an amendment to a task authorization being approved under the terms of this procedure, sufficient funds for the services must be available in an appropriate, approved budget line item evidenced by receipt of a purchase requisition by the Procurement Division.

The following approval authority is hereby effective for task authorizations and amendments to task authorizations issued against continuing contracts:

(a) The Procurement Manager shall be authorized to approve individual task authorizations within the overall scope of the continuing contract up to $500,000.00.

(b) The Procurement Committee shall be authorized to review and provide preliminary approval of individual task authorizations within the overall scope of the continuing contract beyond the Procurement Manager’s approval authority. The Board has final authority for such task authorizations.

(c) The Procurement Manager shall be authorized to approve amendments to individual task authorizations up to the limits prescribed by the Procurement Ordinance. Amendments exceeding the Manager’s authority require Board approval.

(d) Task authorizations shall not be artificially divided to circumvent the above approval requirements.
Modifications are written changes to a contract or purchase order that revise the quantities or make changes within the scope of services and may include an extension of time to complete the contract. A change order is a contract modification.

**FORMAL CONTRACTS - MODIFICATIONS AFTER AWARD**

A. The Procurement Manager may authorize modifications for construction, and goods or services within the overall scope of the project or procurement up to the amount allowed by the Procurement Ordinance. If the amendment/change order exceeds the Manager’s authority, Board approval is required. With the exception of design-build and construction manager contracts, each department/division will be responsible for presentation of construction change orders to the Board for approval on the Consent Agenda. Construction change orders for design-build and construction manager contracts will be presented to the Board by the Procurement Division. The department/divisions shall follow guidelines issued by the Procurement Division on the preparation of these agenda items.

When the amendment/change order requires Board approval, a Price Negotiation Memorandum (Exhibit 3) shall accompany the request when submitted to the Procurement Division.

B. If a modification is outside the scope of the original project or procurement as determined by the Procurement Manager, a new formal solicitation must be issued unless soliciting would cause a significant delay or other adverse impact on the project. If a new solicitation would cause a significant delay or adversely impact the project, the user may seek to obtain the goods or services via an emergency procurement. Extension of time frames for completion of contracts may be authorized by the Procurement Manager.

C. **VERBAL ORDERS**

Under the following conditions, the Project Manager may issue verbal change orders to the contract:

1. To address bona fide emergency requirements. Emergency requirements are described as those requiring immediate action due to (1) an imminent or existing threat to the health, safety or welfare of persons or property and (2) conditions which poses serious economic damage to the County.

2. To ensure the continuity of critical elements of contract performance.

**Any such verbal direction shall be confirmed in writing by the Project Manager to the Contractor within five (5) calendar days after issuance. A copy of the written direction shall be provided to the Procurement Manager with documentation in the form of an emergency justification to support the action taken.** A formal change order and associated contract amendment, if applicable, will be negotiated in conjunction with the Procurement Division and shall succeed the written confirmation not later than thirty (30) calendar days after issuance of the verbal direction.

Board approval shall be obtained as applicable.
SECTION 8: CONTRACT MODIFICATIONS AND TERMINATIONS

PURCHASE ORDERS

A Change Order to a Purchase Order is handled in much the same way as the issuance of a Purchase Order. The department/division requesting the Change Order shall submit to the Procurement Division a Change Order Request (Exhibit 11) indicating the original purchase order number and the reason for the changes, i.e.; increase in quantity, addition of items, etc. A revised or new quote must accompany the change order form. Change order requests that list a price change with no explanation for the increase or decrease may be returned to the originator. A new requisition must be submitted for new FY encumbrances. Change orders will not be processed to extend services beyond the original PO service dates except in the instance of limited multi-year agreements such as leases, these shall include the number of periods being added once the budget is available. For instance “Months 13-24 of a 36 month lease”. Change Orders must be processed for all increases of the original Purchase Order. Change orders are also required to close out documents that have an open balance.

TERMINATIONS

The Procurement Manager shall have the authority to terminate for convenience or default all contracts entered into on behalf of the Board of County Commissioners.
SECTION 9: COMPETITION EXEMPTIONS, STANDARDIZATION AND ALTERNATE CONTRACT SOURCES

COMPETITION EXEMPTIONS

The following are exempt from the competitive requirements in accordance with the Procurement Ordinance:

A. Agreements between the Board of County Commissioners and non-profit organizations or governmental entities including the procurement, transfer, sale or exchange of goods and/or services.

B. Procurement of dues and memberships in trade or professional organizations; subscriptions for periodicals; postage; paralegal services, expert witnesses, court reporters and specialized legal services; abstracts of titles for real property; title insurance for real property; real property; water, sewer, and electrical utility services; copyrighted materials; patented materials; art and artistic services; employment agreements; and fees and costs of job-related travel, seminars, tuition, registration and training.

C. Goods and/or services given or accepted by the County via grant, gift or bequest.

D. Goods purchased with petty cash in accordance with established County procedures.

E. Purchases from State of Florida, Federal GSA Contracts or contracts awarded by any local, state, or national governmental agency, cooperative procurement organizations or procurement associations.

F. Blanket purchase orders issued on an annual basis wherein the exact quantity of items or identification of specific items cannot be determined in advance.

G. Items purchased for resale to the general public.

Such procurements, transactions and expenditures listed above shall be authorized by the Procurement Manager or designee. Such approval shall be requested utilizing the Purchase Order/Contract Documentation of Exemption Form (Exhibit 30).

Note, exemption from the competition requirement does not convey exemption from requesting a timely encumbrance before goods are delivered or services are rendered. A limited list of procurements may be submitted directly to finance without encumbrance. See Section 13: Invoices.

STANDARDIZATION

A. Compatibility to existing equipment shall be an acceptable justification for waiver of bidding procedures provided the item meets the other criteria within the definition of sole source item (i.e.; available from only one source and only item that will produce the desired results).

B. Where standardization is determined to be desirable by the Procurement Manager, the purchase of materials, supplies, equipment and certain contractual services may be made by negotiation. Approval levels stipulated in the County Procurement Ordinance shall apply to such purchases.

In order to standardize a good/service, the department/division must supply the following information:
SECTION 9: COMPETITION EXEMPTIONS, STANDARDIZATION AND ALTERNATE CONTRACT SOURCES

1. the number and types of the same or similar equipment or systems currently in the user’s inventory

2. reason(s) why the decision was made to standardize on a particular make or model. Qualified personnel should reach this decision after a comprehensive analysis of various equipment or systems. This analysis must be documented

3. discussion of operational advantages, if any, that the desired equipment/system has over comparable models and why these capabilities are essential to their mission

4. discussion of maintenance advantages, if any, that the desired equipment/system has over comparable models. Factors that should be considered include ease of maintenance; current skills of maintenance personnel; costs of additional training if another system or different equipment is procured; existing parts inventory; etc.

Departments/divisions are be cautioned that the conclusions they reach must be based on credible factors that are sufficient to withstand challenges from other manufacturers or their representatives and scrutiny by County authorities. Justification for the equipment/system must be signed or approved by an individual at the manager level or above.

All standardization requests must be approved by the Manager, Procurement Division.

ALTERNATE CONTRACT SOURCES (ACS)

A. The Procurement Manager shall have the authority to join with other units of government in cooperative procurement ventures when the best interests of the County would be served and the purchase is in accordance with County Ordinances.

B. Purchases in any amount may be made against established State of Florida, and Federal GSA contracts without bidding, provided they are in the best interest of the County. Minor dollar value optional items that are not covered by the utilized contract may be approved by the Procurement Division when adequate justification is provided. Optional items of significant dollar value will be procured separately. The determination as to whether an item is of minor or major dollar value will be made on a case-by-case basis by the Procurement Manager.

1. State Contracts – Prior to requisitioning services or equipment (especially vehicles/large trucks with dealer options) against a state contract, the department/division must evaluate whether the contract includes all of their requirements. If all requirements are not covered, they must decide whether they want the entire purchase to be made on the open market or whether they want to use the state contract for the covered items only.

2. Purchase orders for GSA contracts must be issued directly to the contractor that is listed on the contract. A dealer’s offer to quote the GSA price does not equate to an order under a GSA contract since such orders are not supported by the terms and conditions of the contract. In essence, the dealer’s quote is simply an open market price. A copy
of the GSA contract is required, which may be in the form of a published price list with
the applicable order, delivery, pricing and other terms included.

For GSA contracts other than those open to the County (designated as Cooperative
Procurement contracts), the GSA contractor must provide a specific written affirmation
that the contract and all of its terms, conditions and pricing shall apply to any order
issued by Orange County.

Procurements in excess of $50,000 utilizing a GSA Schedule shall be supported
by a price analysis memorandum demonstrating pricing advantages offered by the schedule.

C. Piggyback purchases may also be made against contracts from other governmental entities
provided the following criteria are met:

1. The work/services/commodities must be specifically with the scope of the contract and
the contract must be active. A purchase cannot be made against a contract that has
expired.

2. A bid tabulation, if solicited by an IFB, or scoring matrix if solicited by an RFP, proof of
award, copy of the contract, and information whether the award was competitive or sole
source must be supplied. If competitive, the file will be documented with information to
support the competitive nature of the contract

Insurance and/or bonds, as applicable, in accordance with the County’s standard
procedures shall be obtained from the contractor for contracts involving: construction,
services performed at a County facility or on County property or services performed for
the County in which liability may be an issue and instances where commodities requiring
frequent visits to County facilities/property.

D. ALTERNATE CONTRACT SOURCE APPROVAL PROCESSING

1. CONTRACT APPROVAL

Approval of Alternate Contract Sources shall be requested by submission of the
Alternate Contract Source Approval Form (Exhibit 31) and all documentation specified
therein to the Procurement Division for consideration. Approved alternate contract
sources will be logged by the Procurement Division and accessible by County
Departments and Divisions. Approval shall be at the contract level and not at the
cooperative entity or agency level.

2. REQUISITION APPROVAL

Purchases requisitioned under Approved Alternate Contract Sources shall be
accompanied by the Piggyback Requisition Checklist (Exhibit 32) and all documentation
specified therein.
I. INTRODUCTION

The Orange County Procurement Card Program is designed to improve efficiency in processing low dollar purchases from any vendor that accepts the Visa credit card.

This program will allow the cardholder to purchase approved commodities and services directly from vendors. Each procurement card is issued in the cardholder’s name and Orange County, which are clearly printed on the card.

The Procurement Division in partnership with the Comptroller are responsible for the overall management of this program.

A. PURPOSE

The purpose of these policies and procedures is to accomplish the following:

1. To provide an efficient method of purchasing and paying for goods and services not exceeding $1,500 per purchase. For travel card information and procedures, refer to Section I., D Responsibilities of Key Personnel and Section II, E. Division Travel.

2. To ensure procurement card purchases are in accordance with the County’s policies and procedures.

3. To provide a convenient method for small purchases, payment consolidation, improved customer service, transaction costs reduction, streamlining processes and capturing spending information.

4. To reduce the time spent by Accounts Payable processing low dollar transactions.

5. To provide for disciplinary action if the procurement cards are misused (see Disciplinary Action Section).

The policies and procedures provided herein are minimum standards for department/divisions who may establish additional controls beyond those stipulated herein.

The procurement card has been proven to be an effective, and efficient method for small purchase procurements.

B. HOW IT WORKS

The Procurement Card program simplifies the procurement/disbursement process by allowing the cardholder to place orders directly with the vendor, and pay for allowable expenses for the cardholder. Division travel cards are issued to pay for allowable expenses for employees who do not have a regular County procurement card.

When a purchase authorization is requested by the supplier at the point-of-sale, the Visa Procurement Card system validates the transaction against preset limits. All transactions are approved or declined (electronically) based on the established procurement card authorization criteria. The authorization criteria may be adjusted as needed and may include, but is not limited to the following:

1. Number of transactions allowed per day (30)

2. Single purchase limit (not to exceed $1,500)
3. Monthly spending limit (not to exceed $37,500)
4. Approved Merchant Category Codes

The above limits may be increased for selected positions based upon specific duty requirements. Any such increases shall be approved by the Procurement Manager, with a copy routed to the Comptroller/Accounts Payable.

The authorization process occurs through the electronic system that supports the procurement card processing services under the County’s agreement with the issuing bank.

C. TRAINING

All cardholders shall complete training before they are issued a procurement or travel card. Transaction Approver/Division Representative must take the same training before they assume the responsibilities of this position. This training is also required for supervisors and division managers.

1. Participating in the Procurement Card Program is a privilege offered by the County. Inappropriate use or late submittal of statements may result in suspension or revocation of cardholder privileges (see Prohibited Usage and Disciplinary Action sections).

D. RESPONSIBILITIES OF KEY PERSONNEL

The following is a summary of responsibilities of the individuals and organizations involved in the Procurement Card Program:

Division Manager:

1. Request procurement cards/travel cards for designated employees (Exhibit 12)
2. Designate representatives responsible for authorizing charges

Cardholder:

1. Hold and secure procurement card (Allow no one else to use the card)
2. Order material and services
3. Collect and save sales receipts
4. Match receipts with monthly card statement and monthly mandatory purchase log
5. Review monthly statements for validity of all transactions
6. Sign monthly statements authorizing charges
7. Assign cost center codes (accounting lines) for each transaction
8. Identify disputed charges, file dispute with the bank and record on monthly mandatory purchase log
9. Provide Finance with supporting documentation for the dispute
10. Provide Transaction Approver, also known as Division Representative with completed and signed monthly statement by the internal deadline set by the department/division
11. Surrender procurement card to Transaction Approver upon termination of employment

Division Travel Procurement Cardholder:

1. Purchase travel services such as airline tickets, hotel reservations, conference fees and training fees for employees of the department/division. Not allowed to be used for meals or car rental charges.
2. Hotels – a pre-authorization form may be required by the selected vendor. The cardholder must comply with the vendor’s request.
3. Collect all receipts, approved Travel Request/Reimbursement forms (refer to Administrative Regulations 7.11 and 7.112), and all supporting documentation associated with the travel related purchase(s)
4. Match all receipts with monthly purchases, the monthly statement and monthly mandatory purchase log
5. Sign monthly statement authorizing charges
6. Assign cost center codes (accounting lines) to each transaction
7. Identify disputed charges, file disputes with the bank and record on monthly mandatory purchase log
8. Provide Finance with supporting documentation for the dispute
9. Provide Transaction Approver with completed and signed monthly statement by the internal deadline set by the department/division

Transaction Approver, also known as Division Representative:

(Cannot be a cardholder and MUST be approved by completing an Orange County Procurement Card Transaction Approver/Division Representative Form. This form must be submitted by the applicable manager to Procurement and Finance)

1. Review monthly statement to verify charges are for a public purpose and verify that each transaction has supporting documentation
2. Sign the monthly statement authorizing charges
3. Assign or verify cost center codes (accounting lines) for each transaction
4. Assist in handling disputed items, verify appropriate action has been followed and included on the monthly mandatory purchase log. The Transaction Approver/Division Representative will also prepare a monthly log of the cardholders expected credits and disputed items for follow-up purposes and management’s review
5. Forward statement and supporting receipts to Comptroller/Accounts Payable by program deadline
6. Serve as liaison with Comptroller/Accounts Payable and Procurement
7. Check budget availability to support purchases and process budget transfers as necessary
8. Collect cards from cardholders that cease employment (obtain and verify statement of all purchases prior to issuance of last payroll check)
9. Take immediate action to ensure cards are returned to the Purchasing Card Program Administrator and cancelled when employees transfer, terminated or otherwise concludes employment with the County.

Procurement Card Program Administrator (Procurement Division)

1. Coordinate issuance and cancellations of cards with issuing bank
2. Coordinate program policy issues
3. Participate in ongoing program reviews and recommended process improvements
4. Coordinate and maintain internal controls
5. Maintain policy and cardholder guides/manuals
6. Receive and issue cards
7. Review all procurement card requests
8. Pursue supplier discount opportunities
9. Evaluate procurement card feedback from supplier
10. Provide initial and ongoing training to all cardholders and transaction approvers/division representatives
SECTION 10: PROCUREMENT CARD PROGRAM

11. Prepare and send out notification letters of disciplinary actions to cardholder, transaction approvers/division representative, and Finance 
12. Review transactions for possible misuse situations 
13. Prepare and maintain a cardholder and transaction approver/division representative reference file

Accounts Payable (Comptroller)
1. Receive approved monthly statements from all cardholders 
2. Receive consolidated statement from issuing bank and confirm that all charges are authorized by cardholder and transaction approver/division representative 
3. Notify divisions when approved monthly statements are not received 
4. Pay all monthly charges from consolidated statement 
5. Review accounting lines per transaction 
6. File and store statements, receipts etc. 
7. Administer 1099 reporting 
8. Participate in resolving supplier billing disputes 
9. Coordinate program policy issues 
10. Coordinate and maintain internal controls 
11. Review transactions for possible misuse situations and report to the Procurement Division 
12. Provide the Procurement Division with a list of possible cardholder and transaction approver/division representative violations 
14. Participate in ongoing program reviews and recommended process improvements 
15. Review and record cardholder suspensions or revocations 
16. Suspend or revoke cardholder privileges subject to Procurement Division approval 
17. Verify the cardholder and transaction approver/division representative reference file provided by the Procurement Division

Internal Auditor (Comptroller)
1. Conduct periodic operational and compliance audits

II. POLICIES AND PROCEDURES
A. LIMITATIONS ON USE OF PROCUREMENT CARD

1. CARDHOLDER USE ONLY
   Only the employee whose name is embossed on the card may use the procurement card. **No other person is authorized to use the card.**

2. COUNTY PURCHASES ONLY
   The procurement card is to be used for County authorized purchases only. The procurement card **cannot be used for any personal use.** Any such use will require immediate reimbursement and will result in disciplinary action, which may include dismissal (see Pages 10-13 and 10-14, Misuse of Procurement Card).

3. DOLLAR LIMITATIONS
a. The division manager approving the assignment of a purchasing card will set two limits for each cardholder, a single purchase limit and a 30-day limit. The maximum limits shall be $1,500 for a single purchase and $37,500 during the 30-day billing cycle. Requests for spending limit changes may be requested by the division manager.

b. A purchase of multiple items under one transaction may be made, but the total purchase cannot exceed $1,500. Charges for purchases shall not be split to stay within the $1,500 single purchase limit, as this is considered pyramiding.

4. PAYMENT FOR CELL PHONES AND EQUIPMENT/ACCESSORIES

a. Automated billing against the procurement card is prohibited. Cardholders should contact the vendor each time they want to charge cell phones or equipment/accessories to the procurement card.

5. OTHER CONDITIONS/USES

a. All items purchased over-the-counter, by telephone or internet must be immediately available. Back orders are prohibited. All items purchased must be delivered by the vendor within the 30-day billing cycle. Do not misinterpret this to mean delivery within 30-days. This refers only to the billing cycles.

6. TRAVEL

a. Cardholders may use their procurement card for travel expenses with a completed and approved Travel Request/Reimbursement Form (refer to Administrative Regulation 7.10-7.12). Authorized charges would be registration, training fees, airline tickets, hotel bills and parking for the cardholder ONLY. Meals, gasoline, dry cleaning, non-business phone calls/faxes, movie rentals, etc are prohibited.

b. DIVISION TRAVEL CARD:

i. Each division will have a travel card assigned to a designated individual who will purchase travel services for division employees who are not cardholders. Purchases may be made to acquire airline tickets, hotel reservations, conference and training fees for employees of the division. It shall not be used for meals or car rental charges. The traveler must be prepared to pay for the additional charges.

ii. The travel card may also be used to support travel by non-County personnel who are performing official County business. This card shall not be used to support contractor personnel at any tier.

c. An approved Travel Request/Reimbursement Form (refer to Administrative Regulations 7.10-7.12) must be completed before placing any charges on the purchasing or travel card. A copy of this form shall be included with the cardholder monthly statement submitted to Finance.

7. Subscriptions, fees for certification/re-certification, membership dues in professional organizations are allowed on the procurement card for employees other than yourself;
however, that person must countersign the supporting documentation (invoice/membership renewal form, subscription renewal form, etc).

8. **PROHIBITED USES OF PROCUREMENT CARD**

The following types of items **shall not** be purchased with a procurement card, regardless of the dollar amount.

a. Gasoline, fuel, or oil for County or personal vehicles (Exceptions approved through Procurement)

b. Cash advances

c. Charges for telephone, cell phone, or utility services

d. Any items or services available on a County term contract with the exception of purchases from the Countywide office supply contract

e. Any additional goods or services specifically restricted by their department/division or by the Procurement Division

f. Back orders

g. Auto payments

h. Pyramiding- splitting invoices up in order not to exceed $1500 single purchase

i. Food purchases that are travel related

j. Maintenance and service agreements in excess of $1,500 per year

k. Items purchased that do not serve a public purpose (includes purchases of a personal nature)

l. Payment of invoices for purchases more than 45 days old

m. Vendors who require payment through a PayPal account that is set-up with the procurement card. This does not apply to PayPal merchant invoices which do not require an account setup.

n. E-Pass or Sun Pass charges

o. Prohibited travel charges as identified in 6a

p. Transactions that must meet the County’s insurance requirements such as services performed on-site or hand delivered on-site (other than common courier delivery)

q. Transactions that have any agreement that requires signature for the processing of the transaction

The Procurement Division may, when operationally necessary, approve p-card exception requests for the exclusions above (Exhibit 26), in these instances written approval is obtained from Risk Management waiving the insurance requirements, utilizing the p-card exception form (Exhibit 26).
B. PROcedures for USE of the PROCUREMENT CARD:

Cardholders using the Procurement Card shall:

1. Ensure that the goods or services to be purchased are for a public purpose, within your authorized Merchant Category Code (MCC).

2. Verify that the intended purchase is within cardholder’s procurement card limit.

3. Inform the vendor that the purchase will be made using the VISA Procurement Card authorized by Orange County. Cardholder shall present County identification at time of purchase.

4. Inform the merchant that the purchase is sales tax-exempt. Review the receipt before signing receipt, and if taxes were included, request a credit. The credit must be processed as a separate transaction and you must obtain a copy of the credit slip. The copy of the credit slip shall be submitted with the applicable statement for the incorrect billing and also with the statement containing the credit.

5. Monthly Procurement Card Purchase Log (Mandatory), shall be completed for each purchase made, and submitted to Finance with the monthly statement. The use of this form may reduce the likelihood that the cardholder will incur an infraction involving late invoices.

C. TELEPHONE/MAIL ORDERS:

1. If you place a telephone or mail order and sales taxes was charged or the billed amount is incorrect, contact the merchant and request that a credit be processed for the incorrect amount. A copy of the credit sales receipt is required.

2. Purchases made and for use in Florida are exempt from Florida sales and use taxes. The County’s tax-exempt identification number is printed on the face of the procurement card. As with all County purchases, the cardholder must be diligent when dealing with merchants regarding taxes.

3. If the item is not currently available, the procurement card cannot be used for the transaction.

4. To ensure prompt delivery of items ordered by telephone or by mail, provide the vendor with the appropriate delivery information – do not use Post Office Box for “Ship to” addresses. Request that your name and “Ship to” address with room number (where applicable) be clearly marked on the outside of the package.

5. Instruct the vendor to send the sales receipt directly to the cardholder or the ship to address and not to send an invoice to Accounts Payable.

6. Inform the vendor that any shipping or delivery fees must be either included in the unit price – FOB: Destination, or listed as a separate item on the transaction.

D. INTERNET ORDERS
1. Identify the purchase needed and determine if the transaction amount is within the approved single transaction limit of $1500.00.

2. Procurement Cards should only be used on secured internet sites. A secured site has a closed “lock” on the screen. If you do not see a lock, or if the lock is opened, then do not enter your procurement card information, as this is not a secure web site.

3. Locate the merchant’s web site and place order.

4. Purchase goods/services and print all pages from the on-line order that details the item, quantity and total cost of the transaction to submit with your statement packet.

5. Purchases made and for use in Florida are exempt from Florida sales and use taxes. The County’s tax-exempt identification number is printed on the face of the procurement card. Vendors can be located in Florida, but if the items are ordered and shipped from a location outside of Florida, you may be required to pay tax. As with all County purchases, the cardholder must be diligent when dealing with merchants regarding taxes.

6. Relay all pertinent information to supplier (name, card number, expiration date and a Orange County physical shipping address, not a PO Box). The “bill to” and “ship to” name and address should list the county department/division placing the order.

7. If an Internet purchase is a product that requires downloading, print out the electronic confirmation for submission with statement packet.

8. Inspect and verify order for accuracy, quality and price when merchandise arrives.

9. Retain shipping documents and receipts received with merchandise to also submit with your statement packet.

**Amazon – Tax Exempt Account**

The name on the account must begin with Orange County Board of County Commissioners, followed by the cardholder’s name. An authorized Orange County work email address must also be used. If you do not already have an Orange County Board of County Commissioners account with Amazon.com, one must be created before placing an order.

- Log onto Amazon.com.
- Choose “New Customer” under “Sign in”.
- Complete the Registration information.

**NOTE:** You must make the name on the account: Orange County Board of County Commissioners/your name embossed on P-Card. If you already have an account in the name embossed on your P-Card, add the OCBCC at the beginning of your name. An authorized Orange County work email address must be used. OCBCC in the account name and an authorized Orange County work email address is important to ensure that Amazon.com will allow tax exempt status to an account.

- After completing the registration, click “Create account”.
- Go to “Your Account”.
- Choose “Settings”, then “Amazon Tax Exemption Program”.
- Upload the Orange County Board of County Commissioners tax exempt certificate which can be found on County Files link under the tab, Search County File, consumer’s certificate of (tax) exemption:
- You will get a notice from Amazon.com when you have successfully uploaded your tax exemption information. You only have to do this one time.
If an order gets charged tax, call 866-486-2360 (Amazon.com tax department) to get credit for the tax. The tax exempt status does not apply to third party sellers on Amazon.com in which the vendor sells and ships their own product. You will need to contact these vendors directly to not be charged tax.

E. MERCHANDISE RETURNS

Cardholder is responsible for managing any returns/exchanges and ensuring that proper credit is received for returned merchandise.

1. Contact the vendor and obtain instructions for return.
2. Review your next statement to ensure that your account is properly credited for the return (pending credit documentation is a requirement when submitting your statement and should be listed on the mandatory purchase log).

F. DIVISION TRAVEL CARD HOLDERS

1. Division travel cards shall be utilized for travel related purchases made for the Division employees that do not hold an Orange County procurement card.

2. Travel cards are to provide an efficient method of purchasing and paying for travel services not exceeding a single purchase limit of $5,000 and a monthly spending limit of $37,500.

3. Cardholders may use their travel card for travel expenses with a completed and approved Travel Request/Reimbursement Form (refer to Administrative Regulation 7.10-7.12). Authorized charges include registrations, training fees, seminars, airline tickets, hotel accommodations. Prohibited charges include meals, gasoline, dry cleaning, non-business phone calls/faxes, movie rentals, car rentals, parking.

G. DOCUMENTATION, RECONCILIATION AND PAYMENT PROCEDURES

1. Documentation – Any time a purchase is made with the procurement card, the cardholder shall obtain an itemized vendor’s sales receipt, or detailed invoice. These items are required documentation therefore, the original invoice/receipt should be supplied to Comptroller/Accounts Payable with the monthly statement.

2. Working meals and travel related charges require additional completed forms supplied to Comptroller/Accounts Payable with the monthly statement (refer to Administrative Regulations 7.10, 7.11 and 7.14).

3. Missing Documentation – If the cardholder is unable to obtain the sales receipt or invoice from the vendor, he/she must attach an explanation statement that includes a description of the item, date of purchase, vendor’s name and why there is no supporting documentation. An email from the cardholder or transaction approver/division representative which includes the above information is acceptable as a missing documentation statement.

The monthly Procurement Card Purchase Log is a mandatory form. To properly
document these deficiencies, this form must be submitted with the cardholder’s monthly statement.

**Instances of frequent abuse of this provision will result in suspension or revocation of the cardholder’s procurement card privileges.**

4. **Expenditures** – Purchases made by employees will be expensed by Accounts Payable once the cardholder certification and approving official verification has been completed and accounting numbers assigned for each transaction and verified.

   a. **Receipts:** The itemized vendor’s sales receipt for purchases must be supplied. When purchases are conducted by telephone, request the vendor to forward the receipt to you.

   These receipts are to be stapled together (one staple, large clip, or rubber band) and included with the monthly statement in the order they appear on the statement (if the receipt is small, tape it to a letter sized piece of paper, if the receipt is printed on glossy, ncr paper, make a copy of the receipt and tape the original copy next to it). **Failure to keep adequate receipts will lead to the loss of procurement card privileges.** If receipts are lost or lack detail, provide a missing documentation statement.

   b. The statements will be accessible via on-line website beginning on the 5th of each month and available for cardholder access for transaction notes and accounting line information until the statement deadline set by the Procurement Division, Comptroller/Accounts Payable, or the Procurement Card Administrator. This statement will have a listing of all items processed within the past 30 days of the billing cycle. Specific detail on accessing the website will be provided by the Procurement Division Program Administrator.

   c. The cardholder is required to review the statement and to note any errors on the bill. Any notes or justifications can be typed in the section located on-line available in each transaction section. The receipts will then be attached to the copy of the statement printed from the on-line website.

   The cardholder signs the statement next to their printed name, certifying items purchased, assigns accounting lines, and forwards to the designated transaction approver/division representative within the internal deadline designated by the department/division after it is available on-line.

   By signing the statement, the cardholder is certifying that all charges are appropriate, authorized, and supported by attached receipts. Noncompliance will result in suspension or revocation of cardholder privileges.

   d. The division’s designated representative (transaction approver/division representative) reviews statements from cardholder, verifies accounting lines, verifies budget availability and signs and prints their name on the statement clearly identifying him/her as the division’s designated representative. The division’s designated representative will forward any “Statement of Disputes” with the applicable monthly statement to Accounts Payable for resolution with the issuing bank. By signing the statement, the transaction approver/division representative is also certifying that all charges are appropriate, authorized, and
supported by required documentation; therefore, responsibility is also placed on the division’s designated representative.

e. **Cardholder Absence**: It will be the responsibility of the cardholder to provide his/her division’s designated representative with the documentation for the statement, which will be prepared by this individual in the cardholder’s absence. The division manager and/or designated representative will complete the statement, make a copy for the absent employee and forward to Accounts Payable. The cardholder can also contact Procurement or the Comptroller/Accounts Payable to bring their card limit down to one dollar during their absence, to reduce the risk of someone else using their card. **The original cardholder statement will be signed by the cardholder at the time he/she returns.**

Designate a specific location for the documentation necessary to process your statement and advise your transaction approver/division representative or designee of this location.

f. The transaction approver/division representative will be responsible for the receipt of statements from all cardholders, verification of budget availability, verification of purchases, resolution of any questions on the purchases, signing the statements and forwarding complete cardholder statements with all attachments to Comptroller/Accounts Payable on or before the 25th of each month. If the statements are not received by the transaction approver/division representative in a timely manner, it will be his/her responsibility to contact the cardholder for immediate submission.

g. All supporting documentation must be stapled to the statement in the order they appear on the statement and must be in the Comptroller/Accounts Payable office on or before the 25th of every month. If the 25th falls on the weekend or a holiday, the statement is due the following business day.
H. APPROVAL

1. Based on their knowledge of the cardholder’s responsibilities, the transaction approver/division representative shall review each purchase to determine if the purchase was for a public purpose and in accordance with these procedures.

2. If for any reason the transaction approver/division representative questions the purchase(s), it is his/her responsibility to resolve the issue with the cardholder. If they are not satisfied that the purchase was necessary and for a public purpose, the cardholder must provide a credit voucher proving item(s) were returned for credit. The transaction approver may also document the questionable transaction on the statement they are signing.

3. Resolution for improper use of the procurement card will be the responsibility of the transaction approver/division representative. **Unauthorized use of the procurement card will result in termination of card and privileges, and the cardholder shall be subject to disciplinary action up to and including termination of employment.**

4. OTHER DIVISION APPROVALS REQUIRED

Prior to making transactions for certain purchases the specifications must be coordinated with applicable divisions listed below. Documentation confirming that this review has been completed shall be submitted with the card statement reconciliation. This listing is subject to change.

<table>
<thead>
<tr>
<th>TYPE OF EQUIPMENT</th>
<th>DIVISION REVIEW</th>
</tr>
</thead>
<tbody>
<tr>
<td>Computer Equipment and Software (excluding mouse, keyboard and flash drives)</td>
<td>Information Systems and Services Division</td>
</tr>
<tr>
<td>Fire Extinguishers</td>
<td>Risk Management Division</td>
</tr>
<tr>
<td>Mobile Communication Devices</td>
<td>Information Systems and Services Division</td>
</tr>
<tr>
<td>Geographic Information Systems</td>
<td>Geographic Information Systems Division</td>
</tr>
<tr>
<td>Automated External Defibrillators (AED) for County Facilities.</td>
<td>Health Services Department</td>
</tr>
</tbody>
</table>

I. DISPUTES/UNAUTHORIZED CHARGES

1. If a charge appears on a monthly statement in error or is incorrectly billed, the cardholder must first attempt to contact the vendor immediately. If the cardholder cannot obtain a credit from the vendor, they should complete the dispute form supplied by the bank and follow the required procedures. The cardholder is responsible for handling the
dispute initially. The transaction approver/division representative shall verify that the dispute was handled properly. The dispute form should be forwarded with the statement that the dispute charge appears and with the statement that includes the resolution (credits or price adjustments).

2. If items purchased with the procurement card are found defective or the repair or services faulty, the cardholder has the responsibility to return item(s) to the vendor for replacement or receive a credit on the purchase. If the vendor refuses to replace or correct the faulty item, the purchase of this item will be considered to be in dispute.

J. REQUEST FOR PROCUREMENT CARDS, DIVISION TRAVEL CARDS OR CHANGES TO PROCUREMENT CARD

1. All requests for new cards or changes to current cardholder information will be submitted on the "Request for Procurement or Travel Card" form. This form shall be signed by the division manager. The form will be submitted to the Procurement Card Program Administrator in the Procurement Division and to Comptroller/Accounts Payable for cardholder and transaction approver/division representative verification. Procurement cards may be issued to individual employees who frequently purchase goods and services.

2. When procurement cards are received at the Procurement Division from the bank, the cardholder will be required to complete orientation training and execute the cardholder agreement before issuance of the card. All cards issued shall be signed by the cardholder.

3. The procurement card will contain the employee’s name, the County’s name, the applicable tax exemption number and the expiration date embossed on the face of the card.

K. LOST OR STOLEN PROCUREMENT CARDS

1. If a procurement card is lost or stolen, it is the responsibility of the cardholder to immediately notify the issuing bank, the Procurement Card Program Administrator and Comptroller/Accounts Payable. The telephone number of the issuing bank will be provided when the procurement card is issued to the cardholder. Telephone notifications will be confirmed in writing using the Procurement Card Notification of Lost or Stolen Card form. Failure to promptly notify the issuing vendor of the theft, loss, or misplacement of the procurement card may result in the County being liable for any fraudulent use of the card and loss of privileges for the cardholder. Disciplinary action as appropriate may be taken against the cardholder.

L. TERMINATION/TRANSFER

1. Cardholders whose employment is terminated must return their procurement card on or before separation. The card will be returned to the Procurement Card Program Administrator through the respective transaction approver/division representative in a secure manner.

2. Termination of Procurement Card. Upon receipt of the card, the Procurement Card Program Administrator will take timely action to deactivate the card and destroy it. A cardholder who uses a procurement card after separation shall be subject to legal action.
3. The transaction approver/division representative, supervisor, manager or higher authority must be aware of circumstances, which may require the termination of a cardholder’s rights beyond what has been specifically cited in these procedures.

A manager should consider termination of an employee’s procurement card when the following situations occur:

a. Employee has been identified for termination.

b. Employee is scheduled to take an extended leave from County employment (can also reduce the card limit to one dollar until the cardholder returns).

c. Employee is charged with a felony offense or a misdemeanor involving fraud.

d. Employee is or will be hospitalized for an extended period (can also reduce the card limit to one dollar until the cardholder returns).

e. Employee will be absent from the duty section for an extended period for any other reason.

4. Any cardholder transferred from one division to another within the County shall return their procurement card to the respective transaction approver/division representative for submission to the Procurement Card Program Administrator for disposition. The form entitled “Procurement Card Termination Form” is for this purpose.

5. Procurement cards canceled for any reason shall be deactivated and destroyed by the Procurement Card Program Administrator.

M. CARDHOLDER COMPLIANCE WITH REPORTING AND USE REQUIREMENTS

Delinquent Statements:

A cardholder is in violation when they fail to submit the statement along with the required documentation, including all applicable reconciliation functions by the deadline set by the Procurement Division and Comptroller/Accounts Payable.

1. First Occurrence - Written Notice of Warning to the cardholder from Comptroller/Accounts Payable.


3. Third Occurrence – Refer infraction to Procurement for three (3) month suspension.

4. Fourth Occurrence - refer infraction to Procurement for six (6) month suspension.

5. Fifth Occurrence – refer infraction to Procurement for twelve (12) month suspension.

6. Sixth Occurrence – refer to Procurement to permanently revoke cardholder privileges.
7. All warnings and infractions will be noticed to the cardholder and copied to the Division Manager, Transaction Approver/Division Representative, Procurement Manager, and Procurement Card Administrators.

**Misuse of Purchase Card:**

Procurement Card misuse includes, but is not limited to, pyramiding, other unauthorized purchases, and use of the card by someone other than the cardholder (including their staff or clerical support). The cardholder will receive notice informing them of the infraction and providing them the opportunity to respond with any additional information that might clarify the situation. If acceptable information is received, the infraction notice will be withdrawn. If no information is received or the information received is unacceptable, the warning will remain and future offenses will be handled as specified in this section.

1. First Occurrence - Written Notice of Warning to the cardholder.
3. Third Occurrence – Infraction letter to notify cardholder of a three (3) month suspension of cardholder privileges and mandatory procurement re-training.
4. Fourth Occurrence – Infraction letter to notify cardholder of a six (6) month suspension of cardholder privileges and mandatory procurement re-training.
5. Fifth Occurrence – Infraction letter to notify cardholder of twelve (12) month suspension of cardholder privileges and mandatory procurement re-training.
7. All suspension, revocations or terminations will be noticed, by the Procurement Division, to the cardholder and copied to the Division Manager, Transaction Approver/Division Representative, Procurement Card Administrator and Accounts Payable.

Any cardholder who demonstrates a lack of responsibility by failing to meet the overall requirements of the procurement card program will have their cardholder privileges permanently revoked.

Note: These requirements do not supersede Orange County Personnel Policy and cardholders may be subject to further disciplinary action.

**Personal Use of Purchase Card**

While each cardholder must clearly understand that personal purchases via the county’s purchase card are strictly prohibited, there may be certain instances in which an inadvertent or unintentional personal purchase is made. For these situations, the following steps must be closely followed:

1. Timeliness of Reimbursement – Cardholder must reimburse the county not later than one (1) business day following the transaction date.
2. Statement of Facts – In a typed, signed and dated memorandum, Cardholder shall document and submit the following information to the Procurement Card Administrator (Procurement Division) within one (1) business day following the transaction date:
a. Circumstances leading to the unintentional or inadvertent purchase to include a full explanation of the incident and how it occurred, nature and cost of purchase, and location of vendor/establishment where purchase was made.

b. Memorandum shall be countersigned and dated by the division manager under the statement – “I concur with the statement of _______________________ that this purchase was unintentional or inadvertent as detailed in Statement of Facts.”

Submission of the above documentation within the time prescribed above may limit suspension of the cardholder’s privileges to three (3) months. The cardholder may be subject to the permanent revocation of privileges if (1) the information is not submitted within the time period required or (2) the manager refuses to concur with the cardholder’s Statement of Facts.

If the cardholder’s privileges are suspended for three (3) months, reinstatement shall only be approved if the manager requests such in writing upon expiration of the suspension period.

Note: These requirements do not supersede Orange County Personnel Policy and cardholders may be subject to further disciplinary action.

N. REBATES, DISCOUNTS, GIFT CARDS/CERTIFICATES AND OTHER INCENTIVES

Any rebates, discounts, gift cards/certificates or similar incentives received from merchants based on purchases made by the cardholder belongs to the County and shall be submitted to the division manager or authorized representative for official use. These incentives shall not be used by the individual cardholder for personal use. Use of such incentives for personal gain is considered fraud and will result in appropriate disciplinary action up to and including termination of employment.

Gifts from vendors shall not be accepted. If gifts are received, they shall be given to Orange County’s GOV centers or returned immediately to the vendor.

O. AUDITS

This program is subject to periodic audits by the Comptroller.

P. 1099 COMPLIANCE

This program must be in compliance to 1099 vendors. Finance will have a 1099 compliance specialist and supervisor reviewing this information.

Q. VENDOR SIGN-UP

It is important to the success of the Procurement Card Program that vendors interested in doing business with the County via the procurement card receive assistance in doing so. If vendors currently accept VISA credit cards, they are already equipped to accept the County’s procurement card. Vendors not currently accepting VISA credit cards should be instructed by the cardholder to contact their appropriate financial institution.
On October 15, 2002, the Board of County Commissioners passed Ordinance 2002-15 that addresses posting, protest and lobbying for County solicitations. The protest and lobbying procedures are as follows:

**PROTEST PROCEDURES**

A. **General**

A protest is only valid if submitted no later than the fifth full business day after posting of the award recommendation at the Procurement Division. Agenda items/recommendations of award shall be posted for a period of five full business days. Challenges to award actions **prior to or after** this period are not valid. *The Contract Administrator/Purchasing Agent (CA/PA) ensures that the agenda item/recommendation of award remains posted to allow for the five business days to conclude. The CA/PA will ensure that the item is removed from the BCC agenda until the protest has been resolved.*

Upon receipt of a protest, the CA/PA, their supervisor, and the Procurement Manager will meet to discuss the protest and resolution strategy. Notices of all meetings with the protester to resolve the protest will be publicly posted.

The following situations shall not be the basis for a protest:

1. Decision to refuse to consider a bid or proposal received beyond the date/time established in the solicitation;
2. The decision to cancel a solicitation.

B. **Invitation for Bids**

An award recommendation/agenda item shall be posted at the Procurement Division no less than three (3) full business days after the decision to recommend award to a bidder is made. Any notice of protest must be submitted not later than 5:00 p.m., local time, on the fifth full business day after posting of the award recommendation in order to be valid. The item shall remain posted for the entire five full business days. **Failure to comply with this time limit renders the protest invalid.** The notice of protest must be in writing and must identify the protester, the IFB number and Title and contain a factual summary of the basis of the protest.

A formal written protest must be filed no later than 5:00 p.m. on the fifth full business day after the date of submittal of the notice of protest. **Failure to comply with this time limit renders the protest invalid.** The formal written protest shall:

1. identify the protester and the solicitation involved
2. clearly state the grounds on which the protest is based
3. refer to the statues, laws, ordinances or other legal authorities, which the protester deems applicable to such grounds
4. specifically identify the relief to which the protester deems himself/herself entitled. The protester shall mail a copy of the notice of protest and formal written protest to all other bidders and shall provide the Procurement Manager with evidence of this mailing. A formal written protest is considered filed with the County when the Procurement Division receives it. Failure to file the notice of protest and subsequent written formal protest within the time period specified shall result in the waiver of any right to protest.

Resolution by the Procurement Manager

1. The Procurement Manager will meet with the protester after receipt of a timely formal protest to attempt to resolve the protest (Protest Review). A letter establishing the date and time for the Protest Review will be issued. (This letter must be posted).

2. The Procurement Manager will issue a written decision to the protester with copies to all other bidders.

3. The protester may appeal the decision, in writing, to the Procurement Manager no later than 5:00 p.m. on the fifth full business day after the date of the decision. This appeal elevates the matter to a formal protest hearing.

4. If an appeal is received, a letter will be sent to the protester informing the protester of the date, time, and location of the protest hearing with a copy to all other bidders. A copy of the meeting’s agenda and the protest will be attached to the letter. A courtesy copy of the letter will be provided to the members of the protest committee along with a copy of the IFB, bids(s) involved, formal protest, and agenda. (This letter must be posted). The protest committee consisting of the Procurement Manager (non-voting chairperson), representatives from the County Attorney, County Administration, the user department (applicable division manager), and the Business Development Division will convene on the date/time/location arranged to resolve the protest (Protest Hearing).

5. At the protest hearing, the protester and any other actual or prospective bidders allegedly aggrieved by the award recommendation will be allowed to make an oral presentation. During the protest hearing, the agenda will be closely followed. The entire meeting is a public meeting which shall be held and recorded in accordance with Florida Statutes and the County’s Administrative Regulations.

6. Upon completion of deliberations, the Procurement Manager will document the committee’s decision and each member will sign and date the Protest Hearing Form (Exhibit 27). This form will be included in the official contract file.

7. The award recommendation/agenda item shall be revised to incorporate information on the protest and its resolution. A copy of the revised agenda item incorporating the protest and its resolution will be provided to the protester and other substantially affected persons or businesses. This agenda item shall not be posted.

8. The decision of the protest committee shall be final and conclusive unless the protester submits a letter of objection to the Board as outlined below or a party commences action in court.

9. A bidder who has protested a competitive bid procurement requiring Board approval may appeal to the Board if he/she believes the protest committee’s decision is unfair or
incorrect. To exercise this right, the protester must provide a letter of objection with all attachments (if any) to all Board members objecting to the protest committee’s decision no later than 5:00 p.m. on the fifth full business day after the date the Procurement Manager issues the protest committee’s written decision. The written decision shall be the final agenda item, which shall include a synopsis of the protest, and its resolution.

See Procurement Ordinance Section 17-313(g)(1) for specific information that must be included in the letter of objection. The bidder shall simultaneously deliver duplicate copies of the letter of objection with all attachments (if any) to the County Administrator, County Attorney and the Procurement Manager.

10. The Procurement Division shall ensure that a copy of the letter of objection is provided to all other bidders. Thereafter, the protester and any other bidders may lobby members of the board. Inquiries about lobbying requirements, procedures and restrictions shall not be addressed by the Procurement Division. Individuals requiring information on this issue shall be referred to the County Attorney’s Office.

C. Request for Proposals

A letter of protest must be received no later than 5:00 p.m. on the fifth full business day after posting of the agenda item. The agenda item shall be posted for five full business days. Failure to comply with this time standard renders the protest invalid. The protest letter must specifically delineate the alleged omission, error, mistake or incorrect evaluation, and include a suggested remedy, which could be administered by the procurement committee.

Note that the procurement committee used to evaluate the proposals shall also hear and resolve the protest. **A notice of protest is not applicable to a protest resulting from an RFP.**

Procurement Division staff will schedule and attend the protest hearing and confirm the protest hearing by letter to the protester with a copy of the agenda and any other pertinent documents. Courtesy copies of the letter will be provided to other interested parties and members of the procurement committee with a copy of the protest, agenda and any other pertinent documents. The protest hearing scheduling letter must be posted.

The protestor, their representative or counsel and any other parties affected by the procurement committee’s evaluation, may make an oral presentation at the protest hearing. The protest is public meeting which shall be held and recorded in accordance with Florida Statutes and the County’s Administrative Regulations.

Upon completion of the deliberations, the committee will document their decision on the Protest Hearing Form (signed by all members) and the final agenda item documenting the protest and its resolution will be issued to Agenda Development. A copy of the final agenda item documenting the resolution of the protest will be provided to the protestor and other substantially affected persons or businesses. This agenda item shall not be posted.

The decision of the procurement committee shall be final and conclusive unless the protestor submits a letter of objection to the Board or a party commences action in court.

A proposer (protestor) who has protested a competitive proposal procurement **requiring Board approval** may appeal to the Board if he/she believes the procurement committee’s decision is unfair or incorrect. To exercise this right, the protestor must provide a letter of objection with all
attachments (if any) to all Board members objecting to the protest committee’s decision no later than 5:00 p.m. on the third full business day after the date the Procurement Manager issues the procurement committee’s written decision.

The written decision shall be the final agenda item, which shall include a synopsis of the protest, and its resolution. See Procurement Ordinance 17-313-1(f)(1) for specific information that must be included in the letter of objection. The protester shall simultaneously deliver duplicate copies of the letter of objection with all attachments (if any) to the County Administrator, County Attorney and the Procurement Manager.

Procurement Division staff shall ensure that a copy of the letter of objection is provided to all other proposers.

Thereafter, the protester and any other proposers may lobby members of the Board. Inquiries about lobbying requirements, procedures and restrictions shall not be addressed by the Procurement Division. Individuals requiring information on this issue shall be referred to the County Attorney’s Office.

**LOBBYING PROCEDURES**

A lobbying blackout period begins upon issuance of the solicitation and continues until the Board selects the successful proposer/bidder. For procurements that do not require Board approval, the blackout period starts when the solicitation is issued and ends upon contract award. Proposers/bidders are allowed to lobby the Board only if a final decision has been made on their protest and if they are appealing the decision on that protest to the Board of County Commissioners.
A. Award of Design-Build Contracts

Contracts for the design-build of public construction projects for the County and independent agencies that utilize the services of the County’s Procurement Division shall be awarded in accordance with this procedure.

B. Definitions

For the purpose of this procedure, the following terms have the meanings indicated:

1. Design-Build

As defined by Orange County Procurement Ordinance 92-26, “The requirement for which a single contract with a Design-Build firm is entered into for the design and construction of a Capital Improvement construction project.” Further, providing one single administrative entity (a firm as defined herein) responsible for design and construction under one contract where architectural and engineering services are performed by a registered architect or professional engineer and where construction services are performed by a certified or registered contractor.

2. Design-Build Contract

A single contract with a design-build firm for the design and construction of a public construction project.

3. Design-Build Firm

A partnership, joint venture, corporation or other legal entity which:

   a. is certified under Florida State Statute 489.119 to engage in contracting through a certified or registered general contractor or a certified or registered building contractor as a qualifying agent, or is certified under Florida State Statute 471.023 to practice or to offer to practice engineering, or is certified under Florida State Statute 481.219 to practice or to offer to practice architecture; or is certified under Florida State Statute 481.319 to practice or offer to practice landscape architecture; or

   b. has among the principal parties to the legal entity a principal party which is certified under Florida State Statute 489.119 to engage in contracting through a certified or registered general contractor or a certified or registered building contractor as a qualifying agent; or among the parties to the legal entity a principal party which is certified under Florida State Statute 471.023 to practice or to offer to practice engineering, or is certified under Florida State Statute 481.219 to practice or to offer to practice architecture; or certified under Florida State Statute 481.319 to practice or offer to practice landscape architecture.

4. Design Criteria Package

Concise, performance-oriented drawings or specification of the public construction project defining the design and construction requirements.
The purpose of the design criteria package is to furnish performance criteria and sufficient information so as to permit design-build firms to prepare competitive technical and price proposals in response to the County’s Request for Proposals, in order to permit the County to enter into a negotiated design-build contract. The design criteria must be issued with the RFP on all competitive projects solicited via the competitive proposal process. For a qualifications based process, the design criteria package shall be provided to the top ranked firm after Board approval, if necessary [See Florida State Statute 287.055(10)(c)]. The design criteria package must specify such performance based criteria for the project and must include but is not limited to:

- the legal description of the site
- survey information concerning the site
- interior space requirements
- material quality standards
- schematic layouts and conceptual design criteria of the project
- cost or budget estimates
- design and construction schedules
- site development requirements
- provisions for utilities, storm water retention and disposal, and parking requirements, as may be applicable to the project.

The firm to whom the design-build contract is awarded will be responsible for creation of the project design based on the criteria in the design criteria package.

5. **Design Criteria Professional**

A firm or individual who holds a current certificate of registration under Florida State Statute 481 to practice architecture or landscape architecture, a firm or individual who holds a current certificate as a registered engineer under Florida State Statute 471 to practice engineering and who is employed by or under contract to the County for the furnishing of professional architectural or engineering services, in connection with the preparation of the design criteria package.

The design criteria professional shall not be eligible to render services under a design-build contract on a project for which the design criteria professional has prepared the design criteria package.

At the discretion of the County, the design criteria professional may be consulted:

- during the evaluation process to review and evaluate the design-build firms’ performance qualifications, technical and price proposals;
- during the review and evaluation of the detailed design and construction plans and specifications; and
- during the construction work performed by the design-build firm for compliance and conformance with the requirements and provisions of the design criteria package and the design-build contract documents.
SECTION 12: PROCUREMENT OF DESIGN-BUILD SERVICES

C. Use of Design-Build Contracts

The Procurement Manager will determine if the design-build method is appropriate for a particular requirement. In making the decision, the Procurement Manager will determine whether design-build or contracting separately for professional design and construction services is in the best interest of the County by considering the following factors:

1. the potential for project cost savings and/or cost reduction;
2. the need or potential for reducing the time to complete the project;
3. the need or potential for enhancing revenue production by expediting completion, activation and operational use of the project;
4. the need or potential to expedite the completion, activation and operation of the project due to public service considerations or user division operational needs and requirements;
5. the potential for collaboration to develop designs and construction methodology that could provide a project with enhanced qualities of aesthetics, innovative use of materials, economy of construction, operational efficiency, and/or functional effectiveness;
6. The need or potential for protecting, preserving and enhancing the health, safety and welfare of the public.

D. Procurement Procedures for Design-Build Contract Services

Upon the decision to utilize the design-build contracting method for a specific project, the procurement and contracting of design-build contract services shall be in accordance with the following procedures:

1. Competitive Proposal Selection Process

   a. Design Criteria Package – This is the preferred process.

      The Design Criteria Professional employed or contracted by the County shall prepare, produce and seal a design criteria package for use by the County in:

      i. soliciting design-build firms;
      ii. providing a basis for determining and selecting the most highly qualified design-build firms;
      iii. soliciting competitive technical and price proposals from design-build firms; and
      iv. providing a basis for awarding a design-build contract.
b. **Requests for Proposals**

The Request for Proposals should contain, as a minimum:

i. a copy of the design criteria package prepared by the design criteria professional who is under contract with or employed by the County;

ii. the scope of services to be provided by the design-build firm during the performance of the design-build project, including the schedule and time period in which the design-build services are to be completed;

iii. the County’s minimum goals for M/WBE participation;

iv. the insurance coverages and bonding requirements;

v. instructions covering the required form, content and manner in which the qualifications statement, technical proposal and price proposal are to be submitted to the County;

vi. the evaluation criteria and proportional weighting to be used to evaluate the proposals;

vii. draft design-build contract, including general and supplemental terms and conditions;

viii. any other information the County deems appropriate to the selection process.

The County shall provide adequate notice of its intent to solicit design-build services in a manner consistent with the Procurement Ordinance 92-26, Part IV, Competitive Sealed Proposal Process.

2. **Review and Evaluation of Qualifications Proposals**

The Procurement Committee shall review and evaluate all submittals which have been received prior to the announced deadline using the standard criteria established by the County for the selection of architects and engineers with the exception of volume of work. This evaluation shall include a determination that the firms submitting proposals meet the design-build firm definition. Qualifications of the firm and project manager shall be considered for the design team as well as the construction team.

The Procurement Committee’s evaluation of the qualifications proposal shall constitute 30% of the total scoring for the combined qualifications proposals, technical proposals and price proposals.

3. **Review and Evaluation of Technical and Price Proposals**

Firms responding to the Request for Proposals shall submit a technical and price proposal, in addition to other required documents. The Procurement Manager may elect to require separate submissions of qualifications, technical proposals and price proposals depending on the complexity and nature of the requirement.
a. **Technical Proposal**

i. The technical proposal shall be based on the design criteria package and the other information and requirements set forth in the County’s Request for Proposal package.

ii. A Technical Evaluation Team, consisting at a minimum of the Manager and one representative of the Project’s primary user division, a representative selected based on technical expertise and other staff as needed, shall convene at the call of the Procurement Manager who shall chair, as a non-voting member, the evaluations of the technical proposals. The Design Criteria Professional may also be requested to attend this meeting as an observer. This Technical Evaluation Team shall evaluate the technical proposals submitted by each proposer and shall present its evaluations and recommendations regarding the technical proposals to the Procurement Committee at its regularly scheduled meeting.

iii. The content and form of the technical proposal should present a clear, comprehensive and well documented representation, understanding and commitment of how the design-build firm proposes and intends to implement and fulfill the requirement set forth in the design criteria package and other stated requirements of the design-build contract; and how they intend to manage, administer, coordinate, carry-out or otherwise complete all phases, elements, needs and requirement of the design-build project with special emphasis on design quality control and construction quality assurance. The design-build firm should demonstrate how it will implement the requirements and provisions of the design criteria package and other stated contract requirements pursuant to the intended design-build contract and include in the technical proposal such information including but not limited to: preliminary designs, plans and specifications, and schedules for design and construction.

The technical portion of the proposal should contain sufficient information to enable the Technical Evaluation Team to evaluate each of the criteria to be used in scoring the technical proposals.

iv. The evaluation of the technical proposals shall be done on the basis of the relative weighting factors for components of the projects, as included in the Request for Proposals.

If the Procurement Committee accepts the recommendations of the Technical Evaluation Team, the technical proposal will receive 40% of the total scoring for the combined qualifications proposals, technical proposals, and price proposals. The Procurement Committee retains the right to evaluate and weigh the recommendation of the Technical Evaluation Team to include acceptance, rejection or revision of their recommendations.

b. **Price Proposal**

The price proposal shall consist of either a lump sum, fixed fee amount or a guaranteed maximum not to exceed price.
SECTION 12: PROCUREMENT OF DESIGN-BUILD SERVICES

The price proposal shall be based upon and include all costs or expenses to be incurred by the design-build firm in implementing, fulfilling and completing all aspects of the design-build project, including but not limited to the design, plans approval, permitting, construction and activation of the project, in accordance with the requirements and provisions of the County’s design criteria package, the requirements set forth in the County’s Request for Proposals, the stated requirements pertaining to the design-build contract and the requirement of all agencies or organizations having jurisdiction for project plans review, permit approval or the design, construction, occupancy, activation, use or operation of the project, or use of the property on which the project is located. The price proposal, in addition to all direct costs and expenses, shall include all other costs and expenses including but not limited to such costs as design and engineering services, the design-build firm’s general, administrative and overhead costs, project management and supervisory costs, all fees, charges and taxes, labor, direct and indirect payroll costs, insurance and bond costs, cost of equipment, materials, tools, transportation, and service fee (profit).

The price proposal shall be submitted with the firm’s proposal and publicly opened at the date and time indicated in the RFP. Price proposals exceeding the County’s budget amount may be rejected from further consideration or alternately; negotiations may be conducted with the selected design build firm.

The amount of each competitive price proposal should be evaluated by the Procurement Committee using Section 7 of the Orange County Procurement Procedures Manual, Page 3, Option 2 as a guide, and shall constitute 30% of the total scoring for the combined qualifications proposals, technical proposals, and price proposals.

4. Design-Build Contract Award

The Procurement Committee shall submit, through the Procurement Division, an alphabetical listing of the short listed firms, providing score sheets as back-up, to the BCC for selection of one firm and two ranked alternates, if available, and approval to negotiate and award a design-build contract with the selected firm, provided the final negotiated price does not exceed the price submitted in their proposal.

Prior to award and execution of the design-build contract, the County may negotiate minor changes in the technical proposal and contract price for the purpose of clarifying and/or refining the project requirements and the services to be performed and/or the work to be done.

a. Qualification-Based Selection Process – This is not the preferred process. It shall only be used when there are compelling reasons for this approach.

i. Design Criteria Package

The Design Criteria Professional employed or contracted by the County shall prepare, produce and seal a design criteria package for use by the County in;

(b) negotiating with the selected design-build firm;
SECTION 12: PROCUREMENT OF DESIGN-BUILD SERVICES

(c) obtaining a technical and price proposal from the selected design-build firm;

E. Providing a basis for awarding a design-build contract.

1. Requests for Proposals

   a. The Request for Proposals should contain, as a minimum:

      i. the scope of services to be provided by the design-build firm during the performance of the design-build project, including the schedule and time period in which the design-build services are to be completed;

      ii. the County’s minimum goals for M/WBE participation;

      iii. the insurance coverages and bonding requirements;

      iv. instructions covering the required form, content and manner in which the qualifications statement is to be submitted to the County;

      v. the evaluation criteria and proportional weighting to be used to evaluate the proposals;

      vi. draft design-build contract, including general and supplemental terms and conditions;

      vii. any other information the County deems appropriate to the selection process.

   The County shall provide adequate notice of its intent to solicit design-build services in a manner consistent with the Procurement Ordinance 92-26, Part IV, Competitive Sealed Proposal Process.

2. Review and Evaluation of Qualifications Proposals

   The Procurement Committee shall review and evaluate all proposals which have been received prior to the announced deadline using the standard criteria established by the County for the selection of architects and engineers. This evaluation shall include a determination that the firms submitting proposals meet the design-build firm definition. Qualifications of the firm and project manager shall be considered for the design team as well as the construction team.

3. Technical and Price Proposals

   The selected design-build firm shall be required to submit a technical and price proposal. These proposals shall form the basis of contract negotiation and award of the design-build contract.
4. **Design-Build Contract Award**

The Procurement Committee shall submit through the Procurement Division, an alphabetical listing of the short listed firms, providing score sheets as back-up, to the BCC for selection of one firm and two ranked alternates, and approval to negotiate and award a design-build contract with the selected firm, provided the final negotiated price does not exceed the project budget. Prior to award and execution of the design-build contract, the County may negotiate minor changes in the technical proposal and contract price for the purpose of clarifying and/or refining the project requirements and the services to be performed and/or the work to be done.

5. **Development of the Guaranteed Maximum Price (GMP)**

a. **Pre-GMP Services:**

   The selected design-build firm shall negotiate the cost of services required to design and manage the project that will be incurred prior to the establishment of the GMP. These services may include but are not limited to:

   i. design to 65% or otherwise specified percentage of completion value engineering;

   ii. identification of long-lead/early procurement and direct purchase items;

   iii. insurance and bonding costs;

   iv. project information management system development and administration.

b. The contract will be awarded on the basis of the Pre-GMP costs. Upon completion of the design to the required level, the cost of constructing the work will be developed and negotiated. The GMP is comprised of Pre-GMP services, the cost of constructing the work, any design-builder and/or Owner’s contingencies, and other costs as deemed appropriate for the project. The GMP will be incorporated into the design-build contract by contract amendment.

c. The initiating division/department will submit the negotiated contract to the Procurement Division. The Procurement Division obtains review by the Business Development Division, performs cost analysis, and executes the contract if acceptable.
SECTION 13: INVOICES

WHAT AN INVOICE SHOULD CONTAIN

A. Purchase order number;
B. Date of order (if possible);
C. Date of delivery;
D. Itemized list of materials or services rendered;
E. Destination of delivery;
F. Quantities, prices, (both unit and total), terms and any other charges contained in the purchase order;
G. Delivery charges should be listed separately from the materials and supplies if they are not included in the unit price

Invoices should be submitted to the ordering division as delineated on the purchase order.

WHO RECEIVES INVOICES

The ordering department/division receives all invoices from vendors and/or contractors.

A. The ordering department/division shall match the invoice with the delivery ticket and receiving report.
B. The ordering department/division will forward the payment package to Accounts Payable for processing. After reviewing the invoice, Accounts Payable will prepare a voucher for payment.

NOTE: Invoices for construction contracts and professional services (architecture, engineering, landscape architecture, survey and cartography/mapping) may also be reviewed by the Business Development Division prior to submittal to Accounts Payable for payment.

PROCUREMENTS APPROVED FOR DIRECT PAYMENT WITHOUT ENCUMBRANCE

The following commodities and services may be authorized and submitted directly for payment to the Finance Office without the requirement for a Purchase Order / Delivery Order encumbrance.

A. Procurement of dues and memberships in trade or professional organizations
B. Subscriptions for periodicals
C. Advertisements & Legal Notices
D. Funding disbursed by the County via grant, gift or bequest (subject to Board Approval).
E. Goods purchased with petty cash in accordance with established County procedures.
F. Postage & Common Carrier Shipments (i.e. Fedex, UPS, DHL)
SECTION 13: INVOICES

G. Water, sewer, and electrical utility services.
H. Communication utility services (internet service provider, phone and cellular service)
I. Art and artistic services
J. Employment agreements (via payroll only; excludes staff augmentation contracts)
K. Fees and costs of job-related travel, seminars, tuition, registration and training.
L. Government fines
M. Lines of Insurance Coverage and deductibles when approved by Risk Management
N. Toll charges and toll violations
O. Medical Bills
P. Paralegal services, expert witnesses, court reporters and specialized legal services unless associated with a County Term Contract.
Q. Legal Settlements
R. Court Costs
S. Court Orders
T. Title Insurance for Real Property
U. Real Property
V. Abstracts for titles of Real Property
W. Fees associated with obtaining Public Records from other Government agencies (FDLE background checks, Freedom of Information Act requests, Florida Public Records, etc.)
Non-purchase order transactions occur when a department/division obtains goods or services without using a properly authorized instrument (i.e. procurement card, delivery or purchase order). Contracts authorized for goods and services with specified service periods require encumbrances within the following timeframes:

A. **Creation of Requisitions (RQSOC) at fiscal year start**: within 10 working days of the first working day of the new fiscal year.
B. **Submission of Change Orders for extended service periods at fiscal year start**: within 10 working days of the first working day of the new fiscal year.
C. **Creation of Delivery Orders at fiscal year start**: within 10 working days of the first working day of the new fiscal year.
D. **Creation of Delivery Order for a new Master Agreement**: within 3 working days of the Advantage update.
E. **Creation of Delivery Order for a Master Agreement renewal**: within 3 working days of the Advantage update.

Departments/divisions who have obtained goods or services without using a properly authorized instrument are not allowed to charge such goods or services to their procurement card or enter a requisition for the goods or services after they have previously obtained such goods or services. The only exception is if the department/division has pre-notified Procurement of an emergency requirement. Emergency requirements may be submitted by requisition after the fact (see Section 4).

When the goods or services are ordered, received and invoiced without proper authorization, they must be paid through a non-purchase order request sent to the Procurement Manager.

For unauthorized commitments under $10,000, the non-purchase order request must include:

A. a memo from the department director justifying the transaction and providing the corrective action that has/will be taken to prevent the reoccurrence in the future;
B. the invoice with the proper approval signatures; and
C. the accounting line(s) that will be used to pay the invoice.

Unauthorized commitments over $10,000 require the information outlined above and will be forwarded to the County Administrator for final approval.
SECTION 15: RECEIPT OF GOODS AND MATERIALS

USING (ORDERING) DEPARTMENT/DIVISION

The using department/division is charged with the responsibility of inspecting all supplies to determine quality, quantity and conformance with specifications and the purchase order.

The Procurement Division shall have the authority to question, examine, or test the quality of materials requested or received by the using department/division.

PROCEDURE IN DIVISIONS

Upon receipt of materials and after inspecting and/or testing, the department/division should sign delivery tickets presented by the vendor and complete the receiver in the Advantage system noting the quantity received. The original receiver shall be forwarded to Accounts Payable with copies of all original delivery tickets or packing slips attached. Any variation in quantity received shall be notated on the vendor's delivery ticket or the receiver. Additional receivers are to be entered in the Advantage system and sent to Accounts Payable for any back ordered or additionally received or rejected items. Copies of all freight bills must be forwarded to Accounts Payable. If the materials delivered are not in conformance with specifications and are not acceptable by the using department/division (see Section 16), they should notify the Procurement Division at once of the reasons for withholding acceptance.

The Procurement Division will take immediate action to require replacement by the vendor or otherwise take action to supply the using agency with the needed materials.

Signature on the Receiver Report signifies the items have been properly received, inspected, and accepted.
SECTION 16: REPORT OF UNSATISFACTORY MATERIALS OR SERVICES/DELIVERY/PERFORMANCE NOTICES

I. REPORT OF UNSATISFACTORY MATERIALS OR SERVICES

PURPOSE

The Report of Unsatisfactory Materials or Services (Exhibit 9) should be utilized to inform the Procurement Division of any difficulties due to poor service, performance, quality, or materials that do not meet specification requirements. This is not an expediting tool.

HOW TO PREPARE

A properly prepared Report of Unsatisfactory Materials or Services should contain the following information:

A. date;
B. vendor name, address, and phone number;
C. user department/division, contact person, and phone number;
D. purchase order number;
E. division manager signature;
F. brief statement of exactly what the problem is, and why the product or service is unacceptable;
G. all available documentation should be attached.

DISTRIBUTION

The Report of Unsatisfactory Materials or Services is to be forwarded to the Procurement Division. The Procurement Division will send a copy to the vendor for their response.

The user department/division will be notified as to the vendor’s response and any action to be taken by the Procurement Division.

II. DELIVERY/PERFORMANCE NOTICES

These notices are secondary to the report of unsatisfactory materials or services, and shall only be issued by the Procurement Manager. They are intended to address serious performance deficiencies with the full understanding that the County will terminate the contract for default if the performance/delivery deficiency is not adequately resolved. Before any notice is issued, documentation signed by the applicable department/division manager justifying the recommended action must be submitted. If the user does not desire termination for default, alternate means to resolve the issues should be pursued. The two steps in this process are as follows:
SECTION 16: REPORT OF UNSATISFACTORY MATERIALS OR SERVICES/DELIVERY/PERFORMANCE NOTICES

A. Cure Notice – If it has been determined that it is likely that the contract will be terminated for default before expiration of the delivery date or performance period, a cure notice will be issued. Before using this notice, it must be determined that an amount of time equal to or greater than the period of cure remains in the contract. If the time remaining in the contract is insufficient to allow a cure period of at least seven (7) days, a cure notice should not be issued. Depending on the specific circumstances, the cure notice may require the contractor to submit a specific recovery or corrective action plan when it is not realistic to expect correction of the deficiencies within seven (7) days.

B. Show Cause Notice – If the time remaining in the performance schedule is not sufficient to permit a realistic cure period of seven (7) days, or if the contractor has not resolved deficiencies cited in a Cure Notice, the Show Cause Notice shall be used. It shall be mailed immediately after expiration of the performance period and shall give the contractor a deadline for explaining why the contract should not be terminated.

SUSPENSION AND DEBARMENT

The Procurement Manager may suspend or debar for cause the right of a vendor to be included on a vendor list and any bid or response from that vendor rejected; provided, however, the Board of County Commissioners shall have the power to waive or lift such suspension or debarment.

A. SUSPENSION

A vendor may be suspended for a period not to exceed two (2) years as determined by the Procurement Manager based upon the following:

1. Vendor defaults or fails to fully comply with the conditions, specifications or terms of a bid, quotation, proposal or contract with the county; or
2. Vendor commits fraud or misrepresentation in connection with a bid, quotation, proposal or contract with the county; or
3. Vendor is charged by a court of competent jurisdiction with the commission of a criminal offense as an incident to obtaining or attempting to obtain a public or private contract or subcontract or in the performance of such contract or subcontractor; or
4. Vendor is charged by a court of competent jurisdiction with the following: embezzlement, theft, forgery, bribery, falsification or destruction of records, receiving stolen property, or any other offense indicating a lack of business integrity or business honesty which currently, seriously and directly affects responsibility as a county government contractor. If charges are dismissed or the vendor found not guilty, the suspension shall be lifted automatically upon written notification and proof of final court disposition provided by the vendor to the county; or
5. Vendor becomes insolvent, has proceedings in bankruptcy instituted against it, or compounds its debts or assigns over its estate or effects for payment thereof, or has a receiver or trustee appointed over its property; or
6. Vendor commission of any act or omission to perform any act which is grounds for debarment; or
SECTION 16: REPORT OF UNSATISFACTORY MATERIALS OR SERVICES/DELIVERY/PERFORMANCE NOTICES

7. Vendor violates the ethical standards set forth in local, state or federal law; or
8. Vendor fails to comply with the M/WBE participation or M/WBE requirements of an awarded contract; or
9. Suspension by another government entity; or
10. Any other cause the Procurement Manager determines on a commercial basis to be so serious and compelling as to materially and adversely affect the capability of a business to function as a county contractor.

B. DEBARMENT

A vendor may be permanently debarred for the following:

1. Default or failure to fully comply with the conditions, specifications, drawings or terms of a bid, proposal or contract with the county twice in any three-year period; or
2. Conviction by or judgment obtained in a court of competent jurisdiction for commission of those offenses in connection with the vendor’s commercial enterprise stated in subsection A(3) and A(4). If the conviction or judgment is reversed through the appellate process, the debarment shall be removed immediately upon written notification and proof of final court disposition from the vendor to the county.

After the Procurement Manager has determined to suspend or debar a vendor, the vendor shall be notified in writing of the debarment or the period of suspension and the reasons for the action taken. The Procurement Manager, prior to issuance of written notification, may schedule an informational hearing with the vendor to determine if suspension or debarment is warranted.

Any vendor who has been convicted of a public entity crime as defined by Florida Statute 287.133 shall not be able to transact business with the county to the extent as specified in Florida Statute 287.133(a).

The suspension or debarment shall be final and conclusive, unless the suspended or debarred vendor initiates protest proceedings pursuant to Orange County Code, Article III, Division 3, Section 17-313 within twenty-one (21) days after the date of notification.
I. CONFLICT OF INTEREST

The purpose of this Section is to provide guidance to employees engaged in any aspect of the procurement function concerning the avoidance of conflict of interest.

Employees engaged in the procurement function are expected to be free of interests or relationships which are actually or potentially conflicts of interest or detrimental to the best interest of the County, and shall not engage or participate in any commercial transaction involving a company, its affiliates, divisions or subsidies in which they have a significant undisclosed financial interest.

Employees engaged in procurement who have assumed, or are about to assume, a financial or other outside business relationship that might involve a conflict of interest must immediately inform their supervisor of the circumstances involved. This information will be reviewed at an appropriate level for decision on whether a conflict of interest is present, and, if so, what course of action will be taken.

In this connection, a conflict of interest exists where an employee:

A. Has an outside interest that materially encroaches on time or attention that should be devoted to the affairs of the County.

B. Has a direct or indirect interest in or relationship with an outsider that is inherently unethical or that might be implied or construed to be, or make possible personal gain due to the employee’s ability to influence dealings.

C. Is partial toward an outsider for personal reasons or whose business judgment is impartial or otherwise inhibited.

D. Places himself or the County in an equivocal, embarrassing or ethically questionable position.

E. Takes personal advantage of an opportunity that properly belongs to the County.

II. ETHICS IN PUBLIC PROCUREMENT

Acceptance of gifts at any time, of any nature from vendors is prohibited. Employees must not become obligated to any vendors and shall not conduct any transactions from which they may personally benefit. No County employee or officer shall seek to influence the purchase of a product or service from any offeree. Such restrictions shall not be construed to restrict persons from evaluating and appraising the quality and value of the product to be purchased or service to be rendered where the person’s scope of employment contemplates advice and counsel with respect to the purchase. No County employee or officer shall receive any gift or benefit of any nature from prospective bidders. The avoidance of actual or apparent conflicts of interest is a prime requisite to the efficient and sound operation of government and maintenance of the public trust.
All Orange County employees shall adhere to the ethical standards contained in Florida Statutes Chapter 112, Part III, Code of Ethics for Public Officers and Employees, as well as those contained in the Orange County Policy Manual & Operational Guidelines.

The Procurement Division supports the National Institute of Governmental Procurement (NIGP) Code of Ethics and adheres to their philosophy of protecting the public trust.

The provisions in this Section are subject to the statutory Code of Ethics contained in Chapter 112, Florida Statutes. The provisions stated in this Procurement Procedures Manual shall be interpreted to be consistent with the requirements of Florida statutes, unless a more restrictive requirement is expressly provided in this Manual and the subject of the more restrictive requirement is allowable to the County pursuant to Section 112.326, Florida Statutes.
SECTION 18: EXHIBITS

EXHIBITS
<table>
<thead>
<tr>
<th>Date Released</th>
<th>Modifications</th>
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| 03/30/18      | - Section 2 – Requisition to Procure  
|               |   o Clarification concerning Requisition back-up.  
|               |   o Establishes Short Form Request for Quotations  
|               | - Section 4 - Emergency and Sole Source  
|               |   o Establishes new procedures and new forms.  
|               |   o Clarification concerning price analysis.  
|               | - Section 5 – Term Contracts  
|               |   o Clarification concerning preparation and encumbrances  
|               |   o Establishes general protocols for Department/Division Contract administration.  
|               | - Section 9 – Competition exemptions, standardization and Alternate Contract Sources  
|               |   o Clarification concerning exemptions and encumbrance requirements  
|               |   o Establishes a Purchase Order/Contract Documentation of Exemption Form  
|               |   o Clarification concerning alternate contract sources and piggybacking  
|               |   o Establishes an Alternate Contract Source Approval Form  
|               |   o Establishes a Piggyback Requisition Checklist  
|               | - Section 10 – Procurement Card Program  
|               |   o Clarification concerning procurements requiring other Department/Division approvals before they can be charged to the P-Card.  
|               |   o Establishes re-training requirement for p-card offenses  
|               | - Section 13 – Invoices  
|               |   o Establishes procurement authorization for direct payment without encumbrance  
|               | - Section 18 – Exhibits  
|               |   o Updates the Emergency Procurement Justification Form  
|               |   o Updates the Sole Source Procurement Justification Form  
|               |   o Updates the Change Order Form  
|               |   o Updates the Construction Project Information Sheet  
|               |   o Updates the RFP Project Information Sheet for Professional Services  
|               |   o Establishes the Purchase Order/Contract Documentation of Exemption Form  
|               |   o Establishes the Alternate Contract Source Approval Form  
|               |   o Establishes the Piggyback Requisition Checklist  
|               |   o Establishes Short Form Request for Quotations  
|               | - Section 19 – Version Management  
|               |   o Adds a new section to summarize changes  

18-1
EXHIBIT 1

EMERGENCY PROCUREMENT JUSTIFICATION
EMERGENCY PROCUREMENT JUSTIFICATION

Date Submitted: ___________________________  Department/Division: ___________________________
Requisition Number: ______________________  Technical Contact Name: ______________________
Amount of Purchase: ______________________  Technical Contact Phone: ______________________

1. Name of all sources contacted and their quotes:

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<tr>
<th>Recommended Source</th>
<th>Person Contacted</th>
<th>Amount</th>
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<tbody>
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<td>1.</td>
<td></td>
<td>$</td>
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<tr>
<td>Other Sources</td>
<td>Person Contacted</td>
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</tr>
<tr>
<td>2.</td>
<td></td>
<td>$</td>
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<tr>
<td>3.</td>
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<td>$</td>
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2. If applicable, what are the circumstances resulting in non-competitive procurement?

- The public exigency or emergency for the requirement will not permit a delay resulting from a competitive solicitation
- After solicitation of a number of sources, competition is determined inadequate. Re-solicitation would result in a delay that would negatively impact County operations or public safety.
- The federal awarding agency or pass-through entity expressly authorizes non-competitive proposals in response to a written request from the non-Federal entity.
- Not Applicable, competitive quotes were obtained.

3. Equipment/Facility requiring emergency action:
4. Detail any adverse impacts to the County if this Emergency Procurement is not approved and expedited.

5. Detail the research and analysis conducted to determine that the pricing associated with this emergency requisition is fair and reasonable.

**APPROVAL PROCESS**

I certify that to the best of my knowledge, this request is an emergency and the information provided herein is accurate and truthful.

Note: Procurements over $100,000 may require a Price Negotiation Memorandum and Board Approval.

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<tr>
<th>REQUESTING DEPARTMENT / DIVISION</th>
<th>PROCUREMENT DIVISION APPROVAL</th>
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<tr>
<td>Requestor/ Technical Contact</td>
<td>Date</td>
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<tr>
<td>[Sign] __________________________</td>
<td>[Sign] __________________________</td>
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<tr>
<td>Division Manager OR</td>
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<td>Buyer/ Purchasing Agent / Senior Contract Administrator</td>
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<td>Supervisor</td>
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EXHIBIT 2

SOLE SOURCE PROCUREMENT JUSTIFICATION
Sole source purchases are defined as purchases of supplies, equipment and contractual services that meet both of the following criteria: It is the only good or service that will produce the desired results or are the most appropriate for the given situation and it is available from only one source of supply or the use of such source is both economically and operationally in the County’s best interest. In accordance with Florida Statute 839.13, any public officer, or employee of a public agency, knowingly and willfully concealing any issue or falsifying any document shall be guilty of a misdemeanor punishable as provided in FS. 775.082 or FS.775.083.

1. What are the circumstances resulting in non-competitive procurement?
   - This item/service is available only from a single source.
   - The federal awarding agency or pass-through entity expressly authorized non-competitive proposals in response to a written request from the non-Federal entity. (Documentation is required)
   - After solicitation of a number of sources, competition is determined inadequate.

2. If this product/service is proprietary have you confirmed that there are no authorized distributors or service partners able to compete for this award?
   - Yes, vendor has confirmed in writing that they are an exclusive distributor or the exclusive source of services. (If yes, documentation is required. Include with this form the vendor’s signed statement on letterhead.)
   - Not Applicable

3. Explain what part(s) of the requirement restrict this requisition to only one supplier and explain why these requirements are essential to the accomplishment of your work. Explain any necessity for compatibility with existing equipment or instrumentation (if applicable).

4. List any known company, other than your suggested source, who supplies similar goods/services and provide the reasons competing goods/services are not conforming.
5. Detail any adverse impacts to the County if this sole source is not approved. If the recommended source was unable to provide these goods/services how would the County accomplish its objective?

6. Detail the research and analysis conducted to determine that the pricing associated with this sole source requisition is fair and reasonable.

APPROVAL PROCESS
I certify that to the best of my knowledge, this request is a sole source and the information provided herein is accurate and truthful.

Note: Procurements over $100,000 may require a Price Negotiation Memorandum and Board Approval.

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<tr>
<td>[Sign] ___________________________  ___________</td>
<td>[Sign] ___________________________  ___________</td>
</tr>
<tr>
<td>Requestor/ Technical Contact Date</td>
<td>Buyer/ Purchasing Agent / Date</td>
</tr>
<tr>
<td>[Sign] ___________________________  ___________</td>
<td>Senior Contract Administrator</td>
</tr>
<tr>
<td>Division Manager OR</td>
<td>[Sign] ___________________________  ___________</td>
</tr>
<tr>
<td>Department Director</td>
<td>Supervisor Date</td>
</tr>
<tr>
<td></td>
<td>[Sign] ___________________________  ___________</td>
</tr>
<tr>
<td>[Print Div. Manager or Dept. Director Name]</td>
<td>Division Manager / Administrator Date</td>
</tr>
<tr>
<td>Question 1:</td>
<td>ABC Firm is an authorized distributor of product XYZ, is this a sole source?</td>
</tr>
<tr>
<td>-------------</td>
<td>------------------------------------------------------------------</td>
</tr>
<tr>
<td>Answer 1:</td>
<td>The term authorized distributor does not convey exclusivity. If there is a formal geographic territory imposing restrictions against competition ABC Firm may be considered a sole source. If there are multiple distributors, without restrictions, competition will not be waived.</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Question 2:</th>
<th>ABC Firm has a long history working with the County, is this a sole source?</th>
</tr>
</thead>
<tbody>
<tr>
<td>Answer 2:</td>
<td>No, past performance or known qualifications shall not be the basis of waiving the requirement for competition.</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Question 3:</th>
<th>It is more convenient to have a long-term supplier, is this a sole source?</th>
</tr>
</thead>
<tbody>
<tr>
<td>Answer 3:</td>
<td>No, however, noting the advantages of term contracting, the Procurement Division encourages the use of competitively solicited multi-year contracts.</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Question 4:</th>
<th>Product XYZ is known to be a better quality product, is this a sole source?</th>
</tr>
</thead>
<tbody>
<tr>
<td>Answer 4:</td>
<td>No, the determination of quality shall be made on the basis of competition.</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Question 5:</th>
<th>ABC Firm is offering a 50% off sale this month, is this a sole source?</th>
</tr>
</thead>
<tbody>
<tr>
<td>Answer 5:</td>
<td>No, the determination of best pricing shall be made on the basis of competition.</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Question 6:</th>
<th>Equipment Model 123 requires specific parts for compatibility purposes, is this a sole source?</th>
</tr>
</thead>
<tbody>
<tr>
<td>Answer 6:</td>
<td>If those parts are available from a single exclusive distributor this may be considered a sole source.</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Question 7:</th>
<th>This service is highly technical and the recommended source was the original implementer, is this a sole source?</th>
</tr>
</thead>
<tbody>
<tr>
<td>Answer 7:</td>
<td>If a new source would be unable to provide services without a substantial effort to survey custom hardware as built or interpret custom programming this may be a sole source.</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Question 8:</th>
<th>My equipment is under warranty, although there are multiple suppliers, utilizing another supplier would void my warranty, is this a sole source?</th>
</tr>
</thead>
<tbody>
<tr>
<td>Answer 8:</td>
<td>This may be approved as a sole source. The period of the warranty must be specified on the sole source justification form.</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Question 9:</th>
<th>ABC Firm has compliant insurance that has been approved by Risk Management, is this a sole source?</th>
</tr>
</thead>
<tbody>
<tr>
<td>Answer 9:</td>
<td>No, compliant insurance or known qualifications shall not be the basis of waiving the requirement for competition.</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Question 10:</th>
<th>This was approved as a sole source last year, is this still a sole source?</th>
</tr>
</thead>
<tbody>
<tr>
<td>Answer 10:</td>
<td>Sole sources require a new submittal and analysis for each request.</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Question 11:</th>
<th>How do I determine that the pricing of a sole source is reasonable?</th>
</tr>
</thead>
<tbody>
<tr>
<td>Answer 11:</td>
<td>Justification of price reasonableness may include past purchase history including specific references to contracts/purchase orders, analysis of purchases by other Governmental Agencies, comparison to an internally developed project estimate, comparison to an authorized consultant’s estimate or other well documented justification. See the Procurement Procedures Manual, Section 4, for additional instruction on price reasonableness.</td>
</tr>
</tbody>
</table>
EXHIBIT 3

PRICE NEGOTIATION MEMORANDUM
Interoffice Memorandum

January 24, 2017

TO: Procurement Staff

FROM: Carrie Woodell, Manager, Procurement Division

SUBJECT: 1-78 Price Negotiation Memorandum (PNM)  
(Supersedes memo dated March 13, 2007)

The Procurement Procedures Manual includes the requirement to complete a price negotiation memorandum for all sole source contracts valued at $100,000 or greater and for all appropriate change orders/amendments to existing contracts that require Board approval. It is understood that certain procurements may not be appropriate for this application. The Procurement Division supervisor responsible for the procurement will determine when this requirement is applicable. The PNM must accompany the requisition or change order/amendment when submitted to the Procurement Division for action.

Attached is an example of a PNM to assist you with this requirement. The attachment demonstrates the required information.

Your cooperation is appreciated. Please contact Sally Bergman at 407-836-5634 if you have any questions.

CW/gg

C: Senior Contract Administrators  
Senior Purchasing Agents  
Internal Procedures

Attachment
PRICE NEGOTIATION MEMORANDUM

This memorandum must be completed for non-competitive procurements exceeding $100,000 and for change orders/amendments to existing contracts that will require Board approval. The purpose of this form is to create an audit record evidencing that negotiations were conducted with the contractor/consultant/vendor, the extent of such negotiations, and that the overall price offered is fair and reasonable.

I. **Subject:** Project

   Contract Number

   Contract Title

II. **Introductory Summary:**

   Negotiations for the purchase of: Product/Services

   Were completed on: Date

   With: Contractor/Consultant/Vendor

The County's estimate, the Contractor's/Consultant’s/Vendor’s offer, and the agreed upon price are compared below. Each figure is based on the contract being firm-fixed-price.

<table>
<thead>
<tr>
<th>County's Estimate</th>
<th>Contractors/Consultants/Vendors Offer</th>
<th>Agreed Upon Price</th>
</tr>
</thead>
</table>

III. **Particulars:**

   A. Complete Name

      Address of Company

   B. Complexity of the work

   C. Lump Sum/Not-to-exceed
D. Unit prices, (if applicable) quoted and negotiated. If additional room is required, attach as a schedule.

<table>
<thead>
<tr>
<th>Proposed</th>
<th>Negotiated</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
</tr>
</tbody>
</table>

E. Profit (Refer to IV (C) below for factors to consider).

<table>
<thead>
<tr>
<th>Proposed</th>
<th>Negotiated</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
</tr>
</tbody>
</table>

F. Name and titles of persons representing the contractor/consultant and the County in the negotiations, identifying the principal negotiators:

<table>
<thead>
<tr>
<th>Name</th>
<th>Title</th>
<th>Organization</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

IV. Procurement Situation:

A. Describe what was discussed, to include any outside influences and time pressures.

__________________________________________________________________________

__________________________________________________________________________

__________________________________________________________________________
B. Show the delivery schedule or period of performance. If there is a difference between the schedule desired or required and that proposed by the Contractor/Consultant/Vendor discuss the resolution or compromise, if any.

C. In order to establish a fair and reasonable profit, consideration must be given to the complexity of the work to be performed, the risk borne by the contractor/consultant/vendor, the contractor/consultant/vendor's investment, the amount of subcontracting, the quality of its record of past performance and industry profit rates in the geographical area for similar work.

V. Negotiation Summary:

A. Summarize the Contractors/Consultants/Vendors pricing proposal, the County's negotiation objective and the negotiation results and the reasons the agreed upon price is fair and reasonable.

The contractor's/consultant's/vendor's proposal is considered fair and reasonable and execution of the contract/change order/amendment is recommended.

Department/Division

Full Name of Negotiator Printed

Signature

Date
EXHIBIT 4

TERM CONTRACT PERFORMANCE EVALUATION
## Contract Information

1. Contract Number
2. Contract Title

## Contractor Information

3. Contractor Name
4. Vendor Number
5. Contractor Address
6. Description and Location of Work

## Assessment of Performance Elements

<table>
<thead>
<tr>
<th>Element</th>
<th>Outstanding</th>
<th>Satisfactory</th>
<th>Unsatisfactory (attach documentation)</th>
</tr>
</thead>
<tbody>
<tr>
<td>7. Overall Evaluation</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>8. Quality of Work</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>9. Timely Performance</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>10. Other</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

11. Comments: (Required)

## Evaluator(s)

<table>
<thead>
<tr>
<th>Division Contract Administrator Name/Title</th>
<th>Signature/Date</th>
</tr>
</thead>
<tbody>
<tr>
<td>Division Manager Name</td>
<td>Signature/Date</td>
</tr>
</tbody>
</table>
EXHIBIT 5

CONSTRUCTION PROJECT
INFORMATION SHEET
## Contract Type

<table>
<thead>
<tr>
<th>☐ Major Construction</th>
<th>☐ Minor Construction</th>
<th>☐ Term Contract*</th>
</tr>
</thead>
</table>

## General

<table>
<thead>
<tr>
<th>Project Title</th>
<th>County Project Manager/Division</th>
<th>Phone</th>
<th>Email</th>
<th>BCC District</th>
</tr>
</thead>
</table>

**Purpose & Justification**
(Describe intended use, benefit to County/Department, etc.)

**Scope of Work**

## Project Information

<table>
<thead>
<tr>
<th>Consultant Name and Address</th>
<th>Email Address</th>
<th>Phone Number</th>
<th>Cost of Bid Documents</th>
</tr>
</thead>
</table>

**Pre-Bid/Pre-Proposal Conference**
- ☐ Non-Mandatory
- ☐ Mandatory
  (Justification required; subject to Procurement approval)

**Pre-Bid Location:**

**Substantial Completion (# of days):**

**Final Completion (# of days):**

**Liquidated Damages ($ per day):**

**Number of Contracts Requested:**

**Number of Renewals:**

**Date Submitted:**
<table>
<thead>
<tr>
<th><strong>Minimum Order Amount:</strong></th>
<th><strong>Maximum Order Amount:</strong></th>
<th><strong>Guaranteed Dollar Amount:</strong></th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td>(If more than one contract)</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Alternative Bids/Additive/ Deductive Bid Items</th>
<th>Supplementary Provisions</th>
</tr>
</thead>
<tbody>
<tr>
<td>☐ Yes</td>
<td>☐ Yes</td>
</tr>
<tr>
<td>☐ No</td>
<td>☐ No</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Fees/Permits to be Paid by Owner:</th>
<th>If Fees/Permits to be Paid by Contractor List Permits and Costs:</th>
</tr>
</thead>
<tbody>
<tr>
<td>☐ Yes</td>
<td></td>
</tr>
<tr>
<td>☐ No</td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>If unit priced contract what percent of total bid amount is allowed for Mobilization:</th>
</tr>
</thead>
<tbody>
<tr>
<td>☐ Yes</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Bid Form Attached:</th>
<th>Specifications Attached:</th>
<th>Plans Attached:</th>
<th>CD’s Attached:</th>
</tr>
</thead>
<tbody>
<tr>
<td>☐ Yes</td>
<td>☐ Yes</td>
<td>☐ Yes</td>
<td>☐ Yes</td>
</tr>
<tr>
<td>☐ No</td>
<td>☐ No</td>
<td>☐ No</td>
<td>☐ No</td>
</tr>
</tbody>
</table>

**FUNDING:**

<table>
<thead>
<tr>
<th>Estimated Construction Cost</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Accounting Line</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Commodity Codes (Click for List)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
</tr>
</tbody>
</table>

**Additional Requirements for Grant Funded Procurements (Mandatory)**

<table>
<thead>
<tr>
<th>Grant (if applicable)</th>
<th>☐ Federal Grant</th>
<th>☐ Federal Pass-through</th>
<th>☐ State Grant</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>☐ Other Grant (Specify):</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Grant Type</th>
<th>☐ Contractor</th>
<th>☐ Sub-recipient</th>
</tr>
</thead>
</table>

**POTENTIAL BIDDERS**

<table>
<thead>
<tr>
<th>Provide Firm Name and Email Address for each Firm</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
</tr>
</tbody>
</table>

Project Manager’s Signature: _____________________________ Date: _______________
Manager’s Signature: _____________________________ Date: _______________

☐ Copy to Director: ______________________________________________________
☐ Copy to Client: ______________________________________________________
☐ Copy to Procurement Contracts Supervisor: _____________________________
REFERENCES

Identify the number of similar projects ___ (Note 1) you want to evaluate that were successfully completed by the Bidder, as a Prime Contractor, Subcontractor etc. (Note 2) within the past # ___ years (Note 3)

For the purposes of the Invitation for Bids, a similar project is described as follows: (Note 4)

Additionally, at least one of the projects submitted must meet the following requirements (if applicable): (Note 5)

NOTES

1. Discuss experience requirements with your representative from the Procurement Division to determine the minimum number of projects required to document experience. (2 - 4 projects)

2. Determine if experience can be as a Prime, Subcontractor, or a combination of both or if the bidder’s subcontractor experience is acceptable.

3. An arbitrary number of years are not appropriate. An average time period should be 7 - 10 years but can be more or less if project personnel have compelling reasons for a shorter or longer period.

4. The project manager must develop and submit a similar project description with the bid package.

5. To be used only when an additional experience requirement is necessary.
EXHIBIT 6

RFP PROJECT INFORMATION SHEET
PROFESSIONAL SERVICES
REQUEST FOR PROPOSALS PROJECT INFORMATION SHEET – PROFESSIONAL SERVICES

☐ Project Specific (800’s)  ☐ Continuing (900’s)

Design Budget (800’s only): $ ______________________ Construction Budget (800’s only): $ ______________________

Requisition No. (800’s only): _______________________

Federal Grant Funds: Yes ☐ No ☐  Federal Contract Requirements/Provisions Attached: Yes ☐ No ☐

Project Title: ________________________________________________________________

County Project Manager: ___________________ Phone: ___________________ Email: ___________________

BCC District: _____________________________

Purpose & Justification (describe intended use, benefit to County/Department, etc.)

Procurement Committee Members:

_________________________________ (Manager) _______________________________________

Pre-Proposal Conference: Yes ☐ No ☐ Mandatory? Yes ☐ No ☐

Justification (If mandatory): _________________________________________________________

Services to be completed within ________________ days after Notice to Proceed.

Number of Contracts Requested (900’s): ___________________

Guaranteed Amount Base Year (900’s): _______________________

Project Manager, Check all that apply:

☐ Employed by Prime

☐ May be same individual as Project Engineer/Architect/other

☐ May be different individual than Project Engineer/Architect/other

☐ Must be Professional ______________________________ Registered in the State of Florida

☐ Other Requirements ____________________________________________

Project Engineer/Architect/Other, Check all that apply:

☐ Employed by Prime

☐ Must be Professional ______________________________ Registered in the State of Florida

Weighted Criteria, (sum of following 2 criteria must equal 25):

Similar Projects Completed by the Proposed Project Manager _______ pts

Similar Projects Completed by the Proposed Project Engineer/Architect _______ pts

Similar Project Description – Provide as an attachment:

Describe type of project

Projects to be completed within last _______ years

List of activities or elements required to have been performed

Note mandatory elements is applicable

Describe what/how many elements for ½ point, for full point

List any exceptions (i.e., projects that will not be considered similar)

Scope of Work – Attached (becomes Exhibit A to the contract) ☐

Attached list of specific consultants to solicit: Yes ☐ No ☐

Project Manager’s Signature: __________________________________ Date: ______________

Manager’s Signature: __________________________________ Date: ______________

☐ Copy to Client:

☐ Copy to Procurement Contracts Supervisor: ____________________________

Rev. 2/13/17
EXHIBIT 7

PERFORMANCE EVALUATION
CONSTRUCTION CONTRACTS
# PERFORMANCE EVALUATION - CONSTRUCTION CONTRACTS

## PART I - GENERAL CONTRACT DATA

<table>
<thead>
<tr>
<th>A. CONTRACT NUMBER AND TITLE</th>
<th>B. CONTRACTOR (Name, address and ZIP code)</th>
</tr>
</thead>
</table>

### C. TYPE OF CONTRACT
- [ ] Firm Fixed Price
- [ ] Sole Source
- [ ] Term
- [ ] Negotiated
- [ ] Other (Specify)

### D. COMPLEXITY OF WORK
- [ ] Difficult
- [ ] Routine

### E. DESCRIPTION AND LOCATION OF WORK

## PART II – FISCAL DATA

<table>
<thead>
<tr>
<th>A. ORIGINAL CONTRACT AMOUNT $</th>
<th>B. TOTAL AMOUNT OF CHANGE ORDERS $</th>
<th>C. LIQUIDATED DAMAGES ASSESSED $</th>
<th>D. FINAL CONTRACT AMOUNT $</th>
</tr>
</thead>
</table>

## PART III – CONTRACT DATES

<table>
<thead>
<tr>
<th>A. DATE OF CONTRACT AWARD</th>
<th>B. ORIGINAL CONTRACT COMPLETION DATE</th>
<th>C. REVISED CONTRACT COMPLETION DATE</th>
<th>D. FINAL COMPLETION DATE</th>
</tr>
</thead>
</table>

## PART IV - TYPE AND EXTENT OF SUBCONTRACTING

## PART V - PERFORMANCE EVALUATION OF CONTRACTOR (Check appropriate box)

<table>
<thead>
<tr>
<th>PERFORMANCE ELEMENTS</th>
<th>OUTSTANDING</th>
<th>SATISFACTORY</th>
<th>UNSATISFACTORY</th>
</tr>
</thead>
<tbody>
<tr>
<td>A. QUALITY OF WORK</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>B. TIMELY PERFORMANCE</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>C. EFFECTIVENESS OF MANAGEMENT</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>D. COMPLIANCE WITH SAFETY STANDARDS</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

### OVERALL EVALUATION
- [ ] Outstanding
- [ ] Satisfactory
- [ ] Unsatisfactory (Explain on next page)

## PART VI - EVALUATED/REVIEWED BY

<table>
<thead>
<tr>
<th>DEPARTMENT/DIVISION (Type or Print)</th>
<th>NAME AND TITLE (Type or Print)</th>
<th>SIGNATURE</th>
<th>DATE</th>
</tr>
</thead>
</table>

REVIEWED BY DEPARTMENT/DIVISION MANAGER

<table>
<thead>
<tr>
<th>NAME AND TITLE (Type or Print)</th>
<th>SIGNATURE</th>
<th>DATE</th>
</tr>
</thead>
</table>
Explanation for Unsatisfactory Rating  (Attach supporting documentation)
EXHIBIT 8

PERFORMANCE EVALUATION

PROFESSIONAL SERVICES
# PERFORMANCE EVALUATION (PROFESSIONAL SERVICES)

## 1. CONTRACT NUMBER AND TITLE

## 2. PURCHASE ORDER NUMBER AND PROJECT TITLE (if applicable)

## 3. TYPE OF REPORT (Check one)
- [ ] COMPLETION OF DESIGN OR STUDY
- [ ] COMPLETION OF CONSTRUCTION
- [ ] TERMINATION

## 4. REPORT NUMBER

## 5. DATE OF REPORT

## 6. PROJECT NAME

## 7. PROJECT ADDRESS

## 8. DIVISION/DEPARTMENT RESPONSIBLE FOR CONTRACT

- [ ] A. SELECTION OF CONSULTANT
- [ ] B. NEGOTIATION/AWARD OF CONTRACT
- [ ] C. ADMINISTRATION OF CONTRACT

## 9. CONTRACT INFORMATION

### A. TYPE OF SERVICES

### B. TYPE OF CONTRACT
- [ ] LUMP SUM
- [ ] NOT TO EXCEED
- [ ] SOLE SOURCE
- [ ] OTHER (Specify)

### C. DESIGN/STUDY COMPLEXITY
- [ ] DIFFICULT
- [ ] ROUTINE
- [ ] SIMPLE

<table>
<thead>
<tr>
<th>INITIAL FEE</th>
<th>AMENDMENTS</th>
<th>TOTAL FEE</th>
</tr>
</thead>
<tbody>
<tr>
<td>$</td>
<td>No.</td>
<td>$</td>
</tr>
<tr>
<td></td>
<td>Amount</td>
<td></td>
</tr>
</tbody>
</table>

### E. DATE CONTRACT AWARDED

### F. CONTRACT COMPLETION DATE (Including Extensions)

### G. ACTUAL COMPLETION DATE OF CONTRACT

## 10. CONSULTANT DATA

### A. NAME

### B. ADDRESS

### C. SPECIALTY

## 11. CONSTRUCTION COSTS (If applicable)

### A. INITIAL ESTIMATE $ __________

### B. AWARD AMOUNT $ __________

### C. FINAL CONSTRUCTION CONTRACT AMOUNT $ __________

### D. CONSTRUCTION CHANGES AND DEFICIENCIES (If any)

<table>
<thead>
<tr>
<th>NUMBER</th>
<th>TOTAL</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
</tr>
</tbody>
</table>

- Construction Changes $ __________
- Construction changes resulting from deficiencies in consultant performance $ __________
- Deficiencies paid for by consultant $ __________
- Deficiencies paid for by county $ __________

## 12. CONSULTANT'S RATING (If unsatisfactory rating is given in any area explain on the next page.)

<table>
<thead>
<tr>
<th>Quality of services provided commensurate with cost of services</th>
<th>☐ EXCELLENT ☐ SATISFACTORY ☐ UNSATISFACTORY</th>
</tr>
</thead>
<tbody>
<tr>
<td>Responsiveness to Owner's needs</td>
<td>☐ EXCELLENT ☐ SATISFACTORY ☐ UNSATISFACTORY</td>
</tr>
<tr>
<td>Maintained schedule</td>
<td>☐ EXCELLENT ☐ SATISFACTORY ☐ UNSATISFACTORY</td>
</tr>
<tr>
<td>Invoiced in accordance with the Contract</td>
<td>☐ EXCELLENT ☐ SATISFACTORY ☐ UNSATISFACTORY</td>
</tr>
<tr>
<td>Designed within funding limit (if applicable)</td>
<td>☐ EXCELLENT ☐ SATISFACTORY ☐ UNSATISFACTORY</td>
</tr>
<tr>
<td>Design/Study included current code interpretations</td>
<td>☐ EXCELLENT ☐ SATISFACTORY ☐ UNSATISFACTORY</td>
</tr>
<tr>
<td>Experience with the scope of work</td>
<td>☐ EXCELLENT ☐ SATISFACTORY ☐ UNSATISFACTORY</td>
</tr>
<tr>
<td>Comprehensiveness of design/study performed</td>
<td>☐ EXCELLENT ☐ SATISFACTORY ☐ UNSATISFACTORY</td>
</tr>
<tr>
<td>Management/coordination of staff and Sub Consultants</td>
<td>☐ EXCELLENT ☐ SATISFACTORY ☐ UNSATISFACTORY</td>
</tr>
</tbody>
</table>

## OVERALL RATING

- ☐ EXCELLENT ☐ SATISFACTORY ☐ UNSATISFACTORY

## RECOMMENDED FOR FUTURE CONTRACTS

- ☐ YES ☐ NO (If No, explain on next page)

## NAME AND TITLE OF RATING OFFICIAL

## SIGNATURE

## DATE

## NAME AND TITLE OF REVIEWING OFFICIAL

## SIGNATURE

## DATE
<table>
<thead>
<tr>
<th>Justification for Unsatisfactory Rating (Attach any supporting documentation):</th>
</tr>
</thead>
<tbody>
<tr>
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<td>Justification for recommendation for future contracts:</td>
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</table>
EXHIBIT 9

REPORT OF UNSATISFACTORY MATERIALS AND/OR SERVICES
REPORT OF UNSATISFACTORY MATERIALS AND/OR SERVICES

Vendor Name: ___________________________  Contract/Purchase Order No.: ___________________________

Report Prepared by: ___________________________  ___________________________
[Name and Title]  [Signature] / [Date]

Dept/Division Approval: ___________________________  ___________________________
[Name and Title]  [Signature] / [Date]

Statement of Problem:

[Attach additional sheets and documentation as necessary]

The above complaint has been submitted by a County Division / Department. Complete the response below and return to Orange County Procurement Division at the address indicated above. Failure to respond may result in the County withholding payment on your invoice or could be cause for disqualification from future business opportunities with Orange County.

VENDOR’S RESPONSE TO COMPLAINT

In the space below (or via attachment), kindly respond within ________ business days.

Response to Complaint AND Plan for Resolution of Problem:

[Attach additional sheets and documentation as necessary]

Vendor Representative: ___________________________  ___________________________
[Name and Title]  [Signature] / [Date]

Representative Contact: ___________________________  ___________________________
[Phone Number]  [E-Mail Address]

Procurement (Rev. 02/2017)
EXHIBIT 10

SAMPLE SCOPE OF SERVICES/SCOPE OF WORK FORMATS
Interoffice Memorandum

DATE: June 4, 2013

TO: Contract Administrators, Purchasing Agents and Buyers

FROM: [Signature] John M. Richardson, Manager, Purchasing and Contracts Division

SUBJECT: Sample Scope of Services/Scope of Work Formats, Internal Procedures 1-26

Attached is a sample format for a scope of services or a scope of work. Please note that when one generally refers to a scope of work it involves a construction project while the scope of services is primarily used for a service requirement.

A well-written scope answers the “what, why, where, when and how” questions.

1. **What** you want to buy is addressed in the background and scope sections.

2. **Why** you want it is explained in the background section.

3. **Where** the work is to be done is covered in the place of performance section.

4. **When** the work is to be done is covered in the period of performance and the deliverables sections.

5. **How** the work is to be performed is set forth in the technical requirements.

This sample should be used by you when you assist customers with the development of their scopes of services or scopes of work. These formats will be added to the procurement procedures manual at a future date. Note that these are not mandatory requirements but is a format that should be used as a guide in the development of these documents. Certain sections may not be applicable to all procurements. Therefore, it should be tailored for each requirement.

Attachment
Format
PART I GENERAL INFORMATION

A. BACKGROUND

- Provide a general description of the requirement.
- Briefly discuss why the project is required and, if applicable, how it will relate to previous, ongoing or future projects.
- If applicable, discuss any known difficulties or constraints.

This information must provide sufficient details to enable a prospective contractor to understand the basis for the project.

If there is a need to refer to documents that are too voluminous to include in the scope, they must be referenced in the scope with specific directions on how they may be obtained or accessed. Conversely, other documents may be designated as an attachment to the scope.

**Do not include any material pertaining to the solicitation.**

B. SCOPE

The scope is the entire summary of the proposed procurement. It must fully describe the purpose of the project and specific objectives of the requirement. For example:

The overall purpose of this procurement is to perform a comprehensive analysis of the county’s parks and recreation activities to include the following:
a. Determine whether the existing system meets the needs of the citizens by hosting community meetings and other outreach activities.
b. Identify ideal locations for new parks.
c. Determine if current funding sources are adequate to meet current and future demands for recreation.
d. Review potential savings and efficiencies, if any, that may be achieved via privatization.
e. Provide an analysis of comparable counties to determine if Orange County’s recreational programs are consistent with similar counties.

C. APPLICABLE DOCUMENTS

The purpose of this section is to provide the contractor a consolidated listing of all documents cited in the scope of work/services. This listing helps to ensure that the contractor takes into consideration all pertinent documents during the development of the proposal.

The applicable documents should be referenced early in the scope to ensure that the contractor reads them.

Ensure that the specific requirement of the reference is cited and not simply the overall document.

PART II WORK REQUIREMENTS

A. TECHNICAL REQUIREMENTS

This section describes the work requirements. This is the most important part of the scope. It contains all of the technical details related to the project. Describe the work requirements, the required end products, and any special considerations that apply. Provide the criteria that will be used in determining whether the requirements are met.
Provide specific and precise descriptions of the work/services required. For example if your requirement is for soils testing, would you simply state that “the contractor shall test all soil samples?” know, at a minimum, it should include the following:

(1) Description of what you are testing for and why.
(2) The type of tests that must be performed.
(3) The number of tests required.
(4) When and where must the tests be conducted.

If logical, divide the work into tasks and subtasks. Describe each separately. Specify the work related to each task including the criteria for determining if the requirements are achieved.

B. DELIVERABLES

A deliverable may be a design, a report, computer software or anything else that can be delivered physically. This definition includes interim deliverables such as draft documents, interim findings, and test results and analyses, as well as end products. A deliverable usually signals the end of a task or the accomplishment of a milestone and is used to measure successful performance. A certain percentage of the overall payment may be applied to applicable deliverables.

In this section, a Schedule of Deliverables should be developed to at a minimum describe the deliverable, the applicable section of the scope that requires the document and the number of days after award or some other milestone that the deliverable must be provided. For example:

**Schedule of Deliverables**

<table>
<thead>
<tr>
<th>Deliverable</th>
<th>Scope Reference</th>
<th>Delivery Time</th>
</tr>
</thead>
<tbody>
<tr>
<td>Initial analysis</td>
<td>Sect 2, para 2d</td>
<td>90 days after award</td>
</tr>
<tr>
<td>Draft Report</td>
<td>Sect IV, para a</td>
<td>180 days after award</td>
</tr>
</tbody>
</table>
When the scope requires numerous and specific meetings, these may be included in a Schedule of Meetings that outline when the meetings are held. If the contractor will be required to prepare and submit minutes of such meetings, these minutes should be listed as a deliverable.

PART III SUPPORTING INFORMATION

A. SECURITY

Define any specific security requirements that the contractor’s personnel must comply with such as background investigations, physical inspection of workers and toolboxes, etc. Specify who will be responsible for the costs of background inspections. Also, specify that the County will not be responsible for any delays occasioned by the time required for entry and exit from controlled areas.

B. PLACE OF PERFORMANCE

If applicable, specify the place(s) where the service or work will be performed.
EXHIBIT 11

CHANGE ORDER REQUEST FORM
ORANGE COUNTY PROCUREMENT DIVISION
CHANGE ORDER REQUEST FORM

<table>
<thead>
<tr>
<th>DEPARTMENT/DIVISION</th>
<th>CONTACT NAME</th>
<th>CONTACT PHONE</th>
<th>CONTACT EMAIL</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
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<table>
<thead>
<tr>
<th>VENDOR</th>
<th>CHANGE ORDER NO.</th>
<th>DOCUMENT NO. (ATTACH A COPY)</th>
<th>TYPE</th>
<th>CONTRACT NUMBER</th>
<th>BUYER/PA/CA NAME</th>
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</table>

**INCREASE, DECREASE OR DELETE COMMODITY LINE**

<table>
<thead>
<tr>
<th>CMDTY. LINE NO.</th>
<th>ACTION</th>
<th>PREVIOUS LINE DOLLAR AMOUNT</th>
<th>NEW LINE DOLLAR AMOUNT</th>
<th>COMMODITY LINE DESCRIPTION/COMMENTS</th>
<th>NET DOLLAR CHANGE</th>
</tr>
</thead>
<tbody>
<tr>
<td>Select Action</td>
<td>FROM</td>
<td>TO</td>
<td></td>
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<td>$0.00</td>
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<td>Select Action</td>
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<td>Select Action</td>
<td>FROM</td>
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<td>$0.00</td>
</tr>
</tbody>
</table>

**ADD COMMODITY LINE**

<table>
<thead>
<tr>
<th>CMDTY. LINE NO.</th>
<th>CMDTY. CODE</th>
<th>DESCRIPTION FOR D.O. YOU MUST SPECIFY AN MA LINE</th>
<th>MA LINE</th>
<th>QTY.</th>
<th>UNIT OF MEAS.</th>
<th>UNIT COST</th>
<th>ACCOUNTING LINE</th>
<th>NET DOLLAR CHANGE</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
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<td>$0.00</td>
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</tbody>
</table>

**ACCOUNTING LINE CHANGE**

<table>
<thead>
<tr>
<th>CMDTY. LINE NO.</th>
<th>ACCTG. LINE NO.</th>
<th>FROM AMOUNT</th>
<th>FROM ACCOUNTING LINE</th>
<th>TO AMOUNT</th>
<th>TO ACCOUNTING LINE</th>
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<tbody>
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</table>

**OTHER CHANGES**

<table>
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<th>DESCRIPTION</th>
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</table>

<table>
<thead>
<tr>
<th>NET DOLLARS FOR PREVIOUS C/O</th>
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<tbody>
<tr>
<td>ADD</td>
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</tbody>
</table>

<table>
<thead>
<tr>
<th>NET DOLLARS FOR THIS C/O</th>
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<td>ADD</td>
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<table>
<thead>
<tr>
<th>DOCUMENT TOTAL AFTER THIS C/O</th>
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<table>
<thead>
<tr>
<th>ORIGINAL PO/DO AMT.</th>
<th>NET DOLLARS PREVIOUS C/O</th>
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<tbody>
<tr>
<td></td>
<td>ADD</td>
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</table>

<table>
<thead>
<tr>
<th>NET DOLLARS FOR THIS C/O</th>
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<tr>
<td>ADD</td>
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</tbody>
</table>

<table>
<thead>
<tr>
<th>CONTRACT TOTAL AFTER THIS C/O</th>
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</thead>
<tbody>
<tr>
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</table>

**ENCUMBERED/DE-ENCUMBERED (REQUIRED FOR ALL TRANSACTIONS)**

**CONTRACT AMOUNT**

<table>
<thead>
<tr>
<th>NET DOLLARS PREVIOUS C/O</th>
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<tr>
<td>ADD</td>
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</table>

<table>
<thead>
<tr>
<th>NET DOLLARS FOR THIS C/O</th>
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<tbody>
<tr>
<td>ADD</td>
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</tbody>
</table>

<table>
<thead>
<tr>
<th>CONTRACT TOTAL AFTER THIS C/O</th>
</tr>
</thead>
<tbody>
<tr>
<td>ADD</td>
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</tbody>
</table>

**JUSTIFICATION (REQUIRED FOR ALL TRANSACTIONS)**

By signing this agreement, the Contractor hereby releases the County, its agents and employees from any and all liabilities under this contract for further equitable adjustments and/or claims associated with this change order.

VENDOR/ CONTRACTOR AUTHORIZATION _______________________________ DATE: ________________

DEPARTMENT APPROVAL SIGNATURE _______________________________ DATE: ________________

OFFICIAL PROCUREMENT DIVISION USE ONLY

PROCUREMENT APPROVAL: _______________________________ DATE: ________________

ADD THE FOLLOWING TEXT TO PO/DO: TRACK CHANGES: □ YES □ NO CHANGE AWARD AMOUNT TO:

REV. (3/18)
## Change Order Request Form Continuation Sheet

### Increase, Decrease or Delete Commodity Line

<table>
<thead>
<tr>
<th>CMDTY. LINE NO.</th>
<th>ACTION</th>
<th>PREVIOUS LINE DOLLAR AMOUNT</th>
<th>NEW LINE DOLLAR AMOUNT</th>
<th>COMMODITY LINE DESCRIPTION/COMMENTS</th>
<th>NET DOLLAR CHANGE</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Select Action</td>
<td>FROM</td>
<td>TO</td>
<td>$0.00</td>
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<td>Select Action</td>
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<td>Select Action</td>
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</table>

### Add Commodity Line

<table>
<thead>
<tr>
<th>CMDTY. LINE NO.</th>
<th>CMDTY. CODE</th>
<th>DESCRIPTION</th>
<th>QTY.</th>
<th>UNIT OF MEAS.</th>
<th>UNIT COST</th>
<th>ACCOUNTING LINE</th>
<th>NET DOLLAR CHANGE</th>
</tr>
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<tbody>
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<td>FOR D.O. YOU MUST SPECIFY AN MA LINE</td>
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### Accounting Line Change

<table>
<thead>
<tr>
<th>CMDTY. LINE NO.</th>
<th>ACCTG. LINE NO.</th>
<th>FROM AMOUNT</th>
<th>FROM ACCOUNTING LINE</th>
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<th>TO ACCOUNTING LINE</th>
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### Other Changes

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REV. (3/18)
EXHIBIT 12

PROCUREMENT CARD APPLICATION
Bank of America Merrill Lynch
Orange County Procurement Card Application

**EMPLOYEE INFORMATION**

First Name                  Middle Initial                  Last Name

Department                  Division

Business Address

City                          State                          Zip

(______)____________________   _________________________
Business Phone                Email Address                    Employee ID #

Fund (example: 0001)          Department Code (example: 043)    Unit (example: 2000)

Division Transaction Approver

Signature of Division Transaction Approver

**EMPLOYEE / APPROVAL SIGNATURE**

Signature of Applicant / Date

Signature of Manager / Date
EXHIBIT 13

TRAVEL CARD APPLICATION
# Employee Information

<table>
<thead>
<tr>
<th>First Name</th>
<th>Middle Initial</th>
<th>Last Name</th>
</tr>
</thead>
<tbody>
<tr>
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<table>
<thead>
<tr>
<th>Department</th>
<th>Division</th>
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<tbody>
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<table>
<thead>
<tr>
<th>Business Address</th>
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<table>
<thead>
<tr>
<th>City</th>
<th>State</th>
<th>Zip</th>
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<table>
<thead>
<tr>
<th>(    )</th>
<th>Business Phone</th>
<th>Email Address</th>
<th>Employee ID #</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
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<table>
<thead>
<tr>
<th>Fund (example: 0001)</th>
<th>Department Code (example: 043)</th>
<th>Unit (example: 2000)</th>
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</thead>
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<tr>
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</table>

<table>
<thead>
<tr>
<th>Division Transaction Approver</th>
<th>Signature of Division Transaction Approver</th>
</tr>
</thead>
<tbody>
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</tr>
</tbody>
</table>

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# Employee / Approval Signature

<table>
<thead>
<tr>
<th>Signature of Applicant / Date</th>
<th>Signature of Manager / Date</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
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</tbody>
</table>
EXHIBIT 14

PROCUREMENT CARD TERMINATION FORM
DATE:

TO: Procurement Card Program Administrator
    Procurement Division

THRU: (Division Manager)

SUBJECT: Procurement Card Termination Form

The attached procurement card is returned for appropriate disposition. The following information is provided:

a. Name: _____________________________________________
b. Procurement Card Number: _____________________________
c. Division: ___________________________________________
d. Department: ________________________________________

e. Reason for termination of card: (Check appropriate block)
   (1) Termination of County employment       ☐
   (2) Transfer to another Division within the County ☐
   (3) Other: Reason: ___________________________ ☐

f. Effective date of termination: _________________

________________________________________
Signature of Employee

Attachment (Procurement Card) - MUST BE HANDCARRIED TO PROCUREMENT MONITOR

Receipt acknowledged by:

____________________________ ________________
Procurement Division  Date
Procurement Card Administrator

c: Accounts Payable, Comptroller
DATE:

TO: Procurement Travel Card Program Administrator
    Procurement Division

THRU: (Division Manager)

SUBJECT: Procurement Travel Card Termination Form

The attached procurement card is returned for appropriate disposition. The following information is provided:

a. Name: _____________________________________________

b. Procurement Travel Card Number: ________________________

c. Division: ___________________________________________

d. Department: ________________________________________

e. Reason for termination of card: (Check appropriate block)
   (1) Termination of County employment
       [ ]
   (2) Transfer to another Division within the County
       [ ]
   (3) Other: Reason: ___________________________ [ ]

f. Effective date of termination: _____________________

________________________________________________________________________

Signature of Employee

Attachment (Procurement Travel Card) - MUST BE HANDBACKED TO PROCURMENT MONITOR

Receipt acknowledged by:

Procurement Division ___________________________ Date ___________________________
Procurement Card Administrator

c: Accounts Payable, Comptroller
EXHIBIT 16

PROCUREMENT CARD
MONTHLY PURCHASE LOG
<table>
<thead>
<tr>
<th>Date</th>
<th>Receipt or Invoice #</th>
<th>Description of Purchase</th>
<th>Vendor’s Name</th>
<th>Dollar Amount of Purchase</th>
<th>Dispute (d)/Credit (c)</th>
<th>Remarks</th>
</tr>
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</tbody>
</table>
EXHIBIT 17

TRAVEL CARD
MONTHLY PURCHASE LOG
**PROCUREMENT TRAVEL CARD MONTHLY PURCHASE LOG**

(MANDATORY)

Cardholder (Print Name): _________________________  
Statement for the Month of: ______________

Cardholder Signature: ____________________________  
Division:___________________

Extension:__________

<table>
<thead>
<tr>
<th>Date</th>
<th>Receipt Invoice #</th>
<th>Description of Purchase</th>
<th>Vendor’s Name</th>
<th>Dollar Amount of Purchase</th>
<th>Dispute (d)/ Credit (c)</th>
<th>Remarks</th>
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</tbody>
</table>
EXHIBIT 18

NOTIFICATION OF LOST OF STOLEN PROCUREMENT CARD
NOTIFICATION OF LOST OR STOLEN PURCHASING CARD

DATE: _________________________

TO: Bank of America Merrill Lynch
    Attn.: Commercial Card Services
    Fax Number:

THRU: __________________________________________Division Manager

FROM: __________________________________________ (Cardholder)

SUBJECT: Notification of Lost or Stolen Purchasing Card

You are hereby notified that the following purchasing card is no longer in my possession and is believed to be lost or stolen:

Cardholder Information

Name: ________________________________________________

Employee ID#: _______________________________________

Division: ____________________________________________

Purchasing Card Account Number: _______________________

Indicate if lost or stolen: ________________________________

Date Missing: _________________________________________

____________________________________________________

Signature of Cardholder


c: Procurement Purchasing Card Program Administrator, Procurement Division and Accounts Payable Purchasing Card Program Administrator, Comptroller
EXHIBIT 19

NOTIFICATION OF LOST OR STOLEN TRAVEL CARD
NOTIFICATION OF LOST OR STOLEN TRAVEL CARD

DATE: ____________________________

TO: Bank of America Merrill Lynch
    Attn.: Commercial Card Services
    Fax Number: 

THRU: __________________________________________ Division Manager

FROM: __________________________________________ (Cardholder)

SUBJECT: Notification of Lost or Stolen Travel Card

You are hereby notified that the following purchasing card is no longer in my possession and is believed to be lost or stolen:

Cardholder Information

Name: ___________________________________________

Employee ID#: __________________________________

Division: _________________________________________

Purchasing Card Account Number: _________________________

Indicate if lost or stolen: _______________________________

Date Missing: _______________________________________

__________________________
Signature of Cardholder

c: Procurement Purchasing Card Program Administrator, Procurement Division and Accounts Payable Purchasing Card Program Administrator, Comptroller
EXHIBIT 20

PROCUREMENT CARDHOLDER AGREEMENT
AND ACKNOWLEDGEMENT OF RECEIPT
ORANGE COUNTY PURCHASING CARDHOLDER AGREEMENT AND ACKNOWLEDGEMENT OF RECEIPT

I agree to use this purchasing card only for actual and necessary County business expenses incurred by me in accordance with County’s Purchasing Card Procedures.

I have read the Purchasing Card Procedures, and agree to abide by the procedures contained therein. I acknowledge that use of this card for any purpose other than County approved business expenses is prohibited and will be grounds for disciplinary action, up to and including termination. In addition, I must reimburse the County for such charges.

I agree to surrender the purchasing card immediately upon retirement, termination, or upon request of an authorized representative of the County. I understand that use of the purchasing card after privileges are withdrawn is prohibited.

If the card is lost or stolen, I will immediately notify the issuing bank by telephone. I will confirm the telephone notification by mail or facsimile to the issuing bank with a copy to Purchasing Monitor. I understand that failure to promptly notify the issuing bank of the theft, lost, or misplacement of the purchasing card may make me responsible for any fraudulent use of the card.

The use of this purchasing card is to be limited to $1,500.00 or less per transaction in accordance with the procedures.

I certify that I received the VISA purchasing card listed below and a copy of the County’s Purchasing Card Procedures. I understand that failure to use this purchasing card in accordance with all procedures may result in my card privileges being suspended or revoked. I further understand that personal use of the purchasing card is strictly prohibited. Moreover, any personal use will require immediate reimbursement to the County, cancellation of card privileges and disciplinary action, which may include dismissal.

I hereby authorize the County to deduct from my wages or from any other amounts payable to me, an amount equal to the total charges for improper or unauthorized purchases with the purchasing card even if I am no longer employed by the County. Also, if the County initiates legal proceedings to recover amounts owed by me for unauthorized/improper purchases, I agree to pay court costs, reasonable attorney fees and other expenses incurred by the County in such proceedings assuming the County prevails in such legal action.

Card Number: __________________________ Single Purchase Limit: $1,500.00
30-Day Limit: $37,500.00

Signature: ____________________________
(Print or Type Name)

Date _________________________________

Division: ____________________________ Extension: _____________________

Transaction Approver: __________________ Extension: _____________________

c: Cardholder
Transaction Approver
Purchasing Card Program Administrator
Accounts Payable

Revised 8/20/07 Attachment 9
EXHIBIT 21

TRAVEL CARDHOLDER AGREEMENT AND ACKNOWLEDGEMENT OF RECEIPT
ORANGE COUNTY PURCHASING TRAVEL CARDHOLDER AGREEMENT
AND ACKNOWLEDGEMENT OF RECEIPT

I agree to use this purchasing travel card only for actual and necessary County business expenses incurred by me in accordance with County’s Purchasing Card Procedures.

I have read the Purchasing Travel Card Procedures, and agree to abide by the procedures contained therein. I acknowledge that use of this travel card for any purpose other than County approved business expenses is prohibited and will be grounds for disciplinary action, up to and including termination. In addition, I must reimburse the County for such charges.

I agree to surrender the purchasing travel card immediately upon retirement, termination, or upon request of an authorized representative of the County. I understand that use of the purchasing card after privileges are withdrawn is prohibited.

If the card is lost or stolen, I will immediately notify the issuing bank by telephone. I will confirm the telephone notification by mail or facsimile to the issuing bank with a copy to Purchasing/Travel Monitor. I understand that failure to promptly notify the issuing bank of the theft, lost, or misplacement of the purchasing card may make me responsible for any fraudulent use of the card.

The use of this purchasing card is to be limited to $5,000 or less per transaction in accordance with the procedures.

I certify that I received the VISA purchasing card listed below and a copy of the County’s Purchasing Travel Card Procedures. I understand that failure to use this purchasing travel card in accordance with all procedures may result in my card privileges being suspended or revoked. I further understand that personal use of the purchasing travel card is strictly prohibited. Moreover, any personal use will require immediate reimbursement to the County, cancellation of card privileges and disciplinary action, which may include dismissal.

I hereby authorize the County to deduct from my wages or from any other amounts payable to me, an amount equal to the total charges for improper or unauthorized purchases with the purchasing card even if I am no longer employed by the County. Also, if the County initiates legal proceedings to recover amounts owed by me for unauthorized/improper purchases, I agree to pay court costs, reasonable attorney fees and other expenses incurred by the County in such proceedings assuming the County prevails in such legal action.

Card Number: __________________________ Single Purchase Limit: $ 5,000.00
30-Day Limit: $37,500.00
Signature: _____________________________
(Print or Type Name)
Date _________________________________
Division: ___________________________ Extension: _____________________
Transaction Approver: __________________  Extension: _____________________
c: Cardholder
   Transaction Approver
   Purchasing Card Program Administrator
   Accounts Payable
EXHIBIT 22

PROCUREMENT CARD
REPRESENTATIVE APPLICATION
DATE: _________________________

TO: Julie Ackley-Danielson, Purchasing Card Program Administrator
Purchasing and Contracts Division

FROM: Division Manager, _________________________________

SUBJECT: Purchasing Card Representative

The following individual is the purchasing card representative:

Employee’s Full Name: (Type) __________________________________________
Signature ___________________________________________________________
Title: ______________________________________________________________
Telephone: ___________________________________________________________
Business Address: _____________________________________________________
Email Address: _______________________________________________________
Division: ____________________________________________________________

Department: _________________________________________________________

Accounting Line: _________________
(example: 0001 fund, 043 dept., 2000 unit)

Signature of Division Manager: __________________________
(must have Division Manager’s signature)

LIST SPECIFIC CARDHOLDER/S THAT YOU WILL BE ASSISTING WITH
TRANSACTIONS & NOTES IN PAYMENTNET AS THE REPRESENTATIVE:

__________________________________________  __________________________
__________________________________________  __________________________
__________________________________________  __________________________

c: Accounts Payable, Comptroller
EXHIBIT 23

TRAVEL CARD

REPRESENTATIVE APPLICATION
DATE: _________________________

TO: Julie Danielson, Purchasing Travel Card Program Administrator
    Purchasing and Contracts Division

FROM: Division Manager, ________________________________

SUBJECT: Purchasing Travel Card Representative

The following individual is the purchasing travel card representative:

Employee’s Full Name: (Type) __________________________________________
Signature   ___________________________________________________________
Title:        ____________________________________________________________
Telephone: ____________________________________________________________
Business Address: _______________________________________________________
Email Address: _________________________________________________________

Division: ____________________________________________________________

Department: __________________________________________________________

Accounting Line: ________________________________
(example: 0001 fund, 043 dept., 2000 unit)

Signature of Division Manager: ________________________________

(must have Division Manager’s signature)

LIST SPECIFIC CARDHOLDER/S THAT YOU WILL BE ASSISTING WITH
TRANSACTIONS & NOTES IN PAYMENTNET AS THE REPRESENTATIVE:

______________________________________________________________

______________________________________________________________

______________________________________________________________

c: Accounts Payable, Comptroller
EXHIBIT 24

PROCUREMENT CARD
APPLICATION FOR NAME CHANGE
# Orange County Procurement Card Application for Name Change

## EMPLOYEE INFORMATION

<table>
<thead>
<tr>
<th>First Name</th>
<th>Middle Initial</th>
<th>Former Last Name</th>
<th>Current Last Name</th>
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<th>Department</th>
<th>Division</th>
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<th>City</th>
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<th>Zip</th>
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<tr>
<th>(  )</th>
<th>Business Phone</th>
<th>Email Address</th>
<th>Employee ID #</th>
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<tr>
<th>Fund (example: 0001)</th>
<th>Department (example: 043)</th>
<th>Unit (example: 2000)</th>
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<tr>
<th>Division Transaction Approver</th>
<th>Signature of Division Transaction Approver</th>
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## EMPLOYEE / APPROVAL SIGNATURE

<table>
<thead>
<tr>
<th>Signature of Applicant / Date</th>
<th>Signature of Manager / Date</th>
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EXHIBIT 25

TRAVEL CARD
APPLICATION FOR NAME CHANGE
# Orange County Purchasing Travel Card Application for Name Change

## Employee Information

<table>
<thead>
<tr>
<th>First Name</th>
<th>Middle Initial</th>
<th>Former Last Name</th>
<th>Current Last Name</th>
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<tr>
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<th>Business Phone</th>
<th>Email Address</th>
<th>Employee ID #</th>
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<th>Fund (example: 0001)</th>
<th>Department (example: 043)</th>
<th>Unit (example: 2000)</th>
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<th>Division Transaction Approver</th>
<th>Signature of Division Transaction Approver</th>
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## Employee / Approval Signature

<table>
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<tr>
<th>Signature of Applicant / Date</th>
<th>Signature of Manager / Date</th>
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EXHIBIT 26

PROCUREMENT CARD EXCEPTION REQUEST
P-Card Exception Request

Note: Exceptions may only be considered for review prior to the purchase/transaction being processed. Post purchase exception requests will not be processed.

Instructions: The original exception request form must be completed and forwarded to the Division Manager for signature. Once the form has been signed, you must scan and email to Susan.Martin@ocfl.net, Gina.Segui@ocfl.net and PcardAdmins@ocfl.net for review. During the review process Susan/Gina will determine the insurance requirements or waiver, and the P-Card Administrative Team will provide the approval or decline for the transaction to be processed on the P-Card.

Date: __________________   Department Name: __________________________________________________________

Division Name: ____________________________   Division Contact for Request: ________________________________

Division Contact E-mail:___________________________   Division Contact Phone Number: ________________________

Provide a detailed description of the P-Card exception that is being requested. Include as much information as possible including the type/scope of purchase, the amount and the reason that the exception is needed. Attach any and all insurance documents you may have already obtained or an additional sheet for comments and backup documentation if needed.

(Field length is limited to the visible area)

Division Manager
Name (Print) __________________________   Signature_____________________________   Date______________

Risk Management Division
Insurance Required ______________________________________________________________________________

Insurance Waived ________________________   Insurance Compliant ____________________________________

Name (Print) __________________________   Signature_____________________________   Date______________

Procurement Division
Approved/Decline______________________________________________________________________________

Name (Print) __________________________   Signature_____________________________   Date______________

Additional Instruction ____________________________________________________________________________
EXHIBIT 27

PROTEST HEARING FORM
PROCUREMENT DIVISION
PROTEST HEARING FORM
ORANGE COUNTY, FLORIDA

BIDDER/PROPOSER NAME: ________________________________

IFB/RFP NUMBER: ________________________________

DATE OF HEARING: ________________________________

NATURE OF PROTEST:

FINDING/RECOMMENDATION OF PROTEST
COMMITTEE/PROCUREMENT COMMITTEE:

SIGNATURES OF PROTEST COMMITTEE/PROCUREMENT COMMITTEE:

_________________________________________    DATE  ____________

_________________________________________    DATE  ____________

_________________________________________    DATE  ____________

_________________________________________    DATE  ____________

_________________________________________    DATE  ____________
EXHIBIT 28

FORMAT FOR PURCHASE ORDERS FOR TEAR DOWNS AND QUOTES
These Purchase Orders shall be consistent with the following format: (SAMPLE)

THE PURPOSE OF THIS PURCHASE ORDER IS TO PROVIDE A TEAR DOWN AND QUOTE TO DETERMINE THE EXTENT OF REPAIRS REQUIRED FOR THE FOLLOWING EQUIPMENT:

**Cummins 850 water cooled engine**

**LOCATION:**
Public Works Maintenance Facility, 1234 Gardener Lane, Orlando, FL.

**CONTACT PERSON:**
Walter Summers, 407-836-1018

**SCOPE OF SERVICES:**
Vendor shall inspect and/or disassemble equipment to determine the cost of the repair. The vendor shall provide two quotations

a) One quotation shall be the costs to re-assemble the equipment and return it to the County site if previously removed for evaluation. $___________

b) The other quotation shall be for the repair costs. $___________

This purchase order is our acceptance of your quote for the cost of repairs, or reassemble and return.
EXHIBIT 29

PROCUREMENT THRESHOLDS
I. Small Purchases (up to $1,500): Purchases under $1,500 are procured on the open market with or without competition. However, every attempt shall be made to secure such purchases from certified M/WBE vendors.

II. Informal Quotes (greater than $1,500 - $35,000*): Purchases from $1,500 to $35,000 are procured via a minimum of three (3) telephone or written quotes from selected vendors, one of which should be a certified M/WBE vendor, if available.

III. Formal Solicitations (Invitation for Bids, Request for Proposals) (over $35,000): All requirements exceeding $35,000 are acquired through this process, with the exception of sole source and emergency procurements.

*Subject to annual adjustment
EXHIBIT 30

PURCHASE ORDER/CONTRACT
DOCUMENTATION OF EXEMPTION FORM
In accordance with the Orange County Ordinance, Chapter 17, Finance, Article III, Procurement:
The Procurement Ordinance shall apply to every purchase/procurement by the board of county commissioners and the
departments and advisory boards which are under the control of the board of county commissioners, irrespective of their
fund source, including state and federal assistance monies, except as otherwise specified by law. They shall not apply to:

- Agreements between the board of county commissioners and nonprofit organizations or
governmental entities including the
procurement, transfer, sale or exchange of goods
and/or services.
- Procurement of dues and memberships in trade
or professional organizations
- Subscriptions for periodicals
- Advertisements
- Postage
- Paralegals & Court reporter services
- Specialized legal services & Expert witnesses
- Real property, abstracts of titles for real property
& title insurance for real property
- Water, sewer, and electrical utility services
- Copyrighted & Patented materials
- Art and artistic services
- Employment agreements
- Fees and costs of job-related travel, seminars,
tuition, registration and training.
- Goods and/or services given, or accepted by the
county via grant, gift or bequest.
- Blanket purchase orders issued on an annual
basis wherein the exact quantity of items or
identification of specific items cannot be
determined in advance.
- Items purchased for resale to the general public.

**APPROVAL PROCESS**

1. Indicate the exemption requested by making a selection above.
2. Attach all supportive documentation for consideration and approval.
3. Sign, date and attach this form to the applicable purchase requisition back-up.

I certify that, to the best of my knowledge, this request for exemption and the information provided herein is
accurate and truthful.

**REQUESTING DEPARTMENT / DIVISION**

<table>
<thead>
<tr>
<th>[Sign]</th>
<th>Division Manager OR Department Director</th>
</tr>
</thead>
</table>

**PROCUREMENT DIVISION APPROVAL**

<table>
<thead>
<tr>
<th>[Sign]</th>
<th>Division Manager / Administrator</th>
</tr>
</thead>
<tbody>
<tr>
<td>Buyer/PA/CA</td>
<td>Date</td>
</tr>
</tbody>
</table>

[Print Div. Manager or Dept. Director Name]
EXHIBIT 31

ALTERNATE CONTRACT SOURCE APPROVAL FORM
## ORANGE COUNTY PROCUREMENT DIVISION

**ALTERNATE CONTRACT SOURCE APPROVAL**

Vendor Name: ______________________

Originating Agency or Cooperative Entity: ______________________

Date Submitted: ______________________

Department/Division: ______________________

Requestor Name: ______________________

Requestor Phone: ______________________

### REQUESTOR SHALL COMPLETE STEPS 1-3 AND PROVIDE THE REQUIRED DOCUMENTATION:

<table>
<thead>
<tr>
<th>PROCESS</th>
<th>ATTACH COPIES:</th>
<th>VALIDATE THE FOLLOWING:</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Step 1</strong></td>
<td>❑ Executed Contract</td>
<td>❑ The contract contains a cooperative or piggy-back provision allowing use by other government agencies.</td>
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<tr>
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<td>❑ Valid from _______________ through _______________ .</td>
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<td>❑ I have reviewed the terms and conditions and take no exception.</td>
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<tr>
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<td></td>
<td>❑ Payment provision is no less than Net 30.</td>
</tr>
<tr>
<td><strong>Step 2</strong></td>
<td>❑ Formal Solicitation (Invitation for Bids or Request for Proposals)</td>
<td>❑ Solicitation was advertised, open and unrestricted.</td>
</tr>
<tr>
<td><strong>Step 3</strong></td>
<td>❑ Bid tabulation or proof of award</td>
<td>❑ Validate competition was achieved.</td>
</tr>
</tbody>
</table>

### SUBMITTAL

Sign, date and attach all supportive documentation for consideration and approval. Transmit this file to the assigned buyer for the Department/Division for processing.

**REQUESTING DEPARTMENT DIRECTOR / DIVISION MANAGER**

[Sign] ________________

[Print] ________________________________ Date

### PROCUREMENT REVIEW – OFFICIAL USE ONLY

<table>
<thead>
<tr>
<th>Agency Enabling Legislation reviewed/attached</th>
<th>Method of Selection meets competitive standards.</th>
<th>Terms and Conditions are not objectionable.</th>
<th>Payment terms are achievable.</th>
<th>Pricing methodology is structured, verifiable and auditable.</th>
</tr>
</thead>
<tbody>
<tr>
<td>❑ PASS ❑ FAIL</td>
<td>❑ PASS ❑ FAIL</td>
<td>❑ PASS ❑ FAIL</td>
<td>❑ PASS ❑ FAIL</td>
<td>❑ PASS ❑ FAIL</td>
</tr>
</tbody>
</table>

Comments:

### PROCUREMENT DIVISION APPROVAL

[Sign] ________________

Procurement Manager/Administrator ________________________________ Date

LOGGED: ________ Buyer Initials ________ Date

(Scanned file format: Expiration;Vendor Name;Contract Number)

i.e. YYYY – MM- DD; ABC CO; A123-45-6789
EXHIBIT 32

PIGGYBACK REQUISITION CHECKLIST
Vendor Name:  
Requisition Number:

Date Submitted:  
Department/Division:

Requestor Name:  
Requestor Phone:

**FOR APPROVED ALTERNATE CONTRACT SOURCES (ACS) ONLY**

REQUESTOR SHALL COMPLETE STEPS BELOW AND PROVIDE THE REQUIRED DOCUMENTATION

<table>
<thead>
<tr>
<th>PROCESS</th>
<th>ATTACH COPIES:</th>
<th>VALIDATE THE FOLLOWING:</th>
</tr>
</thead>
</table>
| Step 1  |  Contract Cover Page and any renewal amendments |  Confirm Contract is on the ACS log.  
|         |                |  Contract is not expired.  |
| Step 2  |  Contract Quotation |  Vendor Name on the contract matches the quote and requisition |
| Step 3  |  Line-by-Line Pricing Validation (Critical)  
|         | Provide highlighted price sheets matching contractual prices and documentation when applicable. |  Validate each item offered in the quotation is verifiable on the contract and accurately priced.  
|         |                |  Flag any open market items. |
| Additional Step 4 |  GSA Procurements over 50K require written analysis demonstrating this is the most advantageous procurement method |  After analysis, pricing is deemed reasonable and this is documented in memo format and attached to the quote.  
| (GSA ONLY) |                  |  Contract Holder statement is on letterhead.  
|           |  GSA Procurements require a signed statement from the contract holder authorizing the County’s use of the GSA contract and extending the pricing, terms and conditions.  
|           | This is not applicable to schedule 70 & 84. |  Contract Holder statement is signed. |

**PROCUREMENT REVIEW – OFFICIAL USE ONLY**

<table>
<thead>
<tr>
<th>Approved ACS:</th>
<th>Pass</th>
<th>Fail</th>
<th>Active Contract:</th>
<th>Pass</th>
<th>Fail</th>
<th>Pricing Audit:</th>
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<th>Fail</th>
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</thead>
<tbody>
<tr>
<td>Log is Current:</td>
<td>Pass</td>
<td>Fail</td>
<td>File is Current:</td>
<td>Pass</td>
<td>Fail</td>
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**COMMENTS:**


**PROCUREMENT DIVISION APPROVAL**

[Sign] ____________________________________________________________________________  
[Print] ____________________________________________________________________________  
Buyer ________________________________________________________________________________  
Date ________________________________________________________________________________

**IF APPLICABLE -**

Contract Pricing / Open Market Ratio Evaluation [Sign] ____________________________________________________________________________  
[Sign] ____________________________________________________________________________  
[Print] ____________________________________________________________________________  
Procurement Manager/Administrator ________________________________________________________________________________  
Date ________________________________________________________________________________
EXHIBIT 33

SHORT FORM REQUEST FOR QUOTATIONS
REQUEST FOR QUOTATIONS FORM

PAYMENT TERMS: Net 45, in accordance with the Local Government Prompt Payment Act, F.S. 218.70
ORDER TERMS: Delivery/Performance required ___ days After Receipt of Order. Pricing shall be FOB Destination – Freight Prepaid.

INSURANCE REQUIREMENTS: Contractor shall possess or obtain within 10 days of notification by the County the following coverage:

**Commercial General Liability** - Coverage issued on the most recent version of the ISO form as filed for use in Florida or its equivalent, with a limit of liability of not less than $500,000
   **Endorsement(s):**
   1) Orange County BCC listed as Additional Insured- Form CG 20 26 or CG 20 10/CG 20 37 or their equivalents.
   2) Waiver of Transfer of Rights of Recovery in favor of Orange County BCC- Form CG 24 04 or its equivalent.

**Business Automobile Liability** - Coverage for all owned; non owned and hired vehicles issued on the most recent version of the ISO form as filed for use in Florida or its equivalent, with limits of not less than $500,000 per accident

**Workers' Compensation** - Coverage for Contractor’s employees with statutory workers’ compensation limits, and no less than $100,000 each incident of bodily injury or disease for Employers’ Liability.
   **Endorsement(s):**
   1) Waiver of Subrogation in favor of Orange County BCC- Form WC 00 03 13 or its equivalent.

**Certificate Holder** – Orange County BCC, Attn: Procurement Division, 400 E. South Street, 2nd Floor, Orlando, Florida 32801

**PRICE QUOTATION**

<table>
<thead>
<tr>
<th>ITEM</th>
<th>DESCRIPTION</th>
<th>UNIT</th>
<th>UNIT COST</th>
<th>QUANTITY</th>
<th>CONTRACTOR’S QUOTE</th>
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<td>3.</td>
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<tr>
<td>4.</td>
<td></td>
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<td><strong>TOTAL NOT-TO-EXCEED PRICE QUOTATION</strong></td>
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Company Name: ____________________________

Signature of Quoter: ________________________

Email & Phone Number: ______________________

ISSUED DATE: 01/01/01